



AIR FORCE OFFICE OF SCIENTIFIC RESEARCH BROAD AGENCY ANNOUNCEMENT

OVERVIEW INFORMATION

The Air Force Office of Scientific Research (AFOSR), hereafter generally referred to as “we, us, our, or AFOSR,” manages the basic research investment for the U.S. Air Force. As a part of the Air Force Research Laboratory (AFRL), our technical experts discover, shape, and champion research within AFRL, universities, and industry laboratories to ensure the transition of research results to support U.S. Air Force needs. Using a carefully balanced research portfolio, our research managers seek to foster revolutionary scientific breakthroughs enabling the Air Force and U.S. industry to produce world-class, militarily significant, and commercially valuable products.

To accomplish this task, we solicit proposals for basic research through this general Broad Agency Announcement outlining the U.S. Air Force Defense Research Sciences Program. We invite unclassified proposals that do not contain proprietary information for research in many broad areas. We expect to fund only fundamental research. Our research areas of interest are described in detail in section [A. Program Description](#).

We anticipate many awards in the form of grants, cooperative agreements, contracts, technology investment agreements, or other transactions. We reserve the right to select and fund for award all, some, part, or none of the proposals received. There is no guarantee of an award. Please review the entire announcement for full details.

Hyperlinks have been embedded within this document and appear as underlined, and or blue-colored words in the midst of paragraphs. The reader may “jump” to the linked section within this document by “clicking” (CTRL + CLICK, or CLICK).

SUMMARY FUNDING OPPORTUNITY INFORMATION

1. FEDERAL AWARDING AGENCY NAME

Air Force Office of Scientific Research
875 North Randolph Street, STE 325, Room 3112
Arlington, VA 22203

2. FUNDING OPPORTUNITY TITLE

Research Interests of the Air Force Office of Scientific Research

3. ANNOUNCEMENT TYPE

Initial Announcement

4. ANNOUNCEMENT NUMBER

FA9550-18-S-0003

5. CATALOG OF FEDERAL DOMESTIC ASSISTANCE (CFDA) NUMBER

12.800 Air Force Defense Research Sciences Program

6. KEY DATES

This announcement remains open until superseded. We review and evaluate proposals as they are received. You may submit proposals at any time; however, some specific topic instructions may recommend submission by specific dates that align with funding expectations.

7. NORTH AMERICAN INDUSTRY CLASSIFICATION SYSTEM (NAICS) CODE:

The NAICS code for contracts under this announcement is 541715.

TABLE OF CONTENTS

A. PROGRAM DESCRIPTION.....	5
1. ENGINEERING AND COMPLEX SYSTEMS (RTA1)	5
a. <i>Dynamic Materials and Interactions</i>	6
b. <i>GHz-THz Electronics and Materials</i>	7
c. <i>Energy, Combustion and Non-Equilibrium Thermodynamics</i>	8
d. <i>Unsteady Aerodynamics and Turbulent Flows</i>	10
e. <i>High-Speed Aerodynamics</i>	11
f. <i>Low Density Materials</i>	12
g. <i>Multi-Scale Structural Mechanics and Prognosis</i>	13
h. <i>Space Propulsion and Power</i>	14
i. <i>Test Science for Test and Evaluation (T&E)</i>	16
2. INFORMATION AND NETWORKS (RTA2)	17
a. <i>Computational Cognition and Machine Intelligence</i>	18
b. <i>Computational Mathematics</i>	20
c. <i>Dynamics and Control</i>	22
d. <i>Dynamic Data Driven Applications Systems (DDDAS)</i>	23
e. <i>Information Assurance and Cybersecurity</i>	25

f. <i>Optimization and Discrete Mathematics</i>	26
g. <i>Science of Information, Computation, Learning, and Fusion</i>	27
h. <i>Trust and Influence</i>	28
i. <i>Complex Network</i>	29
j. <i>Computational Social Science</i>	31
3. PHYSICAL SCIENCES (RTB1)	32
a. <i>Aerospace Materials for Extreme Environments</i>	32
b. <i>Atomic and Molecular Physics</i>	34
c. <i>Electromagnetics</i>	34
d. <i>Laser and Optical Physics</i>	36
e. <i>Optoelectronics and Photonics</i>	37
f. <i>Plasma and Electro-Energetic Physics</i>	39
g. <i>Quantum Electronic Solids</i>	40
h. <i>Quantum Information Sciences</i>	41
i. <i>Remote Sensing</i>	42
j. <i>Space Science</i>	43
k. <i>Ultrashort Pulse Laser-Matter Interactions</i>	44
4. CHEMISTRY AND BIOLOGICAL SCIENCES (RTB2)	46
a. <i>Biophysics</i>	47
b. <i>Human Performance and Biosystems</i>	48
c. <i>Mechanics of Multifunctional Materials and Microsystems</i>	50
d. <i>Molecular Dynamics and Theoretical Chemistry</i>	51
e. <i>Natural Materials, Systems, and Extremophiles</i>	52
f. <i>Organic Materials Chemistry</i>	54
5. INTERNATIONAL STUDENT EXCHANGE PROGRAM	56
6. OTHER INNOVATIVE RESEARCH CONCEPTS	57
B. FEDERAL AWARD INFORMATION	59
C. ELIGIBILITY INFORMATION.....	59
1. ELIGIBLE APPLICANTS	59
a. <i>General</i>	60
b. <i>HBCU/MI, Tribal College & Universities and Small Business Applicants</i>	60
c. <i>Eligibility Notice for All Applicants</i>	60
d. <i>Ineligible Entities</i>	60
2. COST SHARING	60
3. OTHER	60
a. <i>Acknowledgement of Support and Disclaimer Requirements</i>	60
b. <i>Expectation of Public Dissemination of Research Results</i>	61
c. <i>Representation for Tax Delinquency/Felony Convictions</i>	61
d. <i>Conflict of Interest</i>	61
D. APPLICATION AND SUBMISSION INFORMATION	62
1. ADDRESS TO REQUEST APPLICATION PACKAGE	62
2. CONTENT AND FORM OF APPLICATION SUBMISSION	62
a. <i>Pre-proposal Inquiries and Questions</i>	62
b. <i>The Application as a Whole</i>	62
c. <i>Proposal Format</i>	63
d. <i>Proposal Length</i>	64
e. <i>Marking Requirements for Confidential or Proprietary Information</i>	64
f. <i>Electronic Form and Proposal Attachments</i>	64
3. Grants.gov Application Submission and Receipt Procedures	64
a. <i>Electronic Delivery</i>	64
b. <i>How to Register to Apply through Grants.gov</i>	64

c. <i>How to Submit an Application to AFOSR via Grants.gov</i>	66
4. COMPONENT PIECES OF THE APPLICATION	67
a. <i>SF 424 (R&R) Application for Federal Assistance</i>	67
b. <i>Representation for Tax Delinquency/Felony Convictions</i>	68
c. <i>SF-LLL Disclosure of Lobbying Activities Form</i>	68
d. <i>Certification Regarding Lobbying Form</i>	69
e. <i>R&R Other Project Information Form</i>	69
f. <i>Publicly Releasable Project Summary/Abstract</i>	70
g. <i>Project Narrative</i>	70
h. <i>Bibliography and References Cited</i>	72
i. <i>R&R Senior/Key Person Profile Form (Expanded) Form</i>	72
j. <i>R&R Budget Form</i>	73
k. <i>Budget Justification</i>	73
l. <i>R&R Subaward Budget Attachments Form</i>	74
m. <i>Subaward Budget Justification</i>	74
n. <i>R&F Project/Performance Site Location Form</i>	74
o. <i>Data Management Plan (Optional)</i>	74
p. <i>R&R Personal Data Form</i>	75
5. INFORMATION YOU MUST SUBMIT IF SELECTED FOR POSSIBLE AWARD	76
6. DUNS UNIQUE ENTITY IDENTIFIER, CAGE, AND SYSTEM FOR AWARD MANAGEMENT	76
a. <i>SAM Registration Required</i>	76
b. <i>SAM Exemption or Exceptions Not Available Under This Announcement</i>	76
c. <i>Questions about SAM Registrations and Updates</i>	76
d. <i>Consequences of Non-Compliance with SAM Registration Requirements</i>	77
7. SUBMISSION DATES AND TIMES	77
a. <i>Proposal Submission</i>	77
b. <i>How Proposal Submission Time is Determined</i>	77
c. <i>Grants.gov Tracking Number is Application Receipt</i>	77
d. <i>Effect of Superseding Announcement</i>	77
8. INTERGOVERNMENTAL REVIEW	77
9. FUNDING RESTRICTIONS	78
a. <i>Proposal Preparation Costs</i>	78
b. <i>Pre-Award Costs for Grants</i>	78
c. <i>Pre-Contract Costs under FAR Cost-Reimbursement Contract Awards Not Available</i>	78
d. <i>Air Force Office of Scientific Research No-cost Extension (NCE) Policy</i>	78
e. <i>Prohibition on Contracting with Entities that Require Certain Internal Confidentiality Agreements or Statements—Representation</i>	79
10. OTHER SUBMISSION REQUIREMENTS	79
E. APPLICATION REVIEW INFORMATION	80
1. CRITERIA	80
a. <i>Principal Evaluation and Selection Criteria</i>	80
b. <i>Additional Evaluation and Selection Criterion</i>	80
c. <i>No further evaluation criteria or criterion will be used for proposal selection</i>	80
2. REVIEW AND SELECTION PROCESS	80
a. <i>Merit-based, Competitive Procedures</i>	80
b. <i>Cost Analysis for Reasonableness and Realism</i>	81
3. DISCLOSURE OF ADMINISTRATIVE PROCESSING BY CONTRACTOR PERSONNEL	81
4. NO GUARANTEED AWARD	81
F. FEDERAL AWARD ADMINISTRATION INFORMATION	81
1. SELECTION NOTICES	81
a. <i>Electronic Notification</i>	81

b. <i>Selection for Possible Award Does Not Authorize Work</i>	81
2. AWARD NOTICIES	81
a. <i>Federal Award Document</i>	81
b. <i>Electronic Federal Award Distribution</i>	82
3. ADMINISTRATIVE AND NATIONAL POLICY REQUIREMENTS	82
a. <i>Reporting of Matters Related to Recipient Integrity and Performance</i>	82
b. <i>Agency Review of Risk Posed by Applicants</i>	82
c. <i>Cross-Cutting National Policy Requirements</i>	83
d. <i>Acknowledgement of Research Support</i>	83
e. <i>Disclaimer Language for Research Materials and Publications</i>	83
f. <i>Grants and Cooperative Agreements - Uniform Administrative Requirements, Cost Principles, and Audit Requirements</i>	83
g. <i>Domestic Grants and Cooperative Agreements - DoD Research and Development General Terms and Conditions</i>	84
h. <i>Foreign Grants and Cooperative Agreements – Terms and Conditions</i>	84
j. <i>Conditions of Award for Recipients Other Than Individuals</i>	84
k. <i>Contract Solicitation Provisions and Clauses Incorporated by Reference</i>	84
l. <i>Foreign Entities and For-Profit Organizations Not Generally Eligible for Equipment Vesting</i>	85
m. <i>Minimum Record Retention Requirements</i>	85
4. REPORTING	85
a. <i>Monitoring and Reporting Program Performance</i>	85
b. <i>Technical Performance Report Format</i>	86
c. <i>Department of Defense (DD) Form 882 Report of Inventions and Subcontracts</i>	86
d. <i>Standard Form (SF) 425 Federal Financial Report</i>	86
e. <i>Electronic Payment Requests and Electronic Payment</i>	87
f. <i>Property Reports</i>	87
g. <i>Other Reports</i>	87
h. <i>Electronic Submission of Reports</i>	87
G. AGENCY CONTACTS	87
1. TECHNICAL INQUIRES AND QUESTIONS	87
2. GENERAL INQUIRIES AND QUESTIONS	88
H. OTHER INFORMATION	88
1. OMBUDSMAN	88
2. GRANTS AND CONTRACTING OFFICERS AUTHORITY	90
3. ADDITIONAL FUNDING OPPORTUNITIES	90

A. PROGRAM DESCRIPTION

Our focus is on research areas that offer significant and comprehensive benefits to our national warfighting and peacekeeping capabilities. These areas are organized and managed in two scientific branches:

- Engineering and Information Sciences (RTA)
- Physical and Biological Sciences (RTB)

The research activities managed within each branch are summarized below:

1. ENGINEERING AND COMPLEX SYSTEMS(RTA1)

The Engineering and Complex Systems team within the Engineering and Information Science Branch leads the discovery and development of the fundamental and integrated

science that advances future air and space flight. The broad goal of the team is to discover and exploit the critical fundamental science and knowledge that will shape the future of aerospace sciences. A key emphasis is the establishment of the foundations necessary to advance the integration or convergence of the scientific disciplines critical to maintaining technological superiority.

A wide range of fundamental research addressing electronics, fluid dynamics, materials, propulsion, and structural mechanics are brought together in an effort to increase performance and achieve unprecedented operational capability. The team carries out its ambitious mission through leadership of an international, highly diverse and multidisciplinary research community to discover, shape, and champion new scientific discoveries that will ensure novel innovations for the future U.S. Air Force.

The central research direction for this team focuses on meeting the basic research challenges related to future air and space flight by leading the discovery and development of fundamental science and engineering in the following research areas.

The Engineering and Complex Systems (AFOSR/RTA1) Program Officers and topics are:

SECTION	PROGRAM DESCRIPTION	PROGRAM OFFICER
A.1.a.	Dynamic Materials and Interactions	Dr. Martin Schmidt
A.1.b.	GHz-THz Electronics and Materials	Dr. Kenneth C. Goretta
A.1.c.	Energy, Combustion, and Non-Equilibrium Thermodynamics	Dr. Chiping Li
A.1.d.	Unsteady Aerodynamics and Turbulent Flows	Dr. Douglas R. Smith
A.1.e.	High-Speed Aerodynamics	Dr. Ivett A. Leyva
A.1.f.	Low-Density Materials	Dr. Jaimie S. Tiley (acting)
A.1.g.	Multiscale Structural Mechanics and Prognosis	Dr. Jaimie S. Tiley
A.1.h.	Space Propulsion and Power	Dr. Mitat A. Birkan
A.1.i.	Test Science for Test and Evaluation	Dr. Brett Pokines

Our research areas of interest are described in detail below:

a. Dynamic Materials and Interactions

Program Description: The objective of the Dynamic Materials and Interactions portfolio is to develop fundamental scientific knowledge of the dynamic chemistry and physics of complex materials, particularly energetic and reactive materials. The portfolio focuses on energetic materials science and dynamics of heterogeneous materials.

Research supported by this portfolio seeks to discover, characterize, and leverage (1) fundamental chemistry, physics, and materials science associated with energetic and reactive materials; and (2) fundamental dynamics, shock physics, and materials

science associated with complex, heterogeneous materials. The research will be accomplished through a balanced mixture of experimental, numerical, and theoretical efforts. This is required for revolutionary advancements in future Air Force weapons and propulsion capabilities including increased energy density and survivability in harsh environments. Research areas of interest emphasize the characterization, prediction, and control of critical phenomena which will provide the scientific foundation for game-changing advancements in munitions development and propulsion.

Basic Research Objectives: Research proposals are sought in all aspects of the chemistry and physics of energetic and reactive materials with particular emphasis placed on chemistry-microstructure relationships and the exploitation of fundamental dynamics in heterogeneous materials. Efforts that leverage recent breakthroughs in other scientific disciplines to foster rapid research advancements are also encouraged.

Topics of interest include, but are not limited to, the following:

- Mesoscale experiments, and associated models, to understand initiation in energetic materials;
- Predictive processing-structure-property relationships in energetic materials, including reactive materials by design;
- Detonation physics, particularly the steady state reacting front propagating in energetic materials;
- High strain rate and shock response of polymers, composites, and geologic materials;
- Ability to tailor dynamic stress waves through microstructure;
- Shock loading and mechanical response of energetic crystals;
- High energy density materials that overcome the CHNO limitations, including scale-up techniques required for gram-scale characterization of materials;
- Modeling of microstructural damage evolution for energetic/reactive and other heterogeneous materials under dynamic loading conditions, as well as diagnostic techniques for damage evaluation;
- Bridging length scales in energetic and other heterogeneous materials.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, and the approximate cost for a three (3) to five (5) year effort.

DR. MARTIN J. SCHMIDT, AFOSR/RTA1

Email: dynamicmaterials@us.af.mil

(703) 588-8436

b. GHz-THz Electronics and Materials

Program Description: This program seeks scientific breakthroughs in materials,

heterostructures, and devices that can lead to game-changing capabilities in RF sensing and amplification, transmit/receive functions, wideband operation, reconfigurability, and novel functionality. The primary frequencies of interest range from GHz to THz.

Basic Research Objectives: The focus of the portfolio is on understanding and exploiting fundamental interactions of electrons and quasiparticles with each other and their host materials in all regions of device operation. Technical challenges include understanding and controlling (1) interactions between particles/quasiparticles and host lattices, boundaries, and defects, including thermal effects and changes over time that limit lifetime and performance; (2) carrier velocity; (3) dielectric properties and electric field distributions within the dielectrics; and (4) new methods of device operation that do not rely solely on conventional transistors or transport mechanisms such as drift, diffusion, and tunneling. Included are carrier transport and properties in regimes in which transport is not limited by scattering mechanisms. Efficiency, volume, and speed figures of merit, but others, such as reliability and energy consumption, are also of interest. A subarea of interest comprises ultrawide-bandgap materials as enablers for high-power electronics, with focus on the unique properties of these materials and heterostructures as basic building blocks for devices. Another subarea interest is research into reconfigurable electronics, including those based on materials that perform multiple electronic, magnetic, and possibly mechanical functions. It is expected that in order to fully understand the various new phenomena and device configurations, modeling and simulation and novel techniques to study and control nanoscale structures, defects, and operations may be required.

Proposers are highly encouraged to contact the Program Officer prior to developing a full proposal, preferably by email, to briefly discuss the current state of the art, how your research would advance it, and the approximate cost for a three-to-five year effort, and if there are any specific submissions target dates.

DR. KENNETH C. GORETTA, AFOSR/RTA1
E-mail: ghz.thz@us.af.mil
(703) 696-7349

c. Energy, Combustion and Non-Equilibrium Thermodynamics

Program Description: This portfolio addresses energy needs of Air Force aerospace systems for the propulsion and non-propulsive functions of increasingly significant energy requirements. The portfolio emphasizes three foundational elements: (1) Fundamental, (2) Relevant, and (3) Game-Changing, i.e.: starting from establishing fundamental scientific understanding and quantifying rate-controlling processes, focusing on Air Force interests and relevant conditions, encouraging multi-disciplinary collaborations, interactions and unconventional and innovative thinking, leading to game-changing concepts and predictive capabilities for the Air Force

Basic Research Objectives: Research topics in this portfolio include all energy aspects relevant to Air Force needs, combustion and otherwise, with the following

sub-areas:

Fundamental Combustion Understanding in Air Force Relevant Regimes:

Combustion is the primary conversion process to supply energy for propulsion and other functions of aerospace systems such as planes, rockets, hypersonic and UAV systems. In these systems, the fuel combustion process occurs at highly turbulent flow conditions, governed by underlying molecular changes from high-energy states to lower ones, generating usable energy for system functions. The key turbulent combustion attributes are critical in determining operability, performance, size and weight of such systems. The understanding of these key attributes and the quantification of the inherent rate-controlling processes provide the scientific foundation of modeling/simulation capabilities needed for the design of new generations of AF aerospace systems. Based on recent progresses in understanding/modeling key chemical reaction pathways in combusting AF/DOD fuels and in exploring key attributes of turbulent flame structure and dynamics at relevant conditions, the turbulent combustion part of the portfolio currently focuses on exploring, understanding and qualifying the turbulent-chemistry interactions using physical and numerical experiments. This includes but is not limited to:

- Effects of turbulence on rate-controlling properties/processes of fuel combustion chemistry;
- Turbulent production by the energy release from combustion chemical reactions;
- Spatial/temporal scale interactions of turbulence structures and dynamics;
- Diagnostics for measuring key properties/processes in turbulent combusting flows.

Multi-Physics, Multi-Scale Modeling/Simulation for Energy Conversion:

Energy conversion processes in AF aerospace systems involves coupled multi-physics phenomena such as chemical reactions, turbulence, radiation, flow-material interactions, etc. in a wide range of spatial and temporal scales. Computationally efficient modeling/simulation capabilities with sufficiently low uncertainties, coupled with measured data, and assisted by artificial intelligence and machine learning will have game-changing impacts, potentially resulting in new, intelligent development & design tools for future aerospace systems. Such modeling/simulation capabilities may also be used to select and conduct “numerical experiments” to explore underlying physics at conditions where physical experiments are very difficult or impossible. Key focus areas are the physical foundation and numerical approaches for coupling multiple physical phenomena at different spatial and temporal scales, in particular:

- Embedded DNS (eDNS) – embedding “direct numerical simulation” (DNS being capable of resolving turbulence scales, down to the dissipative range, and detailed flame structures) into simulations for larger-scales such as large-eddy-simulations (LES) to provide needed resolutions/details in both small and large scales computationally efficiently;
- Coupling numerical simulations for different physics, e.g. coupling Eulerian fluid computations with Lagrange molecular dynamics calculations to provide information on critical properties needed in the larger-scale fluid calculations;

- Numerical techniques and algorithms for assimilating measured data into numerical simulations, to reduce the simulation uncertainty and to obtain quantitative information which is otherwise not available through experimental measurements alone.

Game-Changing Thermodynamics Concepts and Innovative Energy Conversion:

Thermodynamics provides insights into energy conversion processes and the foundation to developing potentially game-changing energy-conversion approaches. It also establishes the thermodynamic foundation and framework to analyze the energy requirement and efficiency of propulsion systems and non-propulsive subsystem functions of increasingly significant energy needs. The following topics are of particular interest:

- Learning-based, intelligent thermodynamics framework for analyzing multi-scale, non-equilibrium physical and chemical processes, potentially leading to unconventional, game-changing energy conversion processes that potentially offer significantly higher than normal efficiency and other favorable attributes;
- Thermodynamics foundation and energy optimization for information processing systems.
- Novel, highly efficient approaches to electric propulsion.
- Other non-thermal, reduced-thermal and hybrid energy conversion processes, possibly of non-equilibrium nature, for future propulsion and subsystems, with particular interest in UAVs and robotic platforms;
- Combustion at extremely short time-scales, such as detonation-based processes (e.g. as potential game-changing propulsion approaches) and mild exothermic processes (e.g. biologically inspired energy conversion processes for UAV and robotic applications)
- Multi-functional fuels: (1) endothermic fuels and systems and (2) aviation fuels from new sources with economic and security advantages and related conversion processes;
- Unconventional formation mechanisms of large and complex carbon-based molecules, compounds and clusters at combustion, thermal or other interesting conditions, relevant to Air Force propulsion, energy and other interests;

Proposers are highly encouraged to contact the Program Officer prior to developing a full proposal, preferably by email, to discuss the current state of understanding, how the research would advance it, the approximate cost for a three-to five-year effort, and if there are any specific submission target dates.

DR. CHIPING LI, AFOSR/RTA1

Email: energy@us.af.mil

(703) 696-8574

d. Unsteady Aerodynamics and Turbulent Flows

Program Description: The Unsteady Aerodynamics and Turbulent Flows portfolio supports basic research into the dynamics and control of aerodynamic shear flows including the interactions of these flows with rigid and flexible surfaces in motion. The portfolio is interested in aerodynamic flows arising in both internal and external configurations and extending over a wide range of Reynolds numbers. The portfolio emphasizes the characterization, modeling, prediction, and control of flow

instabilities, turbulent flows, and aerodynamic interactions. A focus on the understanding of the fundamental flow physics is motivated by an interest in developing physically-based predictive models and innovative control concepts for these flows.

Basic Research Objectives: Research in this portfolio is motivated, in part, by the fluid-structure interactions, by vortex and shear layer flows, by the aerodynamic performance of novel configurations, and by enduring questions on transitional and turbulent flows. The portfolio maintains an interest in the dynamic interaction between unsteady fluid motion, linear and nonlinear structural deformations, and aerodynamic control effectors for a wide range of flight regimes.

The portfolio seeks to advance fundamental understanding of complex, time-dependent flow interactions by integrating theoretical, numerical, and experimental approaches: studies integrating these elements to improve understanding are strongly encouraged. Flow control studies are expected to involve an approach based on a fundamental insight into the flow dynamics. In cases where that insight may not exist, studies examining fundamental flow physics with a path to enabling control of the flow may be of interest. Flow control efforts integrating modeling, control theory, and advanced sensor and/or actuator technology for application to a flow of interest are encouraged. Note that basic research of the variety typically funded by the portfolio may not yet have a clear transition path to an application, but nevertheless should be relevant to U.S. Air Force interests.

Proposers are highly encouraged to contact the Program Officer prior to developing a full proposal, preferably by email to discuss the current state of the art in his/her area of interest, how the proposed research would advance it, the approximate cost for a three (3) year effort, and if there are any specific submission target dates.

DR. DOUGLAS R. SMITH, AFOSR/RTA1

Email: aerodynamics@us.af.mil

(703) 588-1779

e. High-Speed Aerodynamics

Program Description: The flow field around a high-speed vehicle strongly influences its size, weight, lift, drag and heating loads. Therefore, research in this area is critical to the U.S. Air Force's interest in rapid global and regional response and space operations. This portfolio aims to lay the scientific foundation, through discovery, characterization, prediction and control of critical phenomena, for game-changing advancements in our understanding of high-speed, high temperature non-equilibrium flows around flying vehicles. External and internal transitional and turbulent wall-bounded flows are critical to the cadre of problems studied. Such understanding is a pre-requisite to making hypersonic flight routine.

Basic Research Objectives: Proposals are encouraged which leverage recent breakthroughs in other scientific disciplines and foster rapid research advancements

in high-speed aerodynamics. It is encouraged that proposed efforts contain a balanced combination of experiments, computations and theoretical efforts. Flight experiments may be sought for obtaining data that cannot be obtained in ground facilities or by state-of-the-art computations. For any experiments proposed, explain how they capture the most sensitive variables for the problem being studied and how they can be used for validation of numerical models. For any numerical efforts explain which the hardest variables to accurately predict are and how the results will be validated with relevant measurements.

Innovative research is sought in all aspects of high Mach number (preferably $M > 5$), high temperature, non-equilibrium flows with particular interest in (not in order of priority):

- Shock/Boundary Layer, Shock/Shock, and Shock/Separation interactions and unsteadiness for both external surfaces, and at the inlet and isolators for scramjets
- Turbulence - structure and growth, unsteady flow field characterization, effects of micro/macro particles in free stream, wall roughness, curvature, angle of attack, etc.
- Transition - Initial value and Eigen value approaches for transition prediction, stability analysis for different modes and multimode transition
- Diagnostics - to measure both the shock layer and the free stream disturbances
- Flow-structure interactions at hypervelocity conditions
- Development of physics-based models for air ro-vibrational-dissociation and ro-vibrational-translational processes that can: 1) be incorporated in CFD solvers without incurring orders of magnitude more time to solve a given problem. Experiments to validate the above models are also sought.
- Characterization of fundamental processes occurring between non-equilibrium flows and ablative surfaces
- Characterization of naturally occurring disturbances in the atmosphere at high altitudes
- Energy transfer mechanisms within high enthalpy flows
- Identification and characterization of high L/D shapes
- Flight experiments to realize basic science advancement in any of the above areas might be sought.

Ideas that don't strictly fall into the categories above, but are germane to high speed aerodynamics, are also welcome. You are highly encouraged to contact our Program Officer prior to developing a full proposal, in any sub-area, to briefly discuss the current state-of-the-art, how your research would advance it, and the approximate cost for a three (3) to four (4) year effort.

DR. IVETT A. LEYVA, AFOSR/RTA1
Email: aerothermodynamics@us.af.mil
(703) 696-8478

f. Low-Density Materials

Program Description: Reducing the weight of aerospace platforms, while improving robustness and reliability, reduces costs and emissions and increases payload capacity and overall performance. The Low-Density Materials portfolio supports transformative, basic research in materials design and processing to enable weight reductions with concurrent enhancements in performance and function. Such materials can transform the design of future U.S. Air Force aerospace and cyber systems for applications, which include airframes, space vehicles, satellites, and a multitude of load-bearing components and systems. Key scientific areas supported by the program include: materials discovery, processing and characterization; nanotechnology; integrated computational material science and engineering; composite and hybrid materials processing; and interface/interphase science.

Among the routes to achieving game-changing improvements in low-density materials currently emphasized within the program are: (1) materials discovery and processing to increase properties and performance of structural materials, e.g., matrix resins, coatings, and reinforcing fibers and nanoparticulates; (2) multiscale modeling of material degradation mechanisms to improve material life prediction capability and minimize overdesign of load-bearing structures; (3) understanding the impact of nanoscale porosity on mechanical properties and engineering of porous composites; and (4) the creation and interfacial understanding of hybrid structures that combine materials of different classes, scales, and properties to provide synergistic and tailorable performance.

Basic Research Objectives: Proposals are sought that advance our understanding of hierarchical or hybrid materials and our ability to design, model, and fabricate multi-material, multiscale, multifunctional material systems with a high degree of precision and efficiency. Fundamental research targeting composites that evince multifunctionality, such as high strength plus efficient electrical and thermal transport properties and/or adaptivity to enable active aerospace structures, is also a keen program interest. Material classes may be polymeric, ceramic, or metallic.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, and the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

We are currently searching/hiring a new Program Officer, but there is a temporary custodian until a new PO is selected. Emails sent to the email address below will go to the temporary custodian:

(ACTING) DR. JAIMIE S. TILEY, AFOSR/RTA1
E-Mail: LDMaterials@us.af.mil
(703) 588-8316

g. Multiscale Structural Mechanics and Prognosis

Program Description: This fundamental basic research program addresses the U.S. Air Force needs in the following application areas: 1) New and revolutionary flight structures, 2) Multiscale modeling and prognosis and 3) Structural dynamics under non-stationary conditions and extreme environments. Other game-changing and revolutionary structural mechanics problems relevant to the U.S. Air Force are also of interest.

The structural mechanics program encourages fundamental basic research that will generate understanding, models, analytical tools, numerical codes, and predictive methodologies validated by carefully conducted experiments. The program seeks to establish the fundamental understanding required to design and manufacture new aerospace materials and structures and to predict their performance and integrity based on mechanics principles.

Basic Research Objectives: Fundamental basic research issues for new and revolutionary flight structures include: revolutionary structural concepts and unprecedented flight configurations; hybrid structures of dissimilar materials (metallic, composite, ceramic, etc.) with multi-material joints and/or interfaces under dynamic loads, and extreme environments; controlled-flexibility distributed-actuation smart structures. The predictive analysis and durability prognosis of hybrid-material structures that synergistically combine the best attributes of metals, composites, and ceramics, while avoiding their inherent shortcomings are of great interest.

Fundamental basic research issues of interest for multiscale modeling and prognosis include: physics-based models that quantitatively predict the materials performance and durability of metallic and composite flight structures operating at various regimes; modeling and prediction of the structural flaws distribution and service-induced damage on each aircraft and at fleet level; structural analysis that accounts for variability due to materials, processing, fabrication, maintenance actions, changing mission profiles; novel and revolutionary on-board health monitoring and embedded non-destructive evaluation (NDE) concepts.

Fundamental basic research issues for structural dynamics include: control of dynamic response of extremely flexible nonlinear structures; control of unsteady energy flow in nonlinear structures during various flight conditions; nonlinear dynamics and vibration control of thin-wall structures of functionally graded hybrid materials with internal vascular networks under extreme loading conditions.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, and the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. JAIMIE S. TILEY, AFOSR/RTA1

E-mail: structural.mech@us.af.mil

h. Space Propulsion and Power

Program Description: Research activities are focused as multi-disciplinary, multi-physics, multi-scale approach to complex problems, and fall into four areas: Coupled Material and Plasma Processes far from Equilibrium, Nanoenergetics in solid propellant combustion, High Pressure Combustion Dynamics in rocket engines, and multi-functional materials and structures for space power (structural batteries).

Basic Research Objectives: Research in the first area is to significantly advance the state-of-the-art in our ability to understand the fundamental aspects of a coupled plasma/material system in non-equilibrium states, for a variety of potential applications, including plasma-based space propulsion systems and plasma-spacecraft interactions. The typical conditions of interest are characterized by critical phenomena in small spatial and temporal scales which affect the behavior over a much wider range of scales. Detailed understanding and control of non-equilibrium and multiscale effects have the potential to overcome the limitations of traditional plasma in thermodynamic equilibrium, leading to improved system designs; preventing or leveraging dynamic features such as instabilities, coherent structures, and turbulence; and realizing chemical pathways, structural changes or electromagnetic processes for novel devices with unprecedented level of control.

Research in the second area focuses on smart, functional nano-energetics for propulsion purposes only. There has been tremendous progress in the synthesis and fabrication of nano-sized reactive materials. With significant advances in quantum chemistry and molecular dynamics over the last decade, as well as a broader understanding of the properties of nanomaterials, it may now be feasible to design a priori nanostructured reactive materials according to desired performance objectives and including controlling mechanisms at the nanoscopic and microscopic scale. Instead of being subject to uncontrolled combustion, smart nano-energetics may be activated by temperature, pressure, the presence of a particular chemical compound, or external electromagnetic stimuli, such as an electrical field or light. For example, it may be desirable to initiate a reaction at a particular temperature, to release a particular compound at a particular temperature, to turn on or turn off a reaction, have tailored ignition properties, or to accelerate or slow a reaction with time or location.

Research in the third area would allow the Air Force to capitalize on the higher efficiencies, and increased performance options made possible by taking rocket and other propulsion systems to increasingly high pressures. As this necessarily pushes materials and structures to correspondingly extreme limits, it becomes essential to take into consideration the dynamics of combustion processes, as higher pressures lead to increased amplitudes of fluid-dynamic and thermochemical events and fluctuations, in a wider spectrum of time scales. Mathematical and experimental

analysis of these dynamics at higher levels of fidelity also lead to a "big data" problem. It becomes necessary to combine and dynamically integrate multi-fidelity simulations and experimental measurements or monitoring, with the goal of systematically performing modeling, analytics, statistics, and dynamic data driven validation for chemical propulsion.

Research in the fourth area aims to combine both electrochemical and mechanical functionalities in a single unit in which energy storage can be accomplished by materials and structures that simultaneously manage mechanical stress, including peak values encountered during launch.

All fundamental research ideas relating to space propulsion and power are of interest to this program in addition to the examples given above, but researchers should also consult the programs in Plasma and Electro-Energetic Physics, Aerospace Materials for Extreme Environments, Theoretical Chemistry and Molecular Dynamics, Mechanics of Multifunctional Materials and Microsystems, Computational Mathematics, and other programs as described in this announcement to find the best match for the research in question. Researchers are highly encouraged to consult:
<https://community.apan.org/wg/afosr/w/researchareas/7459.space-power-and-propulsion/> for the latest information.

Proposers are encouraged to contact the Program Officer prior to developing a full proposal by email to discuss the current state of understanding, how the research would advance it, the approximate cost for a three (3) -to five (5) -year effort, and if there are any specific submission target dates.

DR. MITAT A. BIRKAN, AFOSR/RTA1

E-mail: space.power@us.af.mil

(703) 696-7234

i. Test Science for Test and Evaluation (T&E)

Program Description: The Test Science for Test and Evaluation (T&E) program supports basic research which will build the foundation for future revolutionary capabilities that address the identified needs of the T&E community. As new technologies emerge, the ability to test new capabilities as they are assimilated into applied R&D is a critical part of the development process. The Test Science for T&E program sponsors basic research in areas that enable such testing and areas that allow the correct and comprehensive interpretation of test results. Fast and effective Test Science and Test Engineering lead to: improved ability to identify problems, understand causes, and recommend solutions; reduced product development time; improved quality; improved performance; better acquisition program decisions; increased acquisition program flexibility; meeting schedule deadlines; reduce test-and-fix cycle costs; reaching or exceeding performance goals; and superior products. The current The Test Science for T&E program encompasses five broadly-defined, overlapping thrust areas: Hypersonics, Aeroelasticity and Aerodynamics, Sensors and

Electromagnetics, Information and Data Management and Fusion, and Enabling Materials. The Program is closely aligned with many other AFOSR program interests, but with special emphasis on aspects of basic research that lead to revolutionary advances in areas such as metrology and test science.

Basic Research Objectives: The Test Science for T&E program is closely engaged with technical experts at the Air Force Test Center (AFTC) organizations located at Edwards, Arnold, and Eglin Air Force Bases, who help advise the program on basic research objectives. Basic research in areas that advance the science of testing is broadly defined and spans mathematics as well as most disciplines in engineering and the physical sciences. Areas include:

- Novel measurement techniques, materials, and instruments that enable accurate, rapid, and reliable test data collection of physical, chemical, mechanical, and flow parameters in extreme environments, such as those encountered during transonic flight, hypersonic flight, and the terminal portion of weapons engagement
- Accurate, fast, robust, integratable models of the aforementioned that reduce requirements to test or help provide greater understanding of test results
- Advanced algorithms and computational techniques that are applicable to new generations of computers, including massively parallel, quantum, and neuromorphic machines
- Advanced algorithms and test techniques that allow rapid and accurate assessment of devices and software to cyber vulnerability
- New processes and devices that increase bandwidth utilization and allow rapid, secure transfer of test data to control facilities during test, with special emphasis on telemetry
- Advanced mathematical techniques that improve design of experiment or facilitate confident comparison of similar but disparate tests
- Advanced models of test equipment and processes that improve test reliability and efficiency
- New or advanced technologies that enable the test process
- Basic research in other T&E technical areas that advances the science of test and contributes to the development of knowledge, skills, and abilities of the established or emerging AF T&E workforce.

You are highly encouraged to contact our Program Officer prior to developing full proposals to briefly discuss program alignment. You should be prepared to explain why your proposed effort should be considered basic research, how it is unique to Test Science, and demonstrate an awareness of the Air Force T&E process. Collaborative efforts with the Air Force Test Center and Air Force Research Laboratory are encouraged, but not required.

DR. BRETT POKINES, AFOSR/RTA1

E-mail: tande@us.af.mil

(703) 588-1772

2. INFORMATION AND NETWORKS (RTA2)

The Information and Networks Team within the Engineering and Information Science Branch is organized to support many U.S. Air Force priority areas including autonomy, space situational awareness, and cyber security. The research programs within this team lead the discovery and development of foundational issues in mathematical, information and network oriented sciences. They are organized along three themes: Information, Decision Making, and Networks.

The information theme addresses the critical challenges faced by the U.S. Air Force which lie at the intersection of the ability to collect, mathematically analyze, and disseminate large quantities of information in a time critical fashion with assurances of operation and security.

Closely aligned with the mathematical analysis of information is the need for autonomous decision making. Research in this theme focuses on the discovery of mathematical laws, foundational scientific principles, and new, reliable and robust algorithms, which underlie intelligent, mixed human-machine decision-making to achieve accurate real-time projection of expertise and knowledge into and out of the battle space.

Information analysis and decision making rarely occur in the context of a single source. The networks theme addresses critical issues involving how the organization and interaction among large collections of information providers and consumers contributes to an understanding of the dynamics of complex information systems.

The Information and Networks (AFOSR/RTA2) Program Officers and topics are:

SECTION	PROGRAM DESCRIPTION	PROGRAM OFFICER
A.2.a.	Computational Cognition and Machine Intelligence	Dr. James H. Lawton
A.2.b.	Computational Mathematics	Dr. Fariba Fahroo
A.2.c.	Dynamics and Control	Dr. Frederick Leve
A.2.d.	Dynamic Data Driven Applications Systems (DDDAS)	Dr. Erik Blasch
A.2.e.	Information Assurance and Cybersecurity	Dr. Tristan N. Nguyen
A.2.f.	Optimization and Discrete Mathematics	Dr. Fariba Fahroo
A.2.g.	Science of Information, Computation, Learning, and Fusion	Dr. Richard D. (Doug) Riecken
A.2.h.	Trust and Influence	Dr. Benjamin A. Knott
A.2.i.	Complex Networks	Dr. Tristan Nguyen (acting)
A.2.j.	Computational Social Sciences	Dr. Benjamin A. Knott (acting)

Our research areas of interest are described in detail below:

a. **Computational Cognition and Machine Intelligence**



Program Description: This program supports innovative basic research on the fundamental principles and methodologies needed to enable intelligent machine behavior, particularly in support of mixed-initiative (i.e., human-machine teaming) systems. The overall vision of this program is that future computational systems will achieve high levels of performance, adaptation, flexibility, self-repair, and other forms of intelligent behavior in the complex, uncertain, adversarial, and highly dynamic environments faced by the U.S. Air Force. This program covers the full spectrum of computational and machine intelligence, from cognitively plausible reasoning processes that are responsible for human performance in complex problem-solving and decision-making tasks, to non-cognitive computational models of intelligence necessary to create robust intelligent systems. Robustness in this context is the ability to achieve high performance given at least some or all of the following factors: uncertainty, incompleteness or errors in knowledge; limitations on sensing; real-world complexity and dynamic change; adversarial factors; unexpected events including system faults; and out-of-scope requirements on system behavior. In the midst of this spectrum are the technologies explicitly needed to seamlessly incorporate intelligent computational systems into mixed human-machine teams. The program is divided into three sub-areas that span the full spectrum of computational and machine intelligence. They are: Computational Cognition, Human-Machine Teaming and Machine Intelligence.

The program encourages cross-disciplinary teams with collaboration including computer scientists, neuroscientists, cognitive scientists, mathematicians, statisticians, operation and management science researchers, information scientists, econometricians and game theoreticians, etc., especially when the research pertains to common issues and when collaboration is likely to generate bidirectional benefits. This program is aggressive, accepts risk, and seeks to be a pathfinder for U.S. Air Force research in this area. Proposals that may lead to breakthroughs or highly disruptive results are especially encouraged.

Basic Research Objectives: The Computational Cognition sub-area supports innovative basic research on high-order cognitive processes that are responsible for good human performance in complex problem solving and decision-making tasks – we only want to model the things people excel at. The sub-area also seeks to support research on building computational systems that derive from and/or integrate cognitive and biological models of human and animal intelligence. The overall objective is to understand and exploit these processes to create computational models that perform as well as or better than the reasoning systems they emulate. This sub-area seeks basic research that pertains to exploiting the capabilities of the mind and brain (human or animal) for creating more intelligent machines, as well as cognitively plausible mechanisms inspired by human (or animal) reasoning. This includes

computational models based on human and animal performance in perception, attention, memory, learning, reasoning, and decision making in order to improve machine performance.

This sub-area does NOT, however, support statistical approaches to machine learning (e.g., “Deep Learning”), or related variants, as fundamental science in that area is addressed by the Science of Information, Computation, Fusion and Learning program described elsewhere in this BAA.

The Machine Intelligence sub-area supports innovative basic research on fundamental principles and methodologies of computational intelligence necessary to create robust intelligent systems. These methodologies may be cognitively inspired, or non-cognitive in nature, taking full advantage of the strengths embodied in mathematical and computational systems, such as the ability to reason with complex formal logic. This sub-area encourages research enabling the creation of computational systems that embody intelligent behavior based on cognitively inspired or purely mathematical approaches. Proposals that lead to advances in the basic principles of machine intelligence for memory, reasoning, planning, scheduling, and cognitively-inspired learning (i.e., NOT “Deep Learning” or other statistical means), action, and communication are desired insofar as these contribute directly towards robustness as defined above.

The Human-Machine Teaming sub-area is primarily concerned with the machine-side of mixed human-machine decision-making, which appears at all levels of U.S. Air Force operations and pervades every stage of U.S. Air Force missions. To that end, new theoretical and empirical guidance is needed to prescribe maximally effective mixtures of human and machine decision making in environments that are becoming increasingly complex and demanding as a result of the high uncertainty, complexity, time urgency, and rapidly changing nature of military missions. This sub-area seeks new empirical and theoretical basic research that enables intelligent machines to perform as true “teammates,” adapting their behavior to accommodate changes in the environment, as well as augmenting the performance of human teammates when needed. This includes basic science in collaborative human-machine teams to aid the machine-side of inference, analysis, prediction, planning, scheduling, and decision making.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. JAMES H. LAWTON, AFOSR/RTA2

Email: machine.itel@us.af.mil

(703) 696-5999

b. Computational Mathematics

Program Description: This program seeks to develop innovative mathematical

methods and fast, reliable and scalable algorithms aimed at making radical advances in computational science and large-scale engineering and design. Research in computational mathematics underpins the fundamental understanding of complex physical phenomena and leads to predictive simulation capabilities that are crucial to the design and control of future U.S. Air Force systems, and their lifetime expectancy. Proposals to this program should focus on fundamental scientific and mathematical innovations, and should have the potential to address some of the most important computational challenges in science and engineering. Additionally, it is desirable to frame the basic research ideas in the context of applications relevant to the U.S. Air Force, which can serve simultaneously to focus the research and to provide avenues for transition of basic research outcomes into practice. Applications of current Air Force interest include, but are not limited to, quantum physics and quantum information systems, plasma dynamics, turbulence (e.g., in fluids, combustion, plasma), lasers and directed energy, aero-thermo-dynamics, information science, data analysis (including machine learning), biophysics, and material and structural sciences.

Basic Research Objectives: Research under this program has traditionally emphasized schemes that address the discretization and numerical solution of complex systems of equations, generally partial differential equations derived from physical models. However, alternative computational approaches are of keen interest, particularly in connection with emerging and multidisciplinary applications. Increased emphasis in this portfolio is placed on approaches that can handle a very high number of dimensions, uncertainty and stochasticity for non-Markovian processes, far from equilibrium conditions, and/or a wide range of scales (space, time, physical parameters, or complexity). Research areas of particular interest currently include:

- Innovative methods for quantum many-body physics, especially strongly correlated systems and environmental interactions; of special interest are approaches based on concepts derived from high-energy physics, and the exploration of relationships with information processing by neural networks.
- Mathematical methods for complexity reduction of high-dimensional, non-linear and multiscale problems, e.g., via projection-based methods and/or new machine-learning concepts. Such systems may have continuous, discrete or mixed representations, and may reside on graphs with evolving topology.
- Mathematical approaches to the modeling of non-equilibrium statistical processes and turbulent dynamics with multiple physical interactions and large parameter spaces; of special interest are methods which effectively allow bi-directional transfer of information across scales, and can simultaneously reduce the computational burden while preserving the correct physics of interaction, including conservation laws and instability regimes.
- Highly efficient and accurate methods for high-dimensional, nonlinear and stochastic dynamics with constraints. In particular, we are seeking revolutionary approaches to solving Hamilton-Jacobi-Bellman equations,

optimal transport problems, and inverse problems for highly complex conditions. Of particular interest are applications in large-scale game theory, self-organized criticality and cascades, and the prediction of rare and extreme events.

- Traditional computational methods involving high-order spatial and temporal algorithms remain of interest, if they have the potential for significant breakthrough and are able to meet the formidable computational challenges associated with current and future engineering problems of interest to the U.S. Air Force.

The list above is not exhaustive and other approaches can be suggested to the Program Officer, who can then determine if a proposal is warranted and of potential interest. All proposed methods must be innovative, have quantifiable measures of fidelity, efficiency and adaptively, must be based on rigorous analysis and preferably demonstrated on canonical challenge and grand challenge problems.

You are encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. FARIBA FAHROO, AFOSR/RTA2

E-mail: comp.math@us.af.mil

(703) 696-8429

c. **Dynamics and Control**

Program Description: This program emphasizes the interplay of dynamical systems and control theories with the future aim of developing innovative synergistic strategies for the design and analysis of controlled systems that enable radically enhanced capabilities, including performance and operational efficiency for future U.S. Air Force systems. Proposals should focus on the fundamental science and mathematics first, but should also include possible connectivity to appropriate Air Force applications of the future. These applications currently include information systems, as well as autonomous/semi-autonomous aerial vehicles, munitions, and space vehicles.

The dramatic increase in complexity of Air Force systems provides unique challenges for the Dynamics and Control Program. Meeting these challenges may require interdisciplinary approaches as well as deeper studies within single disciplines.

Lastly, note that the Dynamics and Control Program places special emphasis on mathematically rigorous techniques addressing realistic treatment of applications, complexity management, semi-autonomous systems, and real-time operation in stochastic and adversarial environments.

Basic Research Objectives: Current research interests include: methods of dynamical analysis of complex systems for the purpose of real-time control, control

of ensemble and infinite dimensional systems, deterministic time and/or real-time reachability set calculation and verification and validation of hybrid systems, distributed and decentralized decision making and control for coordinated autonomous/semi- autonomous aerospace vehicles considering constraints, uncertain, information rich, dynamically changing, networked environments with time-varying topologies; understanding how to optimally account for humans in the design space; novel schemes that enable challenging multi-agent aerospace tracking in complex, cluttered scenarios; robust and adaptive non-equilibrium (e.g., set-based) control of nonlinear processes where the primary objective is enhanced operability rather than just local stability; new methods for understanding and mitigating the effects of uncertainties in dynamical processes where uncertainty distribution is non-Gaussian; novel theory for control of hybrid systems that can intelligently manage actuator, sensor, and processor communications in a complex, spatially distributed and evolving system of systems; sensor rich, data driven adaptive control; and applying control concepts motivated by studies of biological systems. In general, interest in the control of large complex, multi- scale, hybrid, highly uncertain nonlinear systems is increasing. Further, new mathematics in clear support of dynamics and control is of fundamental importance.

In this regard, some areas of interest include, but are not limited to, hybrid dynamical systems theory, geometric and algebraic methods of dynamics and control, stochastic and adversarial systems, control of cyber physical systems, emerging areas of control theory, graph theoretic control theory over nonlinear dynamics, partial and corrupted information, max-plus and idempotent methods, nonlinear control and estimation, and novel computational techniques specifically aimed at control of systems with large data.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, and the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. FREDERICK LEVE, AFOSR/RTA2

E-Mail: dycontrol@us.af.mil

(703) 696-7309

d. Dynamic Data Driven Applications Systems (DDDAS)

Program Description: The DDDAS concept entails the ability to dynamically incorporate additional data into an executing application, and in reverse, the ability for an application to dynamically steer the measurement components. Key developments are sought to improve the modeling of systems under dynamic conditions, achieve effective instrumentation management, and architect control of dynamic and heterogeneous resources, including networks of models, sensors, and embedded resources. DDDAS encourages multidisciplinary research, especially synergistic and systematic collaborations between domain researchers in mathematics and statistics, computer sciences, and the design and implementation of measurement and control systems for modeling, diagnostics, and analytics.

Basic Research Objectives: Foster individual and multidisciplinary research, technology development, and system analysis over emerging science and technology frontiers.

Domain modeling: Methods are sought to leverage large-scale simulations for real-time control, in concert with heterogeneous data collection, model updates, and system processing. Research advances should describe different levels of detail and modalities, invoke appropriate models, and include interfaces of applications to measurements and other data systems. Solutions will, for example, engender an integration of large scale simulations, models, and data to advance traditional controls paradigms.

Mathematical and Statistical Algorithms: Design methods for stable and robust convergence properties under perturbations induced by time-dependent (periodic and non-periodic, scheduled and event-driven) data inputs, multiple scales and model variations. Address enhanced asynchronous algorithms with stable communication between networked resources, multimodal modeling, and uncertainty quantification. Solutions will, for example, dynamically invoke models requiring elegant methods of uncertainty quantification, management, and propagation.

Measurement Systems and Methods: Innovate instrumentation platforms for collecting data, registering measurements, controlling sampling rates, and multiplexing multisource information. Solutions will, for example, determine heterogeneous and embedded distributed sensor networks architectures, information fusion paradigms, and operationally robust performance.

Computational Hardware/Software Methods: Design platforms that provide runtime support coupling data workflows, architecture processing, and systems-oriented execution. Solutions will, for example, address computational capabilities within envisioned hardware/software advances to direct realizable and tractable systems for real-world performance.

Areas of interest to the AF and which can benefit from DDDAS advances, include areas such as: (a) autonomy (e.g., leveraging large-scale modeling of mission planning, collaborative/cooperative control, and data learning for data analytics); (b) agility (e.g., designing computational methods of sensor-based processing, ad-hoc network configurations, and multi-scale multi-physics simulations for decision support); (c) authority (e.g., coupling high-performance aircraft health monitoring, space situational awareness, and ground operations for command and control); and (d) robustness (e.g., understanding materials stresses and degradation; embedded diagnostics, complex adaptive systems verification and validation, and cognitive performance augmentation for situational understanding).

DDDAS seeks new approaches for combining computational, theoretical, and analytical methods for interactive testing of multiple scientific and engineering hypotheses. Programmatic activities that will be launched under this initiative will support research in individual areas, but mostly in the context of multidisciplinary

research across the Basic Area Objectives mentioned above.

You are highly encouraged to contact the Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. ERIK BLASCH, AFOSR/RTA2

E-mail: dddas@us.af.mil

(703) 696-7311

e. Information Assurance and Cybersecurity

Program Description: Securing cyberspace, i.e. defending against and preventing cyber-attacks are not new challenges but these have become increasingly pressing in the light of technological advancements. Software and protocols are continuously becoming more complex to meet application demands. More flexible computing environments, such as distributed systems, demand new ways of thinking how to ensure secure end-to-end functionalities, even though components are only known to be individually secure. The emergence of nanoscale devices and quantum information processing and communication also portends new technological challenges for cybersecurity. By the same token, these new technologies potentially offer unparalleled security solutions to the existing or future problems.

Although engineering practices continue to provide short-term and temporary relieves to these pressing needs, new scientific ideas are required to address the lack of security and the explosive growth of hostile actions in cyberspace, especially taking into account of emerging technologies. Many fundamental concepts are still eluding precise formulation and awaiting rigorous responses. The goal of this Basic Research program is to explore novel, promising concepts and methodologies that can establish a firm scientific foundation for cybersecurity and potentially tackle the difficult technical hurdles described above.

Basic Research Objectives: Recent developments and advances in the following research areas of computer science and mathematics are expected to provide valuable insights into various cybersecurity problems: dependent type theory, cryptographic protocols for interactive computation and communication, interactive and automated theorem proving, language-based techniques in software and hardware for formal specification and verification, secure protocols, game theory with strong security content, obfuscation and fully homomorphic encryption, model categories, formalized mathematics. Broadly speaking, cross-fertilization of mathematical formalisms and logical constructs will likely continue to play a central role in the construction and verification of security invariants, and in the study of security models or security principles.

These scientific advances are expected to contribute fresh ideas to a number of

fundamental cybersecurity topics: composition of security properties and protocols in distributed interactive systems without the need of trusted third parties; rigorous techniques to enable persistent and secure operations on unsecure or untrusted systems; information flow security and non-interference in dynamic and distributed settings; new security invariants that can readily be computed and interpreted, especially for systems endowed with rich geometric dynamics; rigorous proofs and construction of obfuscation techniques for programs and circuits to enhance security; formal verification and certification of the correctness of complex large-scale mathematical proofs and critical computer systems.

Aside from software and secure protocols, nanoscale material properties and quantum effects should offer added security capabilities for future computing devices that cannot be realized by today's technologies. They potentially enable physical construction of cryptographic primitives that are traditionally described by algorithms and typically implemented by software. Random Number Generators and Physical Unclonable Functions are simplest examples of such construction. At the same time, securing future unconventional technologies will require the introduction of new security principles and security models that may substantially deviate from the traditional approaches. In fact, various concepts in quantum information science and quantum computation such as quantum resources (entanglement, non-locality, contextuality, etc.) and quantum computational/communication complexity are highly relevant to the security of future communication and computing systems in which classical and quantum devices interact.

Research areas of interest to this program include, but are not limited to, the methodologies and topics described above. Highest priority will be given to projects with novel scientific ideas that potentially deliver new DoD/Air Force capabilities.

You are highly encouraged to contact the Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. TRISTAN N. NGUYEN, AFOSR/RTA2

E-mail: info.security@us.af.mil

(703) 696-7796

f. Optimization and Discrete Mathematics

Program Description: The program goal is the development of mathematical methods for the optimization of large and complex models that will address future decision problems of interest to the U.S. Air Force. Areas of fundamental interest include resource allocation, planning, logistics, engineering design, and scheduling. Increasingly, the decision models will address problems that arise in the design, management and defense of complex networks, in robust decision making, in

performance, operational efficiency, and optimal control of dynamical systems, and in artificial intelligence and information technology applications.

Basic Research Objectives: There will be a focus on the development of new nonlinear, integer, and combinatorial optimization algorithms, including those with stochastic components. Techniques designed to handle data that are uncertain, evolving, incomplete, conflicting, or overlapping are particularly important.

As basic research aimed at having the broadest possible impact, the development of new computational methods will include an emphasis on theoretical underpinnings, on rigorous convergence analysis, and on establishing provable bounds for (meta-) heuristics and other approximation methods.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, and the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. FARIBA FAHROO, AFOSR/RTA2

E-Mail: odmath@us.af.mil

(703) 696-8429

g. Science of Information, Computation, Learning, and Fusion

Program Description: The U.S. Air Force collects vast amounts of data through various modes at various times in order to extract and derive needed “information” from these large and heterogeneous (mixed types) data sets. Some data, such as those collected from magnetometers, register limited information content which is more identifiable at the sensor level but beyond human’s sensory reception. Other types of data, such as video cameras or text reports, possess more semantic information that is closer to human cognition and understanding. Nevertheless, these are instances of disparate data which encapsulate different types of “information” pertained to, perhaps, the same event(s) captured by different modalities through sensing and collection.

In order to understand and interpret information contained in various data sources, it is necessary to extract relevant pieces of information from these datasets and to make inferences based on prior knowledge and probabilities. This bottom-up processing direction needs conceptually driven reasoning to integrate or fuse the previously extracted snippets of information by leveraging domain knowledge. Furthermore, the top-down processes can offer causal explanation or causal inference, generate new hypotheses, verify or test hypotheses in light of observed datasets. Between the data-driven and conceptually-driven ends, there may reside different levels of abstraction in which information is partially extracted and aggregated based on the nature of applications.

Basic Research Objectives: With the rationale and guiding principles outlined in the above paragraph, this program seeks fundamental research that potentially leads to

scientific advancements in informatics, computation, and learning that can support processing and making sense of complex disparate information sources. After all, information processing can formally and fundamentally be described as computing and reasoning on various knowledge representations. Successes in addressing the research sub-areas stated below would give the U.S. Air Force new capabilities to: (1) shift emphasis from sensing to information awareness; (2) understand the underpinning of autonomy; (3) relieve human's cognitive overload in dealing with the data deluge problem; (4) enhance human-machine interface in information processing.

To accomplish the research objectives, this program focuses on, but is not limited to, new techniques in mathematics, computing science, statistics and logic which have potentials to: (1) cope with various complex disparate data/information types; (2) integrate a diversity of unique reasoning and learning components collaborating simultaneously (e.g., multi-strategy reasoning and learning); (3) bridge correlational with causal discovery; (4) determine solutions or obstructions to local-to-global data-fusion problems; (5) mechanize reasoning/learning and computing in the same computational environment; (6) yield provably efficient procedures to enable or facilitate data analytics; (7) deal with high-dimensional and massive datasets with provably guaranteed performance.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, and the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. RICHARD D. (DOUG) RIECKEN, AFOSR/RTA2

E-mail: icf@us.af.mil

(703) 696-9736

h. Trust and Influence

Program Description: Trust and Influence is an interdisciplinary basic research portfolio with two overarching goals. The first, is to advance our basic understanding of human reliance and teaming, to elucidate how people establish, maintain, and repair trust in agents, both human and machine. In particular, it supports research to build the scientific foundations for designing high-performing, mixed humans-machine teams, through properly calibrated trust. Research on the human social and cognitive process that inform the design of systems composed of human and machine agents and the development of novel man-machine interfaces and interaction techniques is of particular interest. The second goal is to advance the science of social influence within the context of national security. For instance, researchers in the program strive to understand the variables that influence human behavior, attitudes and beliefs, sufficient for measurement and forecasting of social phenomena. There is particular interest in developing computational approaches and using large-scale data sets to understand social and cultural behavior. Trust and Influence invests in the discovery of

foundational concepts of effective influence, deterrence, trust-building, trust calibration, and counter-terrorism operations. Multidisciplinary and transdisciplinary approaches are encouraged, to include contributions from cognitive science, neuroscience, anthropology, sociology, linguistics, economics, computer science and mathematics. Research designs that incorporate laboratory studies, modeling or field research leading to transformative novel theories are encouraged.

Basic Research Objectives: The research interests under this program can be defined broadly by three areas: trust in autonomous systems, socio-digital influence, and computational methods in social science. In the area of trust in autonomous systems there is particular interest in (1) empirical studies to examine drivers of trust between humans and intelligent, autonomous or robotic agents, (2) laboratory and field studies to examine the impact of socially-designed cues or physical features such as appearance, voice, personality, and other social elements on human trust and system performance, (3) development of trust metrics and other relevant constructs in human-machine teaming with a particular focus on real-time and dynamic assessment, and (4) modeling of human-machine teaming that supports adaptive and continuous improvement of joint performance in complex environments. In the area of socio-digital influence, research is needed towards understanding how social and digital media are used to influence populations, spread ideas and change beliefs. The portfolio is concerned with behavioral effects, but also the cognitive processes that give rise to behavior and the neural underpinnings of those cognitive processes. There is a need for (1) laboratory and field studies to reveal sources of influence and persuasion in social media and across different cultural groups, (2) social, cognitive, and neural mechanisms of influence and persuasion (3) modeling and measuring the relationship between online and real-world behaviors, and (4) empirical studies to discover new theories of influence as it pertains to the cyber domain. In the area of computational social science, there is interest in (1) developing methods employing computational and data sciences to further understanding of human behavioral, social and cultural processes, 2) computational analysis of social networks and social media content, leading to new theories and metrics of behavior and intent, (3) understanding how online or virtual communities affect politics and violence and what role social media plays in popular movements (4) research to understand the psychological and behavioral effects of new weapons systems such as armed drones, directed energy weapons, and cyber-based operations.

You are encouraged to contact our Program Officer prior to developing a full proposal to discuss alignment of your ideas with our program goals, your proposed methods, the scope of your proposed effort, and if there are any specific submission target dates.

DR. BENJAMIN A. KNOTT, AFOSR/RTA2

Email: ti@us.af.mil

(703) 696-1142

i. Complex Networks

Program Description: Networks are pervasive to the U.S. Air Force and DOD operations. They occur at multiple hierarchies of scale (networks-of-networks), and

involve multiple types of structure, data, and functionality. For example, communication and computer networks are intimately coupled to logistical and resource networks; depending on the topology, failure of critical nodes in one can trigger failure in the other, and a cascade event with potentially catastrophic consequence. Networks describe the fundamentally structural aspect of interactions between individual agents, and network science possesses universal qualities that allows it to analyze dynamics, stability, and design optimization for a wide variety of problems, e.g.: cyber-networks, logistics, complex engineered systems, socio-economic behavior, epidemiology, ecology, etc. Even individual platforms such as modern aircrafts are very complex assemblies of a large number of components, interacting with each other via physical coupling or information exchange, in a network. The software system in these platforms is, on its own, a complex network. Multiple aircrafts operating in synchrony with each other and coupled to global communication and ISR platforms form a higher-level network. As operational strategies increasingly shift towards un-manned platforms with increasing levels of autonomy, the network complexity increases and its scalability, optimization, stability and robustness become even more critically important considerations for the Air Force. This portfolio is aimed at fundamental, mathematical approaches to study, understand, analyze and design complex networks at multiple scales. Only innovative approaches with far-reaching potential, agnostic to the information content or specifics of the information processing, will be considered of interest. The networks of interest will have arbitrary topologies and heterogeneous nodes and data types, will have dynamical properties on multiple time scales, and will be subject to uncertain conditions, ranging from a stochastic environment to deliberate adversarial actions affecting both nodes and links. Applications range from any type of complex, engineering network to natural, physical, chemical, socio-economical, biological and neurological networks

Basic Research Objectives: The mathematical methods of interest should aim at solving one or more of the following problems of interest: rapid design and reconfiguration of optimal networks, rigorous analysis of stability, robustness and resilience, and optimal information recovery from multi-scale variability of topology, coupling strength/bandwidth and node functionality (continuous and discontinuous changes). Furthermore, we are also interested in network inference, e.g. topology, functionality, and stability, from limited observations. Also of particular importance are predictive capabilities for rare events, i.e. triggered cascades of failures across multiple network layers, and better understanding of emergent, global behaviors from local interactions and measurements, either qualitatively or quantitatively. Finally, we are interested in methods leading to complexity reduction of the network dynamics and functionality, for tractable and/or very rapid simulations of very large-scale network behavior, with complex, non-linear node functions. Approaches may include, but are not limited to: algebraic topology, differential geometry, information theory, control theory, graph theory, Bayesian methods, random Markov fields, optimal transport, game theory, differential equations and differential inclusions, reduced-order models and Galerkin projections, Koopman modes, Tensor Network States, machine-learning and neural-networks.

You are highly encouraged to contact the Program Officer, preferably by email,

prior to developing a full proposal, to briefly discuss the current state-of-the-art, how your research would advance it, the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

We are currently searching/hiring a new Program Officer, but there is a temporary custodian until a new one is selected. Emails sent to the email address below will go to the temporary custodian indicated below.

(ACTING) DR. TRISTAN NGUYEN, AFOSR/RTA2

E-mail: complex-networks@us.af.mil

(703) 696-7796

j. Computational Social Sciences

Program Description: There is an increased interest in the ability to better understand and predict social behavior, driven mostly by the availability of new mathematical methods and computer capabilities, combined with the explosive growth of data on social networks. Commercial applications, for consumer marketing and trend analysis for example, have been a major factor behind both the interest in the field, and the progress made in developing analysis tools. The computational modeling of social behavior also finds its use in economics and urban planning, but also in areas that are directly relevant to issues of interest to national security. In epidemiology, for example, the prediction of crowd behavior is directly linked to the ability to control the spread of disease amongst the population at large. Similarly, crowd response to catastrophic events, natural or due to human intervention (e.g. attacks), or even the mere threat of the event, is an important factor in determining the effectiveness of defensive postures and/or military missions. Social behavior, in a broad sense of the term, is therefore an important and pervasive subject that needs to be better understood, characterized and predicted. The social dynamics do not occur *in vacuo*, and are strongly coupled to the physical environment (e.g. transportation network, weather, communication), which itself may respond non-linearly to the social behavior (e.g. congestion, breakdown). Furthermore, the individual agents of a human population can have complex and variable objectives driving their decisions, often leading to irrational actions and high susceptibility to spontaneous organization. While there has been some spectacular success in reproducing many features of social behavior using very simple rules, it is also quite clear that deviations from average dynamics, rational objectives and simple strategies must be considered in order to greatly improve our predictive capabilities of social behavior.

Basic Research Objectives: This portfolio aims at developing new approaches, methods and tools to model socio-cultural dynamics at unprecedented levels of detail in agent strategies, interacting within complex and dynamic networks, physical and socio-economic. The research should leverage or advance the state of the art in one or more fields, such as mathematics, computer science, psychology, sociology, political science, economics, and anthropology, towards the construction of accurate numerical models of behavior. Topics of interest include, but are not limited to: advanced

mathematical models of cognitive functions, rational and irrational thinking, information processing, cognitive bias, influence, social norms. Advances in agent modeling should be combined with counter-parts in the network modeling at various scales and with dynamic features, e.g. mobility, clique formation and destruction, communication uncertainty and breakdown, and multi-type agents (including adversarial). Finally, the inverse problem is also of high interest, i.e. inferring agent strategies from partial observations or finding optimal network characteristics or forcing functions to achieve specific equilibria.

You are encouraged to contact our Program Officer prior to developing a full proposal to discuss alignment of your ideas with our program goals, your proposed methods, the scope of your proposed effort, and if there are any specific submission target dates.

(ACTING) DR. BENJAMIN A. KNOTT, AFOSR/RTA2

Email: compsocsci@us.af.mil

(703) 696-1142

3. PHYSICAL SCIENCES(RTB1)

The Physical Sciences Team leads the discovery and transition of foundational physical science to enable air, space, and cyber power. Research in physics generates the fundamental knowledge needed to advance U.S. Air Force operations, from the perspective of sensing, characterizing, and managing the operational environment as well as developing advanced devices that exploit novel physical principles to bring new capabilities to the warfighter. Research directions are categorized in the following four broad areas, with the focus on advancing our basic understanding of the physical world: (1) Quantum matter and devices; (2) plasma and high-energy-density physics; (3) optics, photonics, and electromagnetics; and (4) aerospace materials.

The Physical Science (AFOSR/RTB1) Program Officers and topics are:

SECTION	PROGRAM DESCRIPTION	PROGRAM OFFICER
A.3.a.	Aerospace Materials for Extreme Environments	Dr. Ali Sayir
A.3.b.	Atomic and Molecular Physics	Dr. Grace D. Metcalfe
A.3.c.	Electromagnetics	Dr. Arje Nachman
A.3.d.	Laser and Optical Physics	Dr. Gernot S. Pomrenke (acting)
A.3.e.	Optoelectronics and Photonics	Dr. Gernot S. Pomrenke
A.3.f.	Plasma and Electro-Energetic Physics	Dr. Jason A. Marshall
A.3.g.	Quantum Electronic Solids	Dr. Harold Weinstock
A.3.h.	Quantum Information Sciences	Dr. Tatjana Curcic

A.3.i.	Remote Sensing	Dr. Stacie E. Williams
A.3.j.	Space Science	Dr. Julie J. Moses
A.3.k.	Ultrashort Pulse Laser-Matter Interactions	Dr. Riq Parra

Our research areas of interest are described in detail below:

a. Aerospace Materials for Extreme Environments

Program Description: Aerospace Materials for Extreme Environments program aims to provide the fundamental knowledge required to enable revolutionary advances in future U.S. Air Force technologies through the discovery and characterization. Extreme environments are combination of heat-, stress-, magnetic-, electric-, microwave-, and acoustic fields. Materials of interest are ceramics, metals, hybrid systems including inorganic composites that exhibit superior structural, functional and/or multifunctional performance.

Basic Research Objectives: The following research concentrations areas are selected to highlight the philosophy about function, environment and state of the materials that could create disruptive source of transformations.

Computational Materials Science: The aim of this research concentration area is to explore the possibility for the quantification of microstructure through reliable and accurate descriptions of grain and particle shapes, and identifying sample distributions of shape descriptors to generate and predict structures which might revolutionize the design and performance. The quality of computerized representation of microstructures and models will be measured by its (a) geometric accuracy, or faithfulness to the physical landscape, (b) complexity, (c) structure accuracy and controllability (function), and (d) amenability to processing and high level understanding. In order to satisfy these metrics, the approaches may require development of an accurate methodology for the quantification of 3-dimensional shapes in both experimental and theoretical microstructures in heterogeneous systems, and to establish a pathway for an accurate comparison tools (and metric).

Synthesis Science and Response Far from Equilibrium: The transformative breakthrough has not originated from the investigations of materials in equilibrium state but in contrary at the margins of the disciplines. In this context, this program embraces materials and processing science approaches that are far from the thermodynamic equilibrium domain; i.e., materials for quantum sciences, adaptive oxides, multiferroics, frustrated structures (layered structured materials), highly doped polycrystalline laser materials, and other non-equilibrium materials. This area requires understanding of supersaturation of lattice-structure and manipulation of lattice substructure by understanding elastic softening of a lattice containing a critical amount of dopants, which could lead to an order disorder transition with further supersaturation. The intent is to elucidate complex interplay between phase transitions for electronic/magnetic phase separation and untangle the interdependence between structural, electronic, photonic and magnetic effects.

Hypersonic Material: This topic area includes a wide range of activities of

hypersonic that require understanding and managing the non-linear response of materials to combined loads (i.e., thermal, acoustic, chemistry, shear or pressure fields) under high energy density non-equilibrium extremities. The ultimate goal is to exploit these phenomena and design future materials, sensors and components for hypersonic environments.

Combined External Fields: This subtopic also stresses a fundamental understanding of external fields and energy through the materials microstructure at a variety of time scales and in a variety of conditions of extreme fields; i.e., dielectric breakdown at high temperatures. The aim is to link an effective property to relevant local fields weighted with certain correlation functions that statistically exemplify the structure and demonstrate scientific pathway to design new materials with tailorable properties.

Researchers are highly encouraged to contact the Program Manager prior to developing full proposals to briefly discuss the current state-of-the-art, how the proposed effort would advance it, and the approximate yearly cost for a three (3) to five (5) year effort.

DR. ALI SAYIR, AFOSR/RTB1

E-Mail: extreme.environment@us.af.mil

(703) 696-7236

b. Atomic and Molecular Physics

Program Description: This program encompasses fundamental experimental and theoretical Atomic and Molecular Physics research that is primarily focused on studies of cold and ultra-cold quantum gases, precision measurement, matter-wave optics, and non-equilibrium quantum dynamics. These research areas support technological advances in application areas of interest to the U.S. Air Force, including precision navigation, timekeeping, remote sensing, metrology, and novel materials for the U.S. Air Force needs in the future.

Basic Research Objectives: AMO (Atomic, Molecular and Optical) physics today offers an unprecedented level of coherent control and manipulation of atoms and molecules and their interactions, allowing for significant scientific advances in the areas of cold and ultracold matter and precision measurement. Specific research topics of interest in this program include, but are not limited to, the following: physics of quantum degenerate atomic and molecular gases; strongly-interacting quantum gases; new quantum phases of matter; non-equilibrium dynamics of cold quantum gases; cold/ultracold plasmas; ultracold chemistry; precision spectroscopy; novel clocks; and high-precision techniques for navigation, guidance, and remote sensing. You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, and the approximate cost for a three (3) to five (5) year effort. And if there are any specific submission target dates.

DR. GRACE D. METCALFE, AFOSR/RTB1

E-mail: amphysics@us.af.mil

(703) 696-6204

c. Electromagnetics

Program Description: This portfolio supports research in Electromagnetics (EM) whose objective is the interrogation (modeling/simulation) of linear/nonlinear Maxwell's equations together with research in the general area of signal processing.

Basic Research Objectives: Basic research to produce conceptual descriptions of electromagnetic properties of novel materials/composites (such as photonic band gap media, negative index media, Parity-Time symmetry media, etc.) and the simulation of their uses in various operational settings is encouraged. Also of interest is temporal modulation of physical parameters of various components. Such a dynamically induced nonreciprocity can lead to a new generation of compact and energy efficient isolators, circulators, phase shifters, and other non-reciprocal optical and microwave devices. Basic research in inverse scattering theory in order to promulgate new methods which recognize and track targets or upgrade efforts to pursue Nondestructive Evaluation is encouraged. Efforts to identify suitable wideband radar waveforms to penetrate foliage, clouds, buildings, the ionosphere, or other dispersive/random/turbulent media as well as to notionally design transmitters to produce such waveforms are also supported. Research which develops the mathematical underpinning for computational electromagnetic simulation codes (both frequency domain and time domain) that are rapid and whose claims of accuracy are accompanied by rigorous error estimates/controls is encouraged. In the area of nonlinear Maxwell's equations, commonly called nonlinear optics, research pursues descriptions of nonlinear EM phenomena such as the propagation of Ultrashort laser pulses through air, clouds, etc. and any possible exploitation of these pulses is supported. Such mathematical descriptions are anticipated to be a coupled system of nonlinear partial differential equations. Basic research in other nonlinear EM phenomena include the dynamics of the EM field within solid state laser cavities (particularly the modeling/simulation of non-equilibrium carrier dynamics within semiconductor lasers) and fiber lasers, the propagation of light through various nonlinear crystals (including Graphene), as well as other nonlinear optical media. All such modeling/simulation research is complementary to the experimental portfolios within AFOSR. As regards the signal processing component, an outstanding need in the treatment of signals is to develop resilient algorithms for data representation in fewer bits (compression), image reconstruction/enhancement, and spectral/frequency estimation in the presence of external corrupting factors. These factors can involve deliberate interference, noise, ground clutter, and multi-path effects. This component searches for application of sophisticated mathematical methods, including time-frequency analysis and generalizations of the Fourier and wavelet transforms, that deal effectively with the degradation of signaling transmission across a channel.

These methods hold promise in the detection and recognition of characteristic transient features, the synthesis of hard-to-intercept communications links, and the achievement of faithful compression and fast reconstruction for video and multi-spectral data. New combinations of asset location and navigation are being sought, based on analysis and high-performance computation that bring a force-multiplier effect to command/control capabilities. Continued upgrade and reliance on Global Positioning System makes it critical to achieve GPS-quality positioning in situations where GPS by itself is not sufficient. Ongoing research in non-Inertial navigation methods (including optical flow and use of signals of opportunity) will bring location precision and reliability to a superlative level.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, and the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. ARJE NACHMAN, AFOSR/RTB1

E-mail: electromagnetics@us.af.mil

(703) 696-8427

d. Laser and Optical Physics

Program Description: The program goal is to advance the science of laser devices, laser materials, laser matter interaction, nonlinear optical phenomena and devices, and unique applications of these to solving scientific and technological problems of interest to the Air Force. Novel light sources are also an objective of this program, particularly in regions of the spectrum otherwise not easily accessible. Theoretical, computational, and experimental research is encouraged.

Basic Research Objectives: The U.S. Air Force seeks innovative approaches and concepts that could lead to transformational advances in the generation and optimization of laser beams with high peak and high average power for future applications, related to directed-energy and standoff sensing. To this end, this program supports fundamental science in lasing processes in solids, liquids, gases, and plasma, and research that enhances the power, energy, beam quality and waveform stability of lasers across the wavelength spectrum is especially encouraged. Examples include novel processing techniques for high quality solid-state laser materials with control over spatial distributions of dopants and index of refraction, and processing methods for achieving low-loss lasers. New ideas for high average power fiber lasers are of interest, including new materials, and large mode area structures, new ways of mitigating nonlinear instabilities, and studies of coupling multiple fiber lasers, which can withstand very high average power. Of particular interest are new light-generating materials and systems that are far from the thermodynamics equilibrium, such as highly doped polycrystalline materials, and layered semiconducting laser structures. Novel, compact, particularly tunable or wavelength flexible, infrared lasers are of interest for countermeasures and sensing

applications, as are compact sources of monochromatic X-rays and gamma rays. More broadly, the Laser and Optical Physics program will consider any cutting-edge and potentially transformational idea, and is especially interested in inter-disciplinary research, within the broad confines of its portfolio. With this in mind, researchers should also consult the programs in Ultrashort Pulse Laser-Matter Interactions, Plasma and Electro-Energetic Physics, Remote Sensing and Imaging Physics, and Optoelectronics and Photonics described in this Broad Area Announcement. New concepts for the computational modeling of light and laser devices, including thermal effects, are also of interest. Combined theory, simulation, and experimental efforts designed to verify and validate innovative models are welcome.

Researchers are highly encouraged to contact the Program Officer prior to developing full proposals to briefly discuss the current state-of-the-art, how the proposed effort would advance it, and the approximate yearly cost. Collaborative efforts with the researchers at the Air Force Research Laboratory are encouraged, but not required. We are currently searching/hiring a new Program Officer, but there is a temporary Custodian until a new PO is selected. Emails sent to the email address below will go to the temporary custodian:

(ACTING) DR. GERNOT S. POMRENKE, AFOSR/RTB1

E-mail: laser.optics@us.af.mil

(703) 696-8426

e. Optoelectronics and Photonics

Program Description: This program supports Air Force requirements for information dominance by increasing capabilities in image and data capture, processing, storage, and transmission for applications in surveillance, communications, computation, target discrimination, and autonomous navigation. Important considerations for this program are the airborne and space environment in which there is a need to record, read, and change digital data at extremely high speeds with low power, low weight, and small sized components. Five major areas of interest include Integrated Photonics (including Silicon Photonics); Nanophotonics (including Plasmonics, Photonic Crystals, Metamaterials, Metaphotonics and Novel Sensing); Reconfigurable Photonics (including all-optical switching and logic, and optoelectronic computing); Nanofabrication, 3-D Assembly, Modeling and Simulation Tools for Photonics; and Quantum Computing using Optical Approaches.

Basic Research Objective: The major objective is to push the frontiers of optics and explore new fundamental concepts in photonics; understand light-matter interactions at the sub-wavelength and nanoscale; investigate novel optoelectronic materials; improve the fundamental understanding of photonic devices, components, and architectures; and enable discovery and innovation in advancing the frontier of nanophotonics with the associated nanoscience and nanotechnology.

The thrusts in Integrated Photonics include investigations in two affiliated areas: (1) the development of optoelectronic devices and supportive materials and processing technology, and (2) the insertion of these components into optoelectronic computational, information processing and imaging systems. Device exploration and architectural development for processors are coordinated; synergistic interaction of these areas is expected, both in structuring architectural designs to reflect advancing device capabilities and in focusing device enhancements according to system needs. Research in optoelectronic or photonic devices and associated optical material emphasizes the insertion of optical technologies into computing, image-processing, and signal-processing systems. To this end, this program continues to foster interconnection capabilities, combining arrays of sources or modulators with arrays of detectors, with both being coupled to local electronic or potentially optical processors. Understanding the fundamental limits of the interaction of light with matter is important for achieving these device characteristics. Semiconductor materials, insulators, metals and associated electromagnetic materials and structures are the basis for the photonic device technologies. Numerous device technology approaches (such as silicon photonics, tin based Group IV photonics, Graphene and related 2D materials and novel III-V optoelectronics) are part of the program as are techniques for optoelectronic integration.

The program is interested in the design, growth and fabrication of nanostructures that can serve as building blocks for nano-optical systems. The research goals include integration of nanocavity lasers, filters, waveguides, detectors and diffractive optics, which can form nanofabricated photonic integrated circuits. Specific areas of current interest include nanophotonics, use of nanotechnology in photonics, exploring light at the nanoscale, nonlinear nanophotonics, plasmonics and excitonics, sub-wavelength components, photonic crystal and negative index materials, optical logic, optical signal processing, reconfigurable nanophotonics, nanophotonics enhanced detectors, chip scale optical networks, integrated nanophotonics and silicon-based photonics. Coupled somewhat to these areas are optoelectronic solutions to enable practical quantum computing schemes, quantum plasmonics and quantum Metamaterials, plus novel approaches to ultra-low power optoelectronic devices.

To support next generation processor architectures, image processing and capture and new multi-media application software, computer data buffering and storage research is needed. As devices are being developed that emit, modulate, transmit, filter, switch, and detect multi-spectral signals, for both parallel interconnects and quasi-serial transmission, it is important to develop the capability to buffer, store, and retrieve data at the rates and in the quantity anticipated by these devices. Architectural problems are also of interest that include, but are not limited to, optical access and storage in memory devices to obviate capacity, access latency, and input/output bandwidth concerns. Of interest has been the ability to slow, store, and process light pulses. Materials with such capabilities could be used for tunable optical delay lines, optical buffers, high extinction optical switches, novel image processing hardware, and highly efficient wavelength converters.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, and the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. GERNOT S. POMRENKE, AFOSR/RTB1

E-mail: opto.elec@us.af.mil

(703) 696-8426

f. Plasma and Electro-Energetic Physics

Program Description: This program seeks to provide revolutionary advances in the fundamental understanding of underlying physical processes necessary to control the interaction of electromagnetic energy and charged particles to 1) produce useful work for a variety of applications, including directed energy weapons, sensors and radar, electronic warfare, communications, and novel compact accelerators, or to 2) improve our ability to operate in a range of extreme environments and conditions. The focus of this portfolio is split between exploratory plasma physics and the basic science associated with the generation and collective interaction of electromagnetic fields and plasmas. This includes efforts directed toward an understanding of the basic principles associated with compact pulsed power and research increasing the scientific understanding required to predict energy transfer across a broad range of temporal and spatial scales.

Basic Research Objectives: Ideas for advancing the state-of-the-art in the following areas are strongly encouraged: 1) strongly coupled coulomb systems including ultra-cold plasmas, novel approaches to study the physics of complex and/or dusty ionospheric plasmas, and those that address open questions regarding how plasmas involving potential states such as plasma “liquids,” “glasses,” and “crystals,” come to equilibrium and partition their energy between various thermodynamic states; 2) non-equilibrium plasmas including high energy density plasmas (i.e. plasmas far from equilibrium), certain aspects of laser plasma/matter interaction, and particle-field interaction physics. Also of primary interest are proposals for basic research associated with the development of 1) highly efficient electron-beam-driven sources for high-frequency microwave, millimeter- wave, and sub-millimeter coherent radiation (high power electromagnetic [HPEM] and/or vacuum electronics), 2) high-power amplifiers, 3) novel dispersion engineering via metamaterials and photonic band gap structures, 4) novel sources of relativistic particle beams, and 5) compact pulsed power. New concepts for the theory, modeling, and simulation of these physical phenomena are of interest, & combined experimental/theoretical/simulation efforts that verify and validate innovative models are highly encouraged. Theory, modeling, and simulation proposals should focus on improved descriptions of physical systems of interest, physical accuracy, and complexity of simulations, and

development of models to solve difficult, but realistic problems. Proposals focusing on physical systems considered of primary interest to the portfolio will receive priority, although others will be considered if generally applicable to a class of problems that meet those interests. Efforts to develop new numerical methods for difficult but theoretical problems with a focus on numerical accuracy and speed should consult the Computational Mathematics program as described in this announcement. Researchers should consult the program in Aerospace Materials for Extreme Environments to find the best match for research concerning materials, thermal physics and other areas of potential overlap. Although ideas relating to plasmas and electro-energetic physics in space are of potential interest to this program, researchers should also consult the programs in Space Power and Propulsion and in Space Sciences to find the best match for the research in question. Additionally, laser plasma/matter interaction, while of interest to this portfolio, is generally limited to the non-equilibrium physics of plasmas; other concepts related to laser-matter interactions should consult the Ultrashort Pulse Laser-Matter Interactions or Laser and Optical Physics programs. Propagation of electromagnetic energy through, and its interaction with, plasmas is of interest to this portfolio, however proposers should also consult the Electromagnetics and Space Sciences programs to ensure their research is considered accordingly. Nuclear batteries, nuclear fission and/or nuclear fusion for large-scale energy production are not of primary interest to this portfolio.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, and the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates

Collaborative efforts with researchers at the Air Force Research Laboratory are encouraged when appropriate, but are not required.

DR. JASON A. MARSHALL, AFOSR/RTB1

E-mail: plasma@us.af.mil

(703) 696-7721

g. Quantum Electronic Solids

Program Description: This program focuses on materials that exhibit cooperative quantum electronic behavior. The primary emphasis is on superconductors, meta-materials, and on nanoscopic electronic components and devices based upon 2D materials such as graphene, transition metal dichalcogenides (TMDs) and other forms of these materials with low power dissipation and the ability to provide denser non-volatile memory, logic and/or sensing elements that have the potential to impact future U.S. Air Force electronic systems.

Basic Research Objectives: The superconductivity portion of this program is mainly devoted to a search for new classes of superconducting materials that either have

higher transition temperatures, higher critical magnetic fields or have isotropic superconducting properties at temperatures in the range of the transition temperatures of the cuprates, e.g., YBCO. While the 2008 discovery of iron-pnictide superconductors has provided new insights, these materials are not sufficiently promising. This emphasis is part of a coordinated activity that is multidisciplinary in nature, and proposals that address both the physics and chemistry of potential new types of superconductors are welcome. This program is primarily an experimental one, but theorists who interact with experimental groups constructively are welcome. The primary goal of this part of the program is to uncover superconducting materials that can be made into forms that are amenable to U.S. Air Force applications.

The metamaterials portion of this program is devoted to the production of metamaterials that operate over a wide swath of the electromagnetic spectrum, from microwaves, to IR and the visible. The long-term goal is to produce materials that improve the efficiency and selectivity of, and reduce the size of communications system components such as antennas, filters and lenses. Another important aspect is to study the ability to create sub-wavelength, near-field (and possibly far-field) imaging. These desired properties could lead to denser information storage and retrieval.

A relatively new area of interest involves thin-film, oxide-based materials that are critical for the development of devices with new functionalities that will lead to useful, reprogrammable, controllable and active systems at the nanoscale with properties difficult to attain by other means. The utilization of oxides for revolutionary technologies critically relies on acquiring fundamental understanding of the physical processes that underlie spin, charge and energy flow in these nanostructured materials. The oxides to be considered are generally complex, multi-element materials that can be synthesized in unusual nanostructured geometries that exhibit strong electronic correlations.

A relatively minor part of this program is the inclusion of nanoscopic techniques to fabricate, characterize and manipulate atomic, molecular and nanometer-scale structures (including graphene and TMDs), with the aim of producing a new generation of improved communications components, sensors and non-volatile, ultra-dense memory, resulting in the ultimate miniaturization of analog and digital circuitry. This aspect of the program includes the use of polarized electrons to produce nuclear magnetic polarization as a basis for dense, non-volatile memory, with possible application to quantum computing at room temperature.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, and the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. HAROLD WEINSTOCK, AFOSR/RTB1

E-mail: quantum.solid@us.af.mil

(703) 696-8572

h. Quantum Information Sciences

Program Description: This program encompasses fundamental experimental and theoretical research in the field of Quantum Information Science (QIS). The primary focus is on understanding, controlling, and exploiting non-classical phenomena for developing novel capabilities for the Air Force beyond those possible with classical systems in the areas including, but not limited to, quantum networks, and complex materials.

Basic Research Objectives: Quantum mechanics provides the opportunity to utilize non-classical physical resources to develop beyond-classical capabilities in imaging, sensing and precision measurements, ultra-secure transmittal of information, or simulation and discovery of complex materials. Specific research topics of interest in this program include, but are not limited to, the following: quantum communication; quantum simulation; open quantum systems and dissipative engineering; and fundamental studies in support of this research area, such as fundamental investigations of creation and manipulation of entanglement and squeezing, quantum control techniques, and coherent state transfer between different types of qubits.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, and the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. TATJANA CURCIC, AFOSR/RTB1

E-mail: QIS@us.af.mil

(703) 696-6204

i. Remote Sensing

Program Description: This program addresses fundamental research in remote sensing with applications to space situational awareness and combat identification. This includes, but is not limited to, theoretical and experimental approaches to expand the basic physical understanding in propagation of electromagnetic radiation, the interaction of radiation with matter, image formation, smart sensor tasking, data fusion, remote target detection and identification, and the effect of the atmosphere or space environment on sensing systems. Proposals are sought in all areas of ground, air, and space-based remote sensing with applications to tracking, detecting, and characterizing. Technological advances are driving the requirement for innovative methods to detect, identify, and predict trajectories of smaller and/or more distant objects in space at further standoff distances and under both day and night conditions. New optical capabilities to include active approaches that complement the current state of the art, as well as increased resolution and sensitivity, are of particular interest.

Basic Research Objectives: Research goals include, but are not limited to:

- Theoretical foundations of remote sensing, both active and passive
- Enhancement of remote sensing capabilities, including novel solutions to system limitations such as limited aperture size, time of day, imperfections in the optics, and irregularities in the optical path
- Novel tracking and image processing algorithms
- Propagation of coherent and incoherent electromagnetic radiation through a turbulent atmosphere
- Innovative methods of remote target location, characterization, and tracking, as well as non-imaging methods of target identification
- Understanding and predicting dynamics of space objects as it relates to space object identification and space situational awareness
- Rigorous theory and models to describe the spectral, thermal and polarimetric signature from targets of interest using basic material physical properties with the goal of providing better understanding of the physics of the reflection and/or emission from objects in space and the instrumentation requirements for next generation space surveillance systems
- Remote sensing signatures and backgrounds of both ground-based and space-based observations
- The interaction of U.S. Air Force imaging systems and sensors with the space atmosphere environment. This includes the understanding of conditions that affect target identification, such as environmental changes and surface aging or weathering
- Theoretical and mathematical aspects of remote sensing may also align with the Electromagnetics portfolio. Please address questions on the electromagnetics portfolio to Dr. Arje Nachman.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, and the approximate cost for a three (3) effort. Collaborative efforts with the researchers at the Air Force Research Laboratory are encouraged, but not required.

DR. STACIE E. WILLIAMS, AFOSR/RTB1

E-mail: remote.sensing@us.af.mil

(703) 588-8213

j. Space Science

Program Description: The AFOSR Space Science program supports basic research on the solar-terrestrial environment extending from the Sun through Earth's magnetosphere and radiation belts to the mesosphere and lower thermosphere region. This geospace system is subject to solar radiation, particles, and eruptive events, variable interplanetary magnetic fields, and cosmic rays. Perturbations to the system can disrupt the detection and tracking of aircraft, missiles, satellites, and other targets;

distort communications and navigation signals; interfere with global command, control, and surveillance operations; and negatively impact the performance and longevity of U.S. Air Force space assets.

Fundamental research focused on improving understanding of the physical processes in the geospace environment is encouraged. Particular goals are to improve operational forecasting and specification of solar activity, thermospheric neutral densities, and ionospheric irregularities and scintillations. Activities that support these goals may include validating, enhancing, or extending solar, ionospheric, or thermospheric models; investigating or applying data assimilation techniques; and developing or extending statistical or empirical models. An important aspect of the physics is understanding and represents the coupling between regions, such as between the solar corona and solar wind, between the magnetosphere and ionosphere, between the lower atmosphere and the thermosphere/ionosphere, and between the equatorial, middle latitude, and Polar Regions.

Basic Research Objectives: Research goals include, but are not limited to:

- The structure and dynamics of the solar interior and its role in driving solar eruptive activity;
- The mechanism(s) heating the solar corona and accelerating it outward as the solar wind;
- The triggers of coronal mass ejections (CMEs), solar energetic particles (SEPs), and solar flares;
- The coupling between the solar wind, the magnetosphere, and the ionosphere;
- The origin and energization of magnetospheric plasma;
- The triggering and temporal evolution of geomagnetic storms;
- The variations in solar radiation received at Earth and its effects on satellite drag;
- The impacts of geomagnetic disturbances on the thermosphere and ionosphere;
- Electron density structures and ionospheric scintillations;
- Ionospheric plasma turbulence and dynamics;
- The effects of neutral winds, atmospheric tides, and planetary and gravity waves on the neutral atmosphere densities and on the ionosphere;

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, and the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. JULIE J. MOSES, AFOSR/RTB1

E-mail: space@us.af.mil

(703) 696-9586

k. Ultrashort Pulse Laser-Matter Interactions

Program Description: The Ultrashort Pulse Laser-Matter Interactions program is

focused on one of the most fundamental process in nature, the interaction of light with the basic constituents of matter. The objective of the program is to explore and understand the broad range of physical phenomena accessible via the interaction of ultrashort pulse (USP) laser with matter in order to further capabilities of interest to the U.S. Air Force, including directed energy, remote sensing, communications, diagnostics, and materials processing. The portfolio explores research opportunities accessible by means of the three key distinctive features of USP laser pulses: high peak power, large spectral bandwidth and ultrashort temporal duration

Basic Research Objectives: The Ultrashort Pulse Laser-Matter Interactions program seeks innovative science concepts in the research focus areas of high-field laser physics, frequency combs and attosecond science described below:

High-field laser physics: Over the last two decades, progress in laser pulse amplification techniques has resulted in a six orders of magnitude increase in achieved focused intensities. The interaction of such intense radiation with matter results in rapid electron ionization and a rich assortment of subsequent interaction physics, which are a focus of investigation for this program. Topics of interest in this area include, but are not limited to, techniques for ultrafast- laser processing (e.g., machining, patterning), mechanisms to control dynamics of femtosecond laser propagation in transparent media (e.g., filamentation), concepts for monochromatic, tunable laser-based sources of secondary photons (e.g., extreme ultraviolet, terahertz, X-rays) and particle beams (e.g., electrons, protons, neutrons), laser-based compact particle accelerators and concepts for high peak power laser architectures and technology that efficiently scale up to high repetition rates and/or new wavelengths of operation.

Optical frequency combs: The large coherent spectral bandwidths intrinsic to USP lasers make them especially suitable for applications requiring high temporal and spectral precision such as telecommunications, optical clocks, time and frequency transfer, precision spectroscopy and arbitrary waveform generation. Research topics in this thrust area include, but are not limited to, dispersion management techniques to increase the spectral coverage to exceed an octave while maintaining high powers per comb, new concepts to extend frequency combs from the extreme ultraviolet into the mid-wave and long-wave infrared spectral regimes, development of novel resonator designs (e.g., micro-resonator based) and ultra-broadband pulse shaping.

Attosecond science: The development of intense light pulses with attosecond durations has resulted in stroboscopic probes with the unprecedented ability to observe atomic-scale electron dynamics with attosecond temporal resolution. This highly exploratory thrust of the program is interested in developing research aimed at resolving electron dynamics in complex systems of interest to DOD (i.e., such as solid-state semiconductor, magnetic, and plasmonic systems). Topics of interest in this area include, but are not limited to, new concepts for improved attosecond sources (e.g., increased efficiency, higher flux, shorter pulses, and higher photon energy), development of pump-probe methods that investigate interactions with

systems ranging from isolated atoms / molecules to condensed matter, attosecond pulse propagation, novel concepts for attosecond experiments and fundamental interpretations of attosecond measurements.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, and the approximate cost for a three (3) to five (5) year effort, but not required.

DR. RIQ PARRA, AFOSR/RTB1

E-mail: short.laser@us.af.mil

(703) 696-8571

4. CHEMISTRY AND BIOLOGICAL SCIENCES (RTB2)

The Chemistry and Biological Sciences Team is responsible for research activities in chemistry and biological sciences. A wide range of fundamental chemistry, biology, mechanics, and biophysics research is supported to provide the Air Force with novel options to increase performance and operational flexibility. Research carried out within this team will help usher in revolutionary new technologies that will fundamentally change the way future Air Force weapon systems are designed and implemented.

This research effort will endeavor to identify chemical and biological mechanisms, structures, and systems with the potential to inspire future technology in all Air Force systems. Understanding these mechanisms, structures and systems at a fundamental level will accelerate advances in energy technology, control of complex systems, sensors and sensory systems, and materials engineering.

The focus is on complex materials, microsystems and structures and well as systems of a biological nature by incorporating hierarchical design of mechanical and functional properties from the nanoscale through the mesoscale, ultimately leading to controlled well-understood chemistry/biochemistry, and material or structural behavior capable of dynamic functionality and/or performance characteristics to enhance mission versatility. In addition to research into underlying materials/biomaterials and fundamental physical/biophysical processes, this area considers how they might be integrated into new classes of devices and pursues a fundamental understanding of materials that are not amenable to conventional computational means.

Finally, the energy extraction and storage efforts address the characterization, synthesis, and utilization of fundamental energy sources, ranging from novel molecular configurations to photoelectric stimulated mitochondria and solid rocket motor propellants infused with performance improving nano-energetic particles.

The Chemistry and Biological Sciences (AFOSR/RTB2) Program Officers and topics

are:

SECTION	PROGRAM DESCRIPTION	PROGRAM OFFICER
A.4.a.	Biophysics	Dr. Sofi Bin-Salamon
A.4.b.	Human Performance and Biosystems	Dr. Patrick O. Bradshaw
A.4.c.	Mechanics of Multifunctional Materials and Microsystems	Dr. Byung-Lip (Les) Lee
A.4.d.	Molecular Dynamics and Theoretical Chemistry	Dr. Michael R. Berman
A.4.e.	Natural Materials, Systems, and Extremophiles	Dr. Sofi Bin-Salamon (acting)
A.4.f.	Organic Materials Chemistry	Dr. Kenneth C. Caster

Our research areas of interest are described in detail below:

a. Biophysics

Program Description: This program encompasses fundamental experimental and theoretical Biophysics research that is primarily focused on studies of bio-molecular and atomic imaging below the diffraction limit, bioelectricity, electromagnetic stimulation, and quantum biology. We are concerned then, with the study of physical biology with the aim of answering fundamental and basic physics questions through the application of the principles and methods of physical sciences to achieve novel and innovative solutions in biology and physics. The relatively recent emergence of biophysics as a scientific discipline may be attributed to the spectacular success of biophysical tools born out of physics that have allowed us to unravel the complex atomic/molecular structures found in DNA and RNA. More recently areas of interest in Biophysics include, but are not limited to bio-molecular imaging while preserving structure and functionality, optogenetics, electromagnetic bioeffects and quantum biology. These research areas are selected for their potential to support technological advances in application areas of interest to the United States Air Force including biologically inspired new innovative and novel materials, autonomy, human performance, Directed Energy, and enhanced computational development for future Air Force needs.

Basic Research Objectives: This is a multidiscipline collaborative basic research effort that meets scientifically meritorious rigor in the area of Biophysics. We seek to directly or indirectly support the efforts of the Air Force Research Laboratories ongoing in house research in Biophysics and Human Performance. We seek to explore new areas in applied mathematics, physics, optics and biology by working in the sub-areas of bio-molecular imaging, optogenetics, electromagnetic bioeffects, and quantum biology.

As examples, the new emerging technology area of optogenetics is beginning to enable precise excitation modulation of distinct atoms and molecules associated with living material to track activity of molecular processes; for controlling cellular signaling processes. Functional projections of intracellular signal pathways at the atomic/molecular level within mammalian cells, with high temporal accuracy and reversible neuromodulation are of fundamental interest in this portfolio. Electromagnetic bioeffects associated with Directed Energy Weapons remains at the forefront of Air Force science and technology interest associated with emerging new technologies, development, and deployment. The interest here is to understand fundamental atomic/molecular mechanisms associated with electromagnetic perturbation that occur below damage thresholds and may give insight into new novel means of human performance enhancement, biological control, and man machine interface. Recent work has found that rapid change in temperature from the IR laser stimulation reversibly alters the electrical capacitance of the plasma membranes of a cell and depolarization of the membrane can results in real measurable action potentials. This capacitance is established by the spatial distributions of ions near the plasma membrane surface and underlies the mechanism responsible for the voltage waves in the Soliton theory of action potentials. Finally, this program coordinates multi-disciplinary experimental research with mathematical, neuromorphic, and computational modeling to develop the basic scientific foundation to understand and emulate sensory information systems in natural acoustic, visual, and sensorimotor systems.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, and the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. SOFI BIN-SALAMON, AFOSR/RTB2
E-mail: biophysics@us.af.mil
(703) 696-8411

b. Human Performance and Biosystems

Program Description: The U.S. Air Force is currently interested in improving human capabilities through the development of advanced human-machine interfaces and the establishment of direct methods used to augment human performance. The primary goal for this program is to gain a better understanding of the biophysical, biochemical, and physiological mechanisms responsible for the behavioral, genetic, cellular, tissue and systems changes resulting from various forms of perturbation. Additionally, a sensory systems focus has been added to this program and the emphasis is on developing the basic scientific foundation to understand and emulate sensory information systems. Emphasis is on (a) acoustic information analysis, especially in relation to human auditory perception, and (b) sensory and sensorimotor systems that enable 3-D airborne navigation and control of natural flight, e.g., in

insects or bats, especially in relation to capabilities of autonomous biological systems not yet emulated in engineered flight.

Basic Research Objectives: This program is interested in defining the mechanisms (biological, cognitive, genetic, neural, physiological, etc.) associated with enhancing human capabilities as well as understanding the associated biomarkers, bio-circuits, bioelectric and connection pathways involved with increasing performance capabilities especially as they relate to aircrew member performance. In addition, this program aims to explore natural and synthetic processes, mechanisms and/or pathways for understanding energy production in Biosystems. We are also interested in understanding the variables of fatigue and toxicology as they relate to performance decrement in the aviation environment, i.e., exploring the bio-circuitry, biochemical and molecular pathways and processes that generate signals associated with fatigue or performance changes. We wish to define and understand the biomarkers and genetic changes associated with human performance after the administration of toxicological agents, specific interest in toxicology mechanisms that may or may not exhibit toxic effects at a minimal dose level and toxicological effects of flight line equipment.

Proposals aimed at understanding synthetic biological processes as they relate to energy production in Biosystems will be accepted. We have a specific interest in understanding organelles, cells, tissues or systems perturbed with Acoustic, Photo, Electric or Magnetic energy.

For the sensory systems portion of the portfolio a goal is to pursue new capabilities in acoustic analysis, to enhance the intelligibility and usefulness of acoustic information. The primary approach is to discover, develop, and test principles derived from an advanced understanding of cortical and sub-cortical processes in the auditory brain. Included are efforts to model and control effects of noise interference and reverberation, understand the psychoacoustic basis of informational masking, develop new methods for automatic speech detection, classification, and identification, and enable efficient 3-D spatial segregation of multiple overlapping acoustic sources. Signal analysis methods based upon purely statistical or other conventional “blind source” approaches are not as likely to receive support as approaches based upon auditory system concepts that emphasize higher-level neural processes not yet fully exploited in engineered algorithms for acoustic information processing. Applicants are encouraged to develop collaborative relationships with scientists in the Air Force Research Laboratory (AFRL).

Another program goal is to deepen the scientific understanding of the sensory and sensorimotor processes that enable agile maneuvering and successful spatial navigation in natural flying organisms. Emphasis is on the discovery of fundamental mechanisms that could be emulated for the control of small, automated air vehicles, yet have no current analogue in engineered systems. Recent efforts have included investigations of information processing in wide field-of-view compound eye optics, receptor systems for linear and circular polarization sensing, and mathematical

modeling of invertebrate sensorimotor control of path selection, obstacle avoidance and intercept/avoidance of moving targets. All of these areas link fundamental experimental science with neuromorphic or other mathematical implementations to generate and test hypotheses. Current efforts also include innovations in control science to explain and emulate complex behaviors, such as aerial foraging and swarm cohesion, as possible outcomes of simpler sensory-dominated behaviors with minimal cognitive support.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. PATRICK O. BRADSHAW, AFOSR/RTB2

E-mail: biosystems@us.af.mil

(703) 588-8492

c. Mechanics of Multifunctional Materials and Microsystems

Program Description: The main goals of this program are (a) to integrate newly emerging materials, nanoscale devices and microsystems into multifunctional structures with revolutionary impact on multiple figures of merit and thereby (b) to enable the development and production of safer, more maneuverable aerospace vehicles and platforms with unprecedented performance characteristics for Air Force applications.

Basic Research Objectives: Specifically, the program seeks to establish the fundamental understanding required to design and manufacture new aerospace materials, nanoscale devices and microsystems for multifunctional structures and to predict their performance and integrity based on physical principles. The multifunctionality implies coupling between structural performance and other as-needed functionalities (such as electrical, magnetic, optical, thermal, chemical, biological, and so forth) to deliver dramatic improvements in system-level efficiency. Here structural performance means the ability to carry the mechanical load while coping with the changes in surrounding environments or operating conditions. Multifunctional design is often inspired by optimum combinations of structural and/or functional properties found in biological systems where the species survival through many evolutionary cycles has led to highly efficient designs and production of complex material systems.

Among various visionary contexts for developing multifunctionality, the concepts of particular interest are: (a) “autonomic” structures which can sense, diagnose and respond for adjustment with minimum external intervention, (b) “adaptive” structures allowing reconfiguration or readjustment of shape, functionality and mechanical properties on demand, and (c) “self-sustaining” systems with structurally integrated power sources and self-regulating thermal management capabilities. This program thus focuses on the development of new design criteria involving mechanics, physics, chemistry, biology, and information science to model and characterize the integration

and performance of multifunctional materials and structures at multiple scales from atoms to continuum.

When subjected to a variety of multi-physics environments such as thermal, mechanical, electrical or magnetic fields, multifunctional materials will undergo complex changes in their states and physical properties. In this respect, robust multi-scale, multi-physics modeling and simulation capabilities become critical for unraveling the key scientific underpinnings to facilitate (i) effective material design for novel multifunctionality and (ii) improved durability and reliability of structures in harsh operating environments.

Researchers are highly encouraged to contact the Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how the proposed research would advance it, the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. BYUNG-LIP (LES) LEE, AFOSR/RTB2

E-mail: mmmm@us.af.mil

(703) 696-8483

d. Molecular Dynamics and Theoretical Chemistry

Molecular Dynamics

Program Description: This program seeks a molecular-level description of reaction mechanisms and energy transfer processes related to the efficient storage and utilization of energy. The program supports cutting-edge experimental and joint theory-experiment studies that address key, fundamental questions in these areas.

There are four major focus areas in the program: Catalytic Reactivity and Mechanisms; Novel Energetic Material Concepts; Dynamics of Energy Transfer and Transport; and Chemistry in Extreme Environments.

Basic Research Objectives: The molecular dynamics program seeks to understand, predict, and control the reactivity and flow of energy in molecules in many areas of interest to the U.S. Air Force. Thus, the program encourages novel and fundamental studies aimed at developing basic understanding and predictive capabilities for chemical reactivity, bonding, and energy transfer processes. Some of the program's current interests focus on molecular clusters and nanoscale systems in catalysis, and as building blocks for creating novel materials. Understanding the catalytic mechanisms needed to produce storable fuels from sustainable inputs and to improve propulsion processes are also topics of interest, as are novel properties and dynamics of ionic liquids. Work in this program addresses areas in which control of chemical reactivity and energy flow at a detailed molecular level is of importance. These areas include hyperthermal and ion-chemistry in the upper atmosphere and space environment, plasma-surface interactions, the identification of novel energetic materials for propulsion systems, and the discovery of new high-energy laser systems. The coupling of chemistry and fluid dynamics in high-speed reactive flows, and in particular, dynamics at gas-surface interfaces, is also of interest. The program

is also interested in utilizing plasmonics, and laser excitation to control reactivity.

Theoretical Chemistry

Program Description: The theoretical chemistry program supports research to develop new methods that can be utilized as predictive tools for designing new materials and improving processes important to the U.S. Air Force. These new methods can be applied to areas such as the structure and stability of molecular systems that can be used as advanced propellants; molecular reaction dynamics; and the structure and properties of nanostructures and interfaces. We seek new theoretical and computational tools to identify novel energetic molecules or catalysts for their formation, investigate the interactions that control or limit the stability of these systems, and help guide synthesis by identifying the most promising synthetic reaction pathways and predicting the effects of condensed media on synthesis.

Basic Research Objectives: The program seeks new methods in quantum chemistry to improve electronic structure calculations to efficiently treat increasing larger systems with chemical accuracy. These calculations will be used, for example, to guide the development of new catalysts and materials of interest. New approaches to treating solvation and condensed phase effects will also be considered. New methods are sought to model reactivity and energy transfer in molecular systems. Particular interests in reaction dynamics include developing methods to seamlessly link electronic structure calculations with reaction dynamics, understanding the mechanism of catalytic processes and proton-coupled electron transfer related to storage and utilization of energy, and using theory to describe and predict the details of ion-molecule reactions and electron-ion dissociative recombination processes relevant to ionospheric and space effects on U.S. Air Force systems. Interest in molecular clusters, nanostructures and materials includes work on catalysis and surface-enhanced processes mediated by plasmon resonances. This program also encourages the development of new methods to simulate and predict reaction dynamics that span multiple time and length scales.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, and the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. MICHAEL R. BERMAN, AFOSR/RTB2

E-mail: mdtc@us.af.mil

(703) 696-7781

e. Natural Materials, Systems, and Extremophiles

Program Description: The goals of this multidisciplinary program are to study, use, or alter how living systems accomplish their natural functions. This program is very biomaterials centric but doesn't cover mimicking of biomaterials properties in non-biomaterials. It looks at existing biomaterials and synthetic biomaterials to

understand how nature's sensors are so exquisite and technologically superior to our current capabilities. For example nature has used evolution to build materials and sensors that outperform current sensors such as a spider's haircells capable of detecting air flow at low levels even in a noisy background. Nature is very good at solving the problem of working in a noisy environment. The intent of this program is to study/understand the mechanism of existing natural sensory systems, to utilize existing biomaterials, or to add additional capabilities to current systems. The research will encompass four general areas: biomimetics, biomaterials (non-medical only), biointerfacial sciences, and extremophiles.

Basic Research Objectives: Biomimetics research attempts to study the mechanisms and design rules of novel systems that organisms use in their daily lives, and to learn engineering processes and mechanisms for understanding and control of those systems. The intent of this program is to study: natural chromophores and photoluminescent materials (found in microbial and protein-based systems), sensor denial systems, (active and passive camouflage, structural coloration), and protective systems developed in certain organisms to more fully address the predator-prey mechanisms.

The non-medical Biomaterials area is focused on understanding how organisms synthesize materials and their properties. The intent is to understand the properties and structural relationship within the biomaterial to enable synthetic methods to be developed or to modify existing biomaterials genetically. Additionally, we would like to understand how organisms disrupt or deny a material's function or synthesis.

The Biointerfacial Sciences area is focused on the fundamental science at the biotic and abiotic interface of a biomaterial or organism with a non-natural material such as with proteins and metals (i.e., biotemplating). The nanotechnology and mesotechnology sub-efforts under this area are focused on surface structure and new architectures using nature's idea of directed assembly at the nanoscale to mesoscale to create desired effects, such as quantum electronics or three dimensional power structures. The use of these structures is in the design of patterned and templated surfaces, new catalysts, and natural materials based-optics/electronics (biophotonics).

The Extremophiles area is focused on understanding the way nature protects biosystems from the extremes of environment such as radiation, heat, cold, acid, pressure, and vacuum. The program wants to understand the mechanism involved in these protective schemes and to try to transfer some of those properties to other biosystems that don't have that protective scheme present.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

We are currently searching/hiring a new Program Officer, but there is a temporary custodian until a new PO is selected. Emails sent to the email address below will go

to the temporary custodian:

(ACTING) DR. SOFI BIN-SALAMON, AFOSR/RTB2

E-mail: afosr.nature@us.af.mil

(703) 696-8411

f. Organic Materials Chemistry

Program Description: The goal of this research area is to achieve novel and useful properties and behaviors from polymeric and organic materials, and their organic/inorganic hybrids based on better understanding of their chemistry, physics, and processing conditions. This understanding will lead to development of advanced organic, hybrid, and polymeric materials for future U.S. Air Force applications. This program's approach is to study the chemistry and physics of these materials through synthesis and characterization, processing control, validated theoretical approaches, and establishment of structure-property relationships. There are no restrictions on the types of properties to be investigated but heavy emphasis will be placed on unconventional and novel properties. Both functional properties and properties pertinent to structural applications will be considered. This program seeks innovative, high risk, high impact fundamental materials chemistry basic research ideas that push scientific frontiers and not follow-up or extensional projects, or incremental advancements in an already on-going area. The research should be relevant in the broadest sense to the AFOSR mission to foster scientific discoveries that will ensure technological innovations and provide novel capabilities for future Air Force systems to achieve global awareness, global mobility, and space operations.

Basic Research Objectives: Proposals with innovative research concepts that extend fundamental understanding of material structure-property relationships, discover previously unknown properties, and/or achieve significant property improvement over current state-of-the-art materials are sought. Current interests include organic materials with interesting photonic, electronic, and novel properties achieved through polymers, carbon-based nanostructures, or by incorporation of functionally hybrid materials.

Organic-based materials (i.e., small molecules, oligomers and polymers, carbon-based structures, and their inorganic hybrids) are to be a central focus of proposed research. In addition, the research may involve investigation of functionally hybrid materials where the organic has been modified with an inorganic through chemical bonding or blending in a way that generates unique or significantly enhanced properties that result from synergistic interactions (i.e., the sum is greater than individual components).

Targeted synthesis of novel organic-based structures and their hybrids leading to new and unique material properties and/or enhanced multi-functionality will be considered. Research investigations that probe reaction mechanism or theory as they

relate to targeted synthesis or method development (i.e., understanding of reaction course/outcome) will also be considered. Precision synthesis of highly controlled, exact structures is of interest. Inorganic polymers that lead to unique properties are of interest in compelling cases. When done in conjunction with experiment to verify predictive capabilities, theory may be developed and/or used to probe such hybrid structures to understand their properties, and to suggest potential synthetic targets. Novel processing approaches that lead to deep, detailed understanding of property-process relationships are of interest, especially for on-demand processes such as additive manufacturing. Investigation of bulk material properties (e.g., electronic, photonic, phononic) generated during such processes and understanding of their fundamental interfacial chemistry and physics is of interest.

In the area of photonics, research emphasis is on materials where refractive index can be actively tuned or controlled (e.g. third order nonlinear optical materials, electro-optic polymers, liquid crystals, photorefractive polymers, and magneto-optical polymers). In the area of electronic materials, research emphasis is on controlling properties (e.g., conductivity, charge mobility, stretchable electronic materials, electro-pumped lasing, and solar energy harvesting). Controlled growth and/or self-assembly of nanostructures into well-defined structures (e.g. carbon nanotubes with specific chirality or modified into functionally hybrids) or hierarchical and complex structures are encouraged.

Material concepts that will provide low thermal conductivity but high electrical conductivity (thermoelectric), or vice versa, (thermally conductive electrical insulator) are of interest. Research aimed at being able to control/tune two or more material properties independently through creative, precision chemistry is sought. In addition to research involving material concepts for power management, power generation, and storage applications, there are also application needs for organic materials in extreme environments (e.g., space operation). Nanotechnology approaches are encouraged to address all the above-mentioned issues. Approaches based on biological systems or other novel approaches to achieve material properties that are difficult to attain through conventional means will be considered. Concepts involving excited state engineering to control the flow of energy within a material are of interest.

Research ideas are particularly encouraged that address long-standing or unanswered organic-based materials chemistry challenges that will have significant impact on advancing basic understanding behind property creation and control if successful.

You are highly encouraged to contact the Program Officer prior to developing a full proposal to understand any specific submission target dates and to submit one or more idea paragraphs (4-5 sentences plus a title and descriptive figure) that describe the essence of the idea and the fundamental science to be investigated. Alternatively, a two-page (maximum) pre-proposal can be submitted that includes the objective and approach of the proposed effort, research aims with the current state-of-the-art, a brief rationale why the approach can achieve the goals, the anticipated outcomes if the

research is successful; a third page can contain a few key references and a one sentence budget detailing the approximate yearly cost for a three (3) to five (5) year effort.

DR. KENNETH C. CASTER, AFOSR/RTB2

Email: organic@us.af.mil

(703) 588-8487

5. INTERNATIONAL STUDENT EXCHANGE PROGRAM (ISEP)

Program Description: The International Student Exchange Program is an opportunity for the AFOSR Program Officers to give a funded Principal Investigator's (PI) graduate student the opportunity to work with an overseas collaborator for a short term, or the opportunity for an overseas collaborator to send their graduate student to work with the AFOSR funded PI here for a short term. For approval of use of the ISEP, it would have to enhance the grant with something like unique equipment access, sharing/learning new techniques, etc. which could further enable significant advances towards Air Force Science & Technology (AF S&T) objectives and could further help identify advances in emerging opportunities within the international scientific community. This program could also further assist AFOSR leadership a means to evaluate highly promising new international research, and direct additional funding towards areas of strategic Air Force importance.

If your future grant might benefit from additional funding from this program, it would be prudent to indicate so in your grant proposal by identifying them as individual funding options for each funded year. Applications to supplement an existing project with this additional funding may be considered on a case-by-case basis post-award as individual options for each funded year.

We anticipate not more than \$15,000 in additional funding per year, and any overhead or administrative costs will impact the ability to maximize the exchange collaboration. Such charges will be evaluated in determining project feasibility. The \$15,000 in additional funding will not be further supplemented to compensate for overhead and administrative costs.

If you intend to utilize this opportunity, your proposal must include details on how the collaborative effort will benefit your research, enable significant advances toward Air Force science and technology objectives, and/or identify advances in emerging opportunities (e.g., provide access to unique equipment, share new technologies, or identify potential new international research opportunities). Also, a separate budget and budget justification must be provided.

The exchange proposal is considered an optional funding item and must be self-contained and stand on its own in the event the government chooses not to fund the exchange program element of your proposal.

Your supplemental student exchange program funding request will be evaluated using the section [E.1. Criteria](#) for proposals submitted under this announcement.

We reserve the right to request proposals on previously awarded grants and cooperative agreements, subject to funds availability and agency approval of the proposal. These additional funding proposals will be evaluated on a case-by-case basis using the evaluation and selection criteria from the broad agency announcement associated with that award, and the benefit it provides as stated above.

6. OTHER INNOVATIVE RESEARCH CONCEPTS

Program Description: We are always looking for new basic research ideas and are open to considering unique and revolutionary concepts, as well as novel multi-disciplinary research projects which do not fall into the portfolios described above. Within that framework, there is *limited* opportunity for a few, specific research thrusts as described below. If you have an exciting idea that belongs to either one of them, you may submit a proposal under this section of the announcement. Pre-coordination with the points of contact listed below is, however, very strongly encouraged before submitting a proposal.

Sub-area 1: Fundamental Dynamics of Scientific Discovery.

In order to foster revolutionary developments in technology areas of interest to the Air Force, we are also interested in understanding the fundamental drivers and dynamics of scientific knowledge discovery (e.g. how the scientific enterprise works, how knowledge spreads, what fuels discoveries). This supplementary interest area aims to explore the fundamental dynamics underpinning scientific discovery in the S&T research enterprise in order to better identify promising research, recognize potential scientific breakthroughs and measure their significance, as well as developing methods for predicting likelihood and nature of societal and economic impact.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. RIQ PARRA, AFOSR/RTB1
E-mail: specialtopic1@us.af.mil
(703) 696-8571

Sub-area 2: Artificial Intelligence (AI), Games, and Optimal Design

Advances in AI methods, notably generative models, and their integration with computer games provide an opportunity to conduct, at very rapid rates, the analysis of multiple and complex scenarios involving platform and system designs, operating with dynamic environments. This would allow accurate and comprehensive sensitivity analysis to exogenous variables and design parameters. Gradual

incorporation of increasingly sophisticated physics-based models can dramatically lower uncertainties on the scenario outcomes, and can turn the games into a radically new design optimization tool. We are seeking new approaches towards the development of such a capability, purely machine-based, with the potential for scaling towards highly complex games.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. JEAN-LUC CAMBIER, AFOSR/RT
E-mail: specialtopic2@us.af.mil
(703) 426-1141

Sub-area 3: Constructive Mathematics

In recent years, new links between mathematics and theoretical computer science have emerged out of constructive type theory. In particular, new breakthroughs in type theory are paving the way for exploring deep connections between mathematics, logics, and programming languages. Constructive and formalized mathematics may be also revolutionary to other disciplines, for their ability to mechanize specification, analysis, and verification of complex systems, interactively or automatically, through advanced mathematical concepts (dynamical systems, analysis, topology and geometry, algebra, etc.). Conversely, other scientific areas such as biology, physics, chemistry, computer science may provide a motivation for new theories in constructive mathematics. To capture the full scope of possibilities, further development of homotopy type theory and univalent foundations is needed. We are looking for ideas to advance constructive and formalized mathematics as well as their related scientific disciplines.

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. FREDERICK LEVE, AFOSR/RTA
E-mail: specialtopic3@us.af.mil
(703) 696-7309

Sub-area 4: Novel Approaches to Theoretical Quantum Information Science

This sub-area emphasizes the theoretical and computational science lying at the interface of quantum physics and computer science or mathematics, supporting radical advances in quantum information, quantum computation, and quantum communication. Some topics of potential interest include: (1) Quantum algorithms, particularly those are designed towards firmly establishing “quantum supremacy”; (2) Theoretical and mathematical aspects of quantum information such as quantum

error-correction codes; (3) Complexity theory applied to the fundamental limits of quantum computation or quantum communication; (4) Theoretical and computational issues of quantum information in the context of the holographic principle

You are highly encouraged to contact our Program Officer prior to developing a full proposal to briefly discuss the current state-of-the-art, how your research would advance it, the approximate cost for a three (3) to five (5) year effort, and if there are any specific submission target dates.

DR. TRISTAN NGUYEN, AFOSR/RTA2
E-mail: specialtopic4@us.af.mil
(703) 696-7796

B. FEDERAL AWARD INFORMATION

Approximately \$300 million is anticipated to be available for support of actions awarded under this announcement, subject to availability of funds. Research proposals funded between \$200,000 and \$400,000 per year are encouraged. Most of our awards are three (3) years in duration. Awards may be proposed for not more than five (5) years.

All applications received under this announcement will be considered applications for new awards, including any application marked renewal. Applications to supplement an existing project with additional funding may be considered on a case-by-case basis post-award, but are not anticipated to compete with new award funding.

Awards may start any time during the year. The actual start date is determined at the time of award, and may be different than the date you propose. We discuss this more in section [F. Federal Award Administration Information](#).

[Awards](#) are made under the authority of [10 U.S.C. 2358](#) in the form of grants, cooperative agreements, or contracts. We rely on discretionary appropriated funds for this program. We can only make awards if enough funds are made available.

We select what kind of award instrument we can use based on requirements in the Federal Grant and Cooperative Agreement Act [31 U.S.C. 6301 – 31 U.S.C. 6308](#). Awards may take the form of contracts, grants, cooperative agreements, technology investment agreements and other transaction agreements as appropriate. The following provides a brief description of potential instrument types:

- Grant. A legal instrument consistent with 31 U.S.C. 6304, is used to enter into a relationship:
 - The principal purpose of which is to transfer a thing of value to the recipient to carry out a public purpose of support of stimulation authorized by a law or the United States, rather than acquire property or services for the Federal Government's direct benefit or use.
 - In which substantial involvement is not expected between the Federal

Government and the recipient when carrying out the activity contemplated by the grant.

- No fee of profit is allowed.
- Cooperative Agreement. A legal instrument which, consistent with 31 U.S.C 6305, is used to enter into the same kind of relationship as a grant, except that substantial involvement is expected between the Federal Government and the recipient when carrying out the activity contemplated by the cooperative agreement. No fee or profit is allowed.
- Technology Investment Agreement (TIA). Assistance Transaction other than a Grant or a Cooperative Agreement (see 32 CFR Part 37). A legal instrument, consistent with 10 U.S.C. 2371, which may be used when the use of a contract, grant, or cooperative agreement is not feasible or appropriate for basic, applied, and advanced research projects. The research covered under a TIA shall not be duplicative of research being conducted under an existing DoD program. To the maximum extent practicable, TIAs shall provide for a 50/50 cost share between the Government and the applicant. An applicant's cost share may take the form of cash, independent research and development (IR&D), foregone intellectual property rights, equipment, access to unique facilities, and/or other means. Due to the extent of cost share, and the fact that a TIA does not qualify as a "funding agreement" as defined at 37 CFR 401.2(a), the intellectual property provisions of a TIA can be negotiated to provide expanded protection to an applicant's intellectual property. No fee or profit is allowed on TIAs.
- Other Transaction for Prototype (OTA). A legal instrument, consistent with 10 U.S.C. 2371b, which may be used when the use of a contract, grant, or cooperative agreement is not feasible or appropriate for prototype projects directly relevant to enhancing the mission effectiveness of military personnel and the supporting platforms, systems, components, or materials proposed to be acquired or developed by the Department of Defense, or for improvement of platforms, systems, components, or materials in use by the armed forces. The effort covered under an OTA shall not be duplicative of effort being conducted under an existing DoD program (please refer to the DoD Other Transactions Guide for Prototype Projects dated January 2017). This document along with other OTA resources may be accessed at the following link:
<http://www.acq.osd.mil/dpap/cpic/cp/10USC2371bOTs.html>

We reserve the right to select and fund for award all, some, part, or none of the proposals received in response to this announcement. There is no guarantee of an award.

C. ELIGIBILITY INFORMATION

1. ELIGIBLE APPLICANTS

a. General

All qualified, responsible organizational applicants from academia, the non-profit sector, and industry are eligible to submit research proposals. This includes University Affiliated Research Centers unless precluded from submitting a proposal by their Department of Defense operating contract.

b. HBCU/MI, Tribal College and University, and Small Business Applicants Encouraged

Historically Black Colleges and Universities and Minority institutions (HBCU/MI), Tribal Colleges and Universities, and HBCU/MI affiliated medical centers are encouraged to submit research proposals and join others in submitting proposals. Small business concerns are also encouraged to submit proposals and join others in submitting proposals. However, no funds under this announcement are reserved or otherwise set-aside for any specific entity type.

c. Eligibility Notice for All Applicants

We review your application, proposal, and Office of Management and Budget (OMB) designated repositories of government-wide public and non-public data, including comments you have made, as required by 31 U.S.C. 3321 and 41 U.S.C. 2313 and described in [2 CFR 200.205](#) and [32 CFR 22.410](#) to assess risk posed by applicants, and confirm applicants are qualified, responsible, and eligible to receive an award. If we cannot determine you or your organization qualified and responsible, you are not eligible to receive an award.

d. Ineligible Entities

None of the following entity types are eligible to submit proposals as primary award recipients under this announcement.

- (1) Federally Funded Research and Development Centers (FFRDCs)
- (2) Individual persons or people
- (3) Federal agencies

2. COST SHARING

We do not require cost sharing for proposals under this announcement. Cost sharing is not an evaluation or selection criterion.

3. OTHER

a. Acknowledgement of Support and Disclaimer Requirements

You must include the [F.3.d. Acknowledgement of Research Support](#) on all materials created or produced under our awards.

You must include the [F.3.e. Disclaimer Language](#) on materials as required.

Our award document may provide additional instructions about specific distribution statements to use when you provide research materials to us. You are not eligible to submit a proposal if you cannot accept these terms.

b. Expectation of Public Dissemination of Research Results

We expect research funded by this announcement will be fundamental. We expect public dissemination of research results if you receive an award. This is a basic requirement for unclassified research results.

We intend, to the fullest extent possible, to make available to the public all unclassified, unlimited peer-reviewed scholarly publications and digitally formatted scientific data arising from research and programs funded wholly or in part by the DoD as described in the OUSD, AT&L Memorandum, [*“Public Access to Department of Defense-Funded Research”*](#) dated 09 Jul 2014.

We follow [DoD Instruction 5230.24](#) and [DoD Instruction 5230.27](#) policies and procedures to ensure broad dissemination of unclassified research results to the public and within the Government. The DoD Instruction 5230.27 policy and procedures allowing publication and public presentation of unclassified fundamental research results will apply to all research proposed under this competition unless the Program Officer gives you an explicit, written exclusion to these policies with the Grants or Contracting Officer’s advice and consent. All exclusions must be authorized or required by law, and must cite a valid legal authority.

You must provide a copy of all peer-reviewed publications developed or produced from research conducted with Air Force funds to our Program Officer.

You are not eligible to submit a proposal if you cannot accept these terms.

c. Representation for Tax Delinquency and Felony Conviction

You must complete the “Representation for Tax Delinquency, Felony Conviction” provided with the Grants.gov package. We provide more specific information about this requirement in section [D.4.b](#).

We cannot determine you are eligible for funding unless we receive this form

d. Conflict of Interest

(1) General Requirement for Disclosure

You and your organization must disclose any potential or actual scientific or non-scientific conflict of interest(s) to us. You must also disclose any potential or actual conflict(s) of interest for any subrecipient you include in your proposal. You must provide enough information for us to evaluate your disclosure. We may have to ask you more questions if we need more information.

At our discretion, we may ask you for a conflict of interest mitigation plan after you submit your proposal. Your plan is subject to our approval.

(2) Scientific Conflict of Interest

Scientific collaborations on research and development projects are generally the result of close collaboration prior to the submission of applications for support. Accordingly, virtually all of these collaborations might be considered to include a potential conflict of interest. The potential conflict is mitigated by the disclosure of these collaborations, and the list of current and pending support you provide for senior and key researchers.

D. APPLICATION AND SUBMISSION INFORMATION

1. ADDRESS TO REQUEST APPLICATION PACKAGE

All the application forms you need are available electronically on [Grants.gov](https://www.grants.gov). From the “View Grant Opportunity” page, you can click on the “Package” tab to download the application package.

You can find the electronic application package on [Grants.gov](https://www.grants.gov) by searching for the announcement number shown on page one. We will not issue paper copies of this announcement.

Please contact us at afosr.baa@us.af.mil to request a reasonable accommodation for any accessibility requirements you may have.

2. CONTENT AND FORM OF APPLICATION SUBMISSION

a. Pre-proposal Inquiries and Questions

If you need help with technical matters, you should email the individual listed for your topic of interest in section [A. Program Description](#). We provide a list of all the programs and Program Officers listed in this announcement again in section [G.1. Technical Inquiries and Questions](#).

If you have general questions about this announcement or administrative matters, you must submit your question in writing by email to the contact listed in section [G.2. General Inquiries and Questions](#).

The Program Officer does not have the authority to make commitments for us. Grants and Contracting Officers acting within their warranted capacity are the only people authorized to make commitments for the Government.

b. The Application as a Whole

You must submit your proposal electronically through Grants.gov. We will not accept or evaluate any proposal submitted by any means other than through Grants.gov.

You must use the electronic Standard Form (SF) 424 Research and Related (R&R) Form Family, OMB Number 4040-0001. The SF 424 (R&R) Application for Federal assistance form must be your cover page. No pages may precede the SF 424 (R&R).

You may submit a proposal for one or more topics, or for a specific portion of a topic. You may submit different proposals on any number of topics, or different proposals on the same topic. We may not make awards in every topic area.

You must mark your application with the announcement number.

A summary of what is required for a complete proposal is summarized below:

- We require the forms and attachments in **bold text** with all applications
- *Some applications* require the attachments in *italic*
- We provide more instructions in [D.3. Component Pieces of the Application](#)

R&R FORM, OMB No. 4040-0001**FIELD ATTACHMENT**

SF 424 (R&R) Application for Federal Assistance, including an authorized signature	18. Representation for Tax Delinquency, Felony Conviction
	<i>18. SF-LLL Disclosure of Lobbying Activities</i>
R&R Other Project Information Form	7. Project Summary / Abstract 8. Project Narrative 9. Bibliography & References Cited <i>10. Facilities and Other Resources</i> <i>11. Equipment</i> <i>12. Other Attachments</i>
R&R Senior / Key Person Profile Form (Expanded)	Biographical Sketch
R&R Personal Data Form	Current & Pending Support
	None
R&R Budget Form	Budget Justification
<i>R&R Subaward Budget Attachments Form</i>	<i>Subaward Budget Justification</i>
R&R Project / Performance Site Locations Form	None
Grants.gov Lobbying Form	None

The SF 424 (R&R) must include the signature of an authorized representative from your organization. The signature is affixed electronically by [Grants.gov](https://www.grants.gov) upon submission. This signature is considered the signature for the application as a whole.

c. Proposal Format

- Paper Size – 8.5 x 11-inch paper
- Margins – 1 inch
- Spacing – Single, 1.5, or 2.0-line spacing
- Font – Times New Roman or Garamond, 10, 11, or 12 point
- Page Limitation – None. However, unnecessarily elaborate or lengthy proposals are not desirable
- Attachments – Electronic Portable Document Format (PDF)
- Content – As described below

d. Proposal Length

We do not limit the length of your proposal for this competition; however, you must not include elaborate brochures, reprints, or presentations beyond those sufficient to present a complete and effective proposal.

e. Marking Requirements for Confidential or Proprietary Information

You must mark your proposal and proposal sections that contain proprietary or confidential information. You must use the protective legend found at [FAR 52.215-1\(e\)](#) Instructions to Offerors -- Competitive Acquisition (Jan 2017) modified to permit release to our outside evaluators.

We make every effort to protect the confidentiality of proposals, including any proposal evaluations; however, under Freedom of Information Act (FOIA) requirements, some or all proposal information may be subject to release.

Your entire proposal, or any portions thereof, without protective markings or otherwise identified as requiring protection will be considered voluntarily furnished to us without restriction, and will be treated as such for all purposes.

f. Electronic Form and Proposal Attachments

Your application and proposal attachments must be in electronic file formats. You should use the Portable Document Format (PDF) for your attachments. DO NOT password protect any attachments. The website <http://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html> provides additional important instructions.

3. GRANTS.GOV APPLICATION SUBMISSION AND RECEIPT PROCEDURES

This section provides the application submission and receipt instructions for AFOSR program applications. Please read the following instructions carefully and completely.

a. Electronic Delivery

AFOSR is participating in the Grants.gov initiative to provide the grant community with a single site to find and apply for grant funding opportunities. AFOSR encourages applicants to submit their applications online through Grants.gov.

b. How to Register to Apply through Grants.gov

Instructions: Read the instructions below about registering to apply for AFOSR funds. Applicants should read the registration instructions carefully and prepare the information requested before beginning the registration process. Reviewing and assembling the required information before beginning the registration process will alleviate last-minute searches for required information.

The registration process can take up to four weeks to complete. Therefore, registration should be done in sufficient time to ensure it does not impact your ability to meet required application submission deadlines.

If individual applicants are eligible to apply for this grant funding opportunity, refer to: <https://www.grants.gov/web/grants/applicants/individual-registration.html>

Organization applicants can find complete instructions here:

<https://www.grants.gov/web/grants/applicants/organization-registration.html>

1) *Obtain a DUNS Number*: All entities applying for funding, including renewal funding, must have a Data Universal Numbering System (DUNS) number from Dun & Bradstreet (D&B). Applicants must enter the DUNS number in the data entry field labeled "Organizational DUNS" on the SF-424 form.

For more detailed instructions for obtaining a DUNS number, refer to:

<https://www.grants.gov/web/grants/applicants/organization-registration/step-1-obtain-duns-number.html>

2) *Register with SAM*: In addition to having a DUNS number, organizations applying online through Grants.gov must register with the System for Award Management (SAM). All organizations must register with SAM in order to apply online. Failure to register with SAM will prevent your organization from applying through Grants.gov.

For more detailed instructions for registering with SAM, refer to:

<https://www.grants.gov/web/grants/applicants/organization-registration/step-2-register-with-sam.html>

3) *Create a Grants.gov Account*: The next step in the registration process is to create an account with Grants.gov. Applicants must know their organization's DUNS number to complete this process. Completing this process automatically triggers an email request for applicant roles to the organization's E-Business Point of Contact (EBiz POC) for review. The EBiz POC is a representative from your organization who is the contact listed for SAM. To apply for grants on behalf of your organization, you will need the Authorized Organizational Representative (AOR) role.

For more detailed instructions about creating a profile on Grants.gov, refer to:

<https://www.grants.gov/web/grants/applicants/organization-registration/step-3-username-password.html>

4) *Authorize Grants.gov Roles*: After creating an account on Grants.gov, the EBiz POC receives an email notifying them of your registration and request for roles. The EBiz POC will then log in to Grants.gov and authorize the appropriate roles, which may include the AOR role, thereby giving you permission to complete and submit applications on behalf of the organization. You will be able to submit your application online anytime after you have been approved as an AOR.

For more detailed instructions about creating a profile on Grants.gov, refer to:

<https://www.grants.gov/web/grants/applicants/organization-registration/step-4-aor-authorization.html>

5) *Track Role Status*: To track your role request, refer to:

<https://www.grants.gov/web/grants/applicants/organization-registration/step-5-track-aor-status.html>

6) *Electronic Signature*: When applications are submitted through Grants.gov, the name of the organization's AOR that submitted the application is inserted into the signature line of the application, serving as the electronic signature. The EBiz POC **must** authorize individuals who are able to make legally binding commitments on behalf of the organization as an AOR; **this step is often missed and it is crucial for valid and timely submissions.**

c. How to Submit an Application to AFOSR via Grants.gov

Grants.gov applicants can apply online using Workspace. Workspace is a shared, online environment where members of a grant team may simultaneously access and edit different web forms within an application. For each funding opportunity announcement (FOA), you can create individual instances of a workspace.

Below is an overview of applying on Grants.gov. For access to complete instructions on how to apply for opportunities, refer to:

<https://www.grants.gov/web/grants/applicants/apply-for-grants.html>

Create a Workspace

Creating a workspace allows you to complete it online and route it through your organization for review before submitting.

Complete a Workspace

Add participants to the workspace, complete all the required forms, and check for errors before submission.

- (1) Adobe Reader: If you decide not to apply by filling out web forms you can download individual PDF forms in Workspace so that they will appear similar to other Standard or administering agency forms. The individual PDF forms can be downloaded and saved to your local device storage, network drive(s), or external drives, then accessed through Adobe Reader.

NOTE: Visit the Adobe Software Compatibility page on Grants.gov to download the appropriate version of the software at:

<https://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html>

- (2) Mandatory Fields in Forms: In the forms, you will note fields marked with an asterisk and a different background color. These fields are mandatory fields that must be completed to successfully submit your application.
- (3) Complete SF-424 Fields First: The forms are designed to fill in common required fields across other forms, such as the applicant name, address, and DUNS number. To trigger this feature, an applicant must complete the SF-424 information first. Once it is completed, the information will transfer to the other forms.

Submit a Workspace

An application may be submitted through workspace by clicking the Sign and Submit button on the Manage Workspace page, under the Forms tab. Grants.gov recommends submitting your application package at least 24-48 hours prior to the close date to

provide you with time to correct any potential technical issues that may disrupt the application submission.

Track a Workspace

After successfully submitting a workspace package, a Grants.gov Tracking Number (GRANTXXXXXXXX) is automatically assigned to the package. The number will be listed on the Confirmation page that is generated after submission.

For additional training resources, including video tutorials, refer to:

<https://www.grants.gov/web/grants/applicants/applicant-training.html>

Applicant Support: Grants.gov provides applicants 24/7 support via the toll-free number 1-800-518-4726 and email at support@grants.gov. For questions related to the specific grant opportunity, contact the number listed in the application package of the grant you are applying for.

If you are experiencing difficulties with your submission, it is best to call the Grants.gov Support Center and get a ticket number. The Support Center ticket number will assist the administering agency with tracking your issue and understanding background information on the issue.

4. COMPONENT PIECES OF THE APPLICATION

a. SF 424 (R&R) Application for Federal Assistance Form

The SF 424 (R&R) Application for Federal assistance form must be your cover page. No pages may precede the SF 424 (R&R).

Complete all required fields in accordance with the “pop-up” instructions on the SF 424 (R&R) form. The completion of most fields is self-explanatory. You can turn on Grants.gov “Help Mode” to provide additional instructions for forms. “Help Mode” is turned on by the icon with the pointer and question mark at the top of the form.

We have special instructions for completion of several SF 424 (R&R) form fields in your application.

Our instructions are:

FIELD	INSTRUCTION
2.	You may leave “Applicant Identifier” blank
3.	You may leave “Date Received by State” and “State Application blank
9.	You must list Air Force Office of Scientific Research as the Federal Agency if Grants.gov has not pre-populated this answer
16.	You should check “No.” and “Program is Not Covered by Executive Order 12372”

17. Select “I Agree” to:

- Provide the certification regarding lobbying that is required by [31 U.S.C. 1352](#) as implemented by DoD [32 CFR Part 28](#).
- Certify that all statements in the proposal, your Representation for Tax Delinquency, Felony Conviction, and Internal Confidentiality Agreements are true, complete, and accurate to the best of your knowledge.

See section [F.3. Administrative and National Policy Requirements](#) for more information and links to the full text of these items.

18. You must attach the completed [D.3.b. Representation for Tax Delinquency/ Felony Conviction](#)

You may have to attach the completed [D.3.c. SF-LLL Disclosure of Lobbying Activities](#) if you have lobbying activity that you must disclose.

b. Representation for Tax Delinquency/Felony Conviction

You must attach this representation to field 18 of the SF 424 (R&R).

You must complete and attach the “Representation for Tax Delinquency/Felony Conviction” provided with the Grants.gov package. We cannot fund an award if this information is not provided.

If you answer “is” a corporation with a felony conviction and/or “is” a corporation with a felony conviction on this representation, you may not be eligible for an award if your proposal is selected. You should [contact us](#) to discuss your situation to find out if you can submit your application.

If you do not attach this form to the SF 424, we may request the representation after you submit your application, but we are not required to do so. We may deem your application ineligible for selection by citing an authority listed or referenced in [FAR 52.209-11](#), and make an award to another applicant. This applies to all applicants.

c. SF-LLL Disclosure of Lobbying Activities Form

When required, attach this disclosure to field 18 of the R&R Other Project Information Form.

If you have lobbying activity that you must disclose under [31 U.S.C. 1352](#) as implemented by the DoD in [32 CFR Part 28](#), you must attach the completed [SF-LLL Disclosure of Lobbying Activities](#). You can find instructions for completing this form at <http://www.whitehouse.gov/sites/default/files/omb/grants/sfillin.pdf>.

d. Certification Regarding Lobbying Form

Grant awards require a certification of compliance with a national policy mandate concerning lobbying. Grant applicants shall provide this certification by electronic submission of SF424 (R&R) as a part of the electronic proposal.

e. R&R Other Project Information Form

Complete this form as indicated. You must include all necessary attachments.

FIELD	INSTRUCTION
1, 1a.	You must address all prospective human subject involvement by answering these questions. Additional documentation pursuant to National Policy and U.S. Air Force standards is required for all proposals with human use or involvement. Your inquiries about our requirements should be sent by email directly to our Research Protections Officer at afosrharp@us.af.mil with a copy to the Program Officer for the announcement topic.
2, 2a.	You must address all prospective animal subject and/or recombinant deoxyribonucleic acid (rDNA) involvement by answering these questions. Additional documentation pursuant to National Policy and U.S. Air Force standards is required for all proposals with animal or rDNA use or involvement. Your inquiries about our requirements should be sent by email directly to our Research Protections Officer at afosrharp@us.af.mil with a copy to the Program Officer for the announcement topic.
4a.	For any proposal that has an actual or potential impact on the environment, answer yes and provide the answers and attachments required for fields 4b, 4c, and 4d. Additional documentation in accordance with National Policy and U.S. Air Force standards is required for any proposal with an actual or potential impact on the environment.
7.	Attach your D.3.e. Publicly Releasable Abstract
8.	Attach your D.3.f. Project Narrative
9.	Attach your D.3.g. Bibliography and References Cited

-
10. Attach a Facilities and Other Resources description document here if you need to supplement your [D.3.f. Proposal Narrative](#) facilities and resources section.

11. You may supplement your [D.3.j. Budget Justification](#) by attaching an Equipment Justification here. Do not duplicate information included on your budget justification. If you attach an Equipment Justification, make sure you reference the attachment in your budget justification.

12. Attach the [D.3.k R&R Subaward Budget Attachments Form](#) if applicable and not attached elsewhere. You should have budgets for all subawards proposed attached within this form before attachment.

Attach all [D.3.l Subaward Budget Justifications](#) as applicable. Attach your [D.3.o Data Management Plan](#) here if applicable.

f. Publicly Releasable Project Summary / Abstract

You must attach the Project Summary / Abstract to field 7 of the R&R Other Project Information form.

You must provide a concise abstract of 300 words or less with your proposal. You must mark this abstract publically releasable. Your abstract should use terms the public can understand to describe the research objective, technical approach, anticipated outcome, and potential impact of the specific research.

Your abstract header should include the Program Officer's name and office symbol from section [G.1. Technical Inquiries and Questions](#) below.

If you receive an award, we must publish your abstract to a [searchable website](#) available to the general public in accordance with [Public Law 113-235](#). The website address is <https://dodgrantawards.dtic.mil/grants/#/home>.

g. Project Narrative

You must attach the Project Narrative to field 8 of the R&R Other Project Information Form. The narrative must be complete and self-contained to qualify for review.

You must clearly describe your research, including your research objective and approach. Your project narrative will be evaluated using the section [E.1. Criteria](#). You should show strength in as many of the evaluation and selection areas as practicable to demonstrate maximum competitiveness.

You must describe any environmental impacts of your research outside the laboratory in any appropriate narrative section, including how you will ensure compliance with environmental statutes and regulations.

Your narrative should include the following elements:

(1) Statement of Objectives

You must summarize your proposed research on a single page titled “Statement of Objectives.” We may decide to incorporate your statement of objectives into the award as a description of the work instead of incorporating the whole technical proposal.

You should use active verbs when you prepare the statement of objectives, e.g., “conduct” research in a subject area, “investigate” a problem, “determine” to test a hypothesis.

(2) Research Effort

- (a) You should describe the research you plan in detail. State the research objectives and approach, and the relationship and comparable objectives to research progress elsewhere. Describe your research team’s knowledge in the field, and provide a [bibliography and list of literature citations](#). Discuss the nature of the expected results.
- (b) The adequacy of this information will influence the overall evaluation in accordance with the criteria and procedures specified in section [E. Application Review Information](#) below.

(3) Principal Investigator (PI) and Senior Personnel Time

- (a) You must provide estimate of time the principal investigator and other senior professional personnel will devote to the research. Your estimate must include information pertaining to the proportion of time anticipated devoted to this research, to other research, and to other commitments of time such as sabbatical, extended leave, and teaching duties.
- (b) State the number of graduate students for whom each senior staff member is responsible.
- (c) If your principal investigator or other key personnel have current, pending, or expected research supported by other sponsors or agencies during the period you seek our support, state the title of the other research, the proportion of time to be devoted to it, the amount of support, name of agency, dates, etc.

You must attach a list of Current and Pending Support for each person listed on the [D.3.h. R&R Senior / Key Person Profile \(Expanded\) Form](#). Each abstract should include research title, objectives, approach, and budget for both present and pending research projects. Send any changes as they become known.

(4) Your Facilities

- (a) Describe the facilities available for performing the proposed research, and any additional facilities or equipment the organization proposes to acquire at its own expense for the work.

(b) Indicate any government-owned facilities that will be used. Indicate any government-owned equipment possessed presently that will be used. The facilities contract number, or in absence of a facilities contract, the specifics of the facilities or equipment, and the number of the award under which they are accountable are required.

(c) Government Furnished Equipment

You may list any special Government-owned property or test equipment required to complete the research. When possible and practicable, give a description or title for each item, the current location, and an estimated cost as applicable. If you do not have information about individual items, group items you require by class and provide an estimate of values.

(5) High Performance Computing Requirements

You may be eligible to use DoD high performance computing resources at no cost to your research. You should address utilization of this program if you need high performance computing cycles to meet the needs of your research. This program provides access to a range of state-of-the-art high performance computing assets and user training opportunities that can be used in some of our awards; special terms and conditions apply. You can review the details, capabilities, and requirements of the program at <http://www.hpcmo.hpc.mil>.

Our Program Officers will help you establish an account if your proposal is selected for an award, and can answer questions before you submit your proposal.

h. Bibliography and References Cited

You must attach your narrative Bibliography and References to field 9 of the R&R Other Project Information Form.

i. R&R Senior/Key Person Profile (Expanded) Form

You must attach a short biographical sketch and list of significant publications (vitae) for each Senior/Key Person. You must also attach a list of current and pending support as discussed in [Principal Investigator \(PI\) and Senior Personnel Time](#).

You must list all key persons proposed for the research on the R&R Senior/Key Person Profile (Expanded) Form. Key persons are generally the PI, any Co-PIs, and senior staff. We use this information to evaluate the qualifications of you and your research team.

To evaluate compliance with Title IX of the Education Amendments of 1972 (20 U.S.C. A subsection 1681 Et. Seq.), the DoD is collecting certain demographic and career information to be able to assess the success rates of women who are proposed for key roles in applications in STEM disciplines. To enable this assessment, each applicant must include this form completed as indicated.

The Degree Type and Degree Year Fields will be used by DoD as the source for career

information. In addition to the required fields on the form, applicants must complete these two fields for all individuals that are identified as having the project role of PD/PI or Co-PD/PI on the form. Additional senior/key persons can be added by selecting the “Next Person” button.

j. R&R Budget Form

You must provide all information requested. You must estimate the total research project cost. You must categorize funds by year and provide separate annual budgets for projects lasting more than one year. **A budget justification must be included.**

You must include enough budget related information in your proposal to support your costs as [reasonable](#) and realistic, and in compliance with [2 CFR 200 Subpart E - Cost Principles](#).

Not having enough information in your proposal to understand if your costs are reasonable and realistic is the most common reason awards are delayed.

k. Budget Justification

You must provide a detailed budget justification for each year that clearly explains the need for each item. The entire budget justification and supporting documentation must be combined into a single file and attached to field L of the R&R Budget Form.

- (1) You should itemize travel. Estimate the cost and purpose of each trip proposed, the number of trips, the number of travelers, the destination, the duration, and the basis for calculating costs such as airlines and hotels.

Below is a sample of the travel portion:

TRAVEL	Unit	Trips	Travelers	Nights	Days	Unit Cost	Total Travel
Airfare	roundtrip	1	1			\$900.00	\$900.00
Lodging	day	1	1	3		\$75.00	\$225.00
Per Diem	day	1	1		3	\$40.00	\$120.00
Automobile Rental	day	1	1		3	\$45.00	\$135.00
Subtotal Travel		4	4	3		\$1,060	\$1,380.00

- (2) You should itemize materials, supplies, and equipment. List all material/equipment by type and kind with associated costs. Indicate what your costs are based on, such as vendor quotes, historical data and/or engineering estimates. **You should include vendor quotes and/or catalog pricing data.**
- (3) If you have any subaward(s), you should describe how you determined subaward costs were determined fair and reasonable. Your business office usually makes

this determination.

DHHS/ONR Rate Agreement:

- (4) If you use a Government rate agreement to propose indirect cost rates and/or fringe benefit rates, you must attach a signed DHHS or ONR copy of the agreement you used to not delay the negotiation process.
- (5) Helpful Cost Principle Reference Information
 - (a) Grant Applicants
 - (i) [2 CFR 200, Subpart E – Cost Principles](#)
 - (ii) General Provisions for Selected Items of Cost in [2 CFR 200.420 through 2 CFR 200.475](#)
 - (b) Contract Applicants
 - (i) [FAR Part 31](#) Contract Cost Principles and Procedures
 - (ii) [FAR 31.205](#) Selected Costs
 - (iii) [FAR Subpart 30.2](#) CAS Program Requirements if your organization does not have an exemption to CAS as described in [FAR 9903.201-1](#) CAS applicability

l. R&R Subaward Budget Attachments Form

You must attach all subaward budgets to field 12 of the R&R Other Project Information Form.

You must provide a budget at the same level of detail as your D.3.i. Prime budget for each proposed subaward. A subaward budget justification must be attached.

m. Subaward Budget Justification

You must attach all subaward budget justifications to field 12 of the R&R Other Project Information Form.

You must provide a subaward budget justification at the same level of detail as your D.3.j. prime budget justification for each proposed subaward.

n. R&R Project / Performance Site Locations Form

You must complete all information as requested. You must include the ZIP+4 for each performance location you list.

o. Data Management Plan (Optional)

You can decide if you want to include a Data Management Plan with your application. If you do, attach your Data Management Plan to field 12 of the R&R Other Project Information Form.

Your “Data Management Plan” should be two (2) pages or less in length and discuss:

- (a) The types of data, software, and other materials to be produced in the course

of the project, and include a notation marking items that are publicly releasable;

- (b) How the data will be acquired;
- (c) Time and location of data acquisition if they are scientifically pertinent;
- (d) How the data will be processed;
- (e) The file formats and the naming conventions that will be used;
- (f) A description of the quality assurance and quality control measures during collection, analysis, and processing;
- (g) If existing data are to be used, a description of their origins;
- (h) A description of the standards to be used for data and metadata format and content;
- (i) Plans and justifications for archiving the data;
- (j) The timeframe for preservation; and
- (k) If for legitimate reasons the data cannot be preserved, the plan must include a justification citing such reasons.

p. R&R Personal Data Form

To evaluate compliance with Title IX of the Education Amendments of 1972 (20 U.S.C. A subsection 1681 Et. Seq.), The DoD is collecting certain demographic and career information to be able to assess the success rates of women who are proposed for key roles in applications in STEM disciplines. To enable this assessment, each applicant must include this form completed as indicated.

This form will be used by DoD as the source of demographic information, such as gender, race, ethnicity, and disability information for the Project Director/Principal Investigator and all other persons identified as Co-Project Director(s)/Co-Principal Investigator(s). Each application must include this form with the name fields of the Project Director/Principal Investigator and any Co-Project Director(s)/Co-Principal Investigator(s) completed; however, provisions of the demographic information in the form is voluntary. If completing the form for multiple individuals, each Co-Project Director/Co-Principal Investigator can be added by selecting the “Next Person” button. The demographic information, if provided, will be used for statistical purposes only and will not be made available to merit reviewers. Applicants who do not wish to provide some or all of this information should check or select the “Do not wish to provide” option.

5. INFORMATION YOU MUST SUBMIT IF SELECTED FOR POSSIBLE AWARD

We may request additional necessary information from you during negotiations, or as required for award considerations. You must respond promptly.

If you do not fully comply with our information requests by the time we are ready to make an award, we may determine that you are not qualified to receive an award and use that determination as a basis for making an award to another applicant.

If your proposal includes human, animal, or rDNA use or involvement you must submit all documentation requested during negotiations or you may not receive an award.

Foreign recipients must complete a payment information form to receive wire transfer payments.

If selected for a contract award, a [Section K Representations, certifications, and other statements of offerors or respondents](#) will be provided for your completion, signature, and return. The document will include representations and certifications that your organization has not completed as part of the SAM registration, representations and certifications required by DoD Class Deviation(s), or that must be requested with each acquisition. The completed Section K will be incorporated into any resultant contract.

6. DUNS UNIQUE ENTITY IDENTIFIER, CAGE, AND SYSTEM FOR AWARD MANAGEMENT (SAM)

a. SAM Registration Required

As required in [2 CFR 25.110](#) all applicants, unless exempted, must:

- (1) Be registered in [SAM.gov](#) before submitting its application;
- (2) Provide a valid **DUNS** unique entity identifier; and
- (3) Continue to maintain an active SAM registration with current information at all times any Federal award is active, or any application is under consideration by a Federal awarding agency.

A Commercial and Government Entity (CAGE) code is obtained or specified as part of the SAM registration process. A CAGE code is required.

b. SAM Exemption or Exceptions Not Available Under This Announcement

We will not issue an Agency level exemption to SAM registration under [2 CFR 25.110\(d\)\(1\)](#) for applicants under this announcement.

You must comply with SAM registration requirements and include a DUNS and CAGE code on your application or we cannot make an award.

c. Questions about SAM Registrations and Updates

You can get questions about SAM registration and entity updates answered by live chat at <https://www.fsd.gov/fsd-gov/home.do> and telephone at (866) 606-8220 or (324) 206-7828. Top help topics for [SAM.gov](#) are available at https://www.fsd.gov/fsd-gov/learning-center-system.do?sysparm_system=SAM.

d. Consequences of Non-Compliance with SAM Registration Requirements

We cannot make an award to you unless you comply with SAM requirements. If you

are non-compliant, we may determine you are not qualified to receive an award, and use that determination to make an award to someone else as authorized by [2 CFR 25.205\(b\)](#). You cannot receive payments without an active SAM record and CAGE.

8. SUBMISSION DATES AND TIMES

a. Proposal Submission

This announcement remains open until superseded. We review and evaluate proposals as they are received. You may submit proposals at any time; however, some specific topic instructions may recommend submission by specific dates that align with funding expectations. Funding is limited.

b. How Proposal Submission Time is Determined

We use the system-generated Grants.gov time stamp to determine when you submitted your successfully validated proposal and the announcement your submission was associated with. Grants.gov policies and procedures for application submission and processing apply. ***We will only accept applications submitted electronically through Grants.gov.***

c. Grants.gov Tracking Number is Application Receipt

Grant.gov generates a confirmation page when you submit your application. A second confirmation is provided by email when your application has passed Grants.gov validations and the status is updated from received to validated.

The confirmation page includes a system-generated Grants.gov tracking number; this serves as your receipt. You should keep a copy of all confirmations.

You can verify the submission time and application status with your tracking number through Grants.gov at <http://www.grants.gov/web/grants/applicants/track-my-application.html>.

d. Effect of Superseding Announcement

This announcement is open until superseded. We generally allow approximately thirty (30) Days for you to submit a proposal started under the announcement that is superseded before we close the previous announcement.

Grants.gov will not accept your proposal after we close a superseded announcement.

9. INTERGOVERNMENTAL REVIEW

N/A - This program is excluded from coverage under Executive Order (E.O.) 12372.

10. FUNDING RESTRICTION

a. Proposal Preparation Costs

Your proposal or application preparation costs are not considered an allowable direct

charge to any award under this announcement. Your costs are, however, an allowable expense to the normal bid and proposal indirect cost as specified in [2 CFR 200.460](#) Proposal costs if you receive a grant or cooperative agreement, or [FAR 31.205-18](#) Independent Research and Development and Bid and Proposal Costs for contracts.

b. Pre-Award Costs for Grants

You must request our prior approval if your research requires a specific date [pre-award costs](#) become allowable, or if you need more than ninety (90) days pre-award cost authorization as described in [2 CFR 200.308\(d\)\(1\)](#) and [2 CFR 200.458](#). **Your business office must provide this request in writing.** You must document why pre-award costs are necessary and essential for the research in the request, and identify a specific date for our Grants Officer to consider. We will only consider approval of a specific date or more than ninety days pre-award costs before an award is made.

Our grants include up to ninety (90) calendar days pre-award costs; however, the actual date costs become allowable is not final until an award is made. We recommend you ask for a specific date as described above to prevent misunderstandings.

All costs incurred before a grant or cooperative agreement award are at the recipient's risk as described in [2 CFR 200.308\(d\)\(2\)](#). We are under no obligation to reimburse your costs if for any reason you do not receive an award, or if your award is less than anticipated and inadequate to your pre-award costs.

c. Pre-Contract Costs under FAR Cost-Reimbursement Contract Awards Not Available

Federal awards made using a [2 CFR 200.38\(a\)\(2\)](#) cost-reimbursement contract instrument under the Federal Acquisition Regulations (FAR) do not allow for reimbursement of pre-contract costs. You will not get reimbursed for any costs you incur before the effective date of a contract award.

d. Air Force Office of Scientific Research No-Cost Extension (NCE) Policy

We require **prior** written approval to extend the period of performance, without additional funds, beyond the expiration date of the grant. We only grant no-cost extensions when they are truly warranted and properly documented. For an extension to be granted, you must provide notice in writing to the **Program Officer** using the appropriate email and the **Grants Officer** at afrl.afosr.pkcontracting@us.af.mil, including the supporting reasons, and revised expiration date, at least thirty (30) days prior to the expiration of the award. You must include your most recent SF 270 Request for Advance or Reimbursement or SF 425 Federal Financial Report with your request. We use this information to evaluate the business aspects of your request. In no event will the period of performance be extended merely for the purpose of using unobligated balances.

You should make every effort to ensure work is completed on time. If you and your business office deem a no-cost extension is truly warranted, your business office must

submit your request to your Program Officer and Grants Officer for initial review and a recommendation.

e. Prohibition on Contracting with Entities that Require Certain Internal Confidentiality Agreements or Statements--Representation

(a) *Definition.* As used in this provision--

“Internal confidentiality agreement or statement”, “subcontract”, and “subcontractor”, are defined in the clause at [52.203-19](#), Prohibition on Requiring Certain Internal Confidentiality Agreements or Statements.

(b) In accordance with section 743 of Division E, Title VII, of the Consolidated and Further Continuing Appropriations Act, 2015 (Pub. L. 113-235) and its successor provisions in subsequent appropriations acts (and as extended in continuing resolutions), Government agencies are not permitted to use funds appropriated (or otherwise made available) for agreements with an entity that requires employees or subrecipients of such entity seeking to report waste, fraud, or abuse to sign internal confidentiality agreements or statements prohibiting or otherwise restricting such employees or subrecipients from lawfully reporting such waste, fraud, or abuse to a designated investigative or law enforcement representative of a Federal department or agency authorized to receive such information.

(c) The prohibition in paragraph (b) of this provision does not contravene requirements applicable to Standard Form 312, (Classified Information Nondisclosure Agreement), Form 4414 (Sensitive Compartmented Information Nondisclosure Agreement), or any other form issued by a Federal department or agency governing the nondisclosure of classified information.

(d) Representation. By submission of its offer, the Grantor represents that it will not require its employees or subrecipients to sign or comply with internal confidentiality agreements or statements prohibiting or otherwise restricting such employees or subrecipients from lawfully reporting waste, fraud, or abuse related to the performance of a Government agreement to a designated investigative or law enforcement representative of a Federal department or agency authorized to receive such information (e.g., agency Office of the Inspector General).

(e) Agreement with the representation above will be affirmed by checking the “I agree” box in block 17 of the SF424 as part of the electronic proposal submitted via Grants.gov.

11. OTHER SUBMISSION REQUIREMENTS

If Grants.gov [rejects](#) your electronic application submission for any reason, you must correct all errors and resubmit your application.

E. APPLICATION REVIEW INFORMATION

1. CRITERIA

Our overriding purpose in supporting research is to advance the state of the art in areas related to the technical problems the U.S. Air Force encounters in developing and maintaining a superior U.S. Air Force; lowering cost and improving the performance, maintainability, and supportability of U.S. Air Force weapon systems; and creating and preventing technological surprise.

You should show strength in as many of the evaluation and selection areas as practicable to demonstrate maximum competitiveness.

a. Principal Evaluation and Selection Criteria

Our two (2) principal evaluation and selection criteria are specified in [32 CFR 22.315\(c\)](#). Our principal selection criteria are of equal importance to each other. The combined principal selection criteria are more important than the additional evaluation and selection criteria.

Our principal evaluation and selection criteria are:

- (1) The technical merits of the proposed research and development; and,
- (2) Potential relationship of the proposed research and development to Department of Defense missions.

b. Additional Evaluation and Selection Criterion

Our sole additional evaluation and selection criterion for research proposals, which is of lesser importance than the primary evaluation and selection criteria combined is:

- (1) The applicant's capabilities integral to achieving U.S. Air Force objectives. This includes principal investigator's, team leader's, or key personnel's qualifications, related experience, facilities, or techniques or a combination of these factors integral to achieving U.S. Air Force objectives, and the potential risk of this effort to the U.S. Air Force.

c. No further evaluation criteria or criterion will be used for proposal selection

2. REVIEW AND SELECTION PROCESS

a. Merit-based, Competitive Procedures

Proposals will be subjected to a peer or programmatic review. The peer review will use external reviewers to assess technical merit and Air Force relevance of the proposal.

The programmatic review assesses the technical quality of the proposal, relevance of the proposed research to the portfolio descriptions in this BAA, relevance of the work to Air Force and DoD needs, and the potential of the research balanced against the available funding resources of a given portfolio. Selection for award consideration will be made based on the outcome of these reviews

We select proposals for possible funding on a competitive basis according to Public Law 98-369, the Competition in Contracting Act of 1984, 10 USC 2361, and 10 USC

2374 using the merit-based, competitive procedures described in [32 CFR 22.315](#), incorporated here by reference.

b. Cost Analysis for Reasonableness and Realism

If your proposal is selected for possible award, we will analyze the cost of the work for realism and reasonableness. The cost of your proposal is considered, but is not an evaluation factor or criterion.

We must make sure the costs you propose are reasonable, realistic, and allocable to this work before we can make an award. All costs must be allowable to be reasonable. We may analyze your technical and cost information at the same time.

3. DISCLOSURE OF ADMINISTRATIVE PROCESSING BY CONTRACTOR PERSONNEL

We use support contractor personnel to help us with administrative proposal processing. Our contractor personnel are employees of commercial firms that have a contract with us. We make sure all of our support contracts include nondisclosure agreements that prohibit disclosure of any information you submit to other parties.

4. NO GUARANTEED AWARD

We do not guarantee that any award will be made under this competition.

F. FEDERAL AWARD ADMINISTRATION INFORMATION

1. SELECTION NOTICES

a. Electronic Notification

If your proposal is selected for possible award, an email will be sent to the principal investigator.

b. Selection for Possible Award Does Not Authorize Work

Our selection notice is not an authorization to start work, and is not an award guarantee. We will contact your business office to get answers to any questions we have about your proposal, and negotiate specific award terms.

2. AWARD NOTICES

a. Federal Award Document

A grant or contract signed by a warranted Grants or Contracting Officer is the only official notice that an award has been made.

b. Electronic Federal Award Distribution

We send award documents to your business office by email. This is called award distribution. We always ask your business office to forward the award to the Principal Investigator indicated on the award document.

3. ADMINISTRATIVE AND NATIONAL POLICY REQUIREMENTS

a. Reporting of Matters Related to Recipient Integrity and Performance

You must report recipient integrity and performance information as required by [Appendix XII to 2 CFR Part 200](#) – Award Term and Condition for Recipient Integrity and Performance Matters, incorporated here by reference. You should read the full text of this award term now using the link above to make sure you understand our requirements. You can also find this term at <http://www.ecfr.gov>.

b. Agency Review of Risk Posed by Applicants

- (1) We must review information available about you and entities included in your proposal through the Office of Management and Budget (OMB) designated repositories of government-wide eligibility qualification and financial integrity information. Our risk review is required by [31 U.S.C. 3321](#) and [41 U.S.C. 2313](#), and includes both public and non-public information. You must be qualified and responsible as described at [32 CFR 22.415](#) Standards to receive a grant award. Contract applicants must be responsible based on the requirements in [FAR Subpart 9.1](#) Responsible Prospective Contractors.
- (2) We must consider the non-public segment of the [Federal Awardee Performance and Integrity Information System \(FAPIIS\)](#) for all awards exceeding the current simplified acquisition threshold of \$150,000.
- (3) At a minimum, the information in the system for a prior Federal award recipient must demonstrate a satisfactory record of executing programs or activities under Federal grants, cooperative agreements, or procurement awards; and integrity and business ethics. We will consider any comments you provide, in addition to the other information in the designated integrity and performance system, when making our risk judgment about your integrity, business ethics, and record of performance under Federal awards.
 - (a) We may make an award to a recipient who does not fully meet our standards as described at [2 CFR 200.205\(a\)\(2\)](#) if it is determined that the information is not relevant to the current Federal award under consideration or there are specific conditions that can appropriately mitigate the effects of the non-Federal entity's risk in accordance with [2 CFR 200.207](#) Specific conditions.
- (4) We must comply with the guidelines on government-wide suspension and debarment described in [2 CFR 200.213](#), and must require you to comply with these provisions for all work we fund.

These provisions restrict Federal awards, sub-awards and contracts with certain parties that are debarred, suspended or otherwise excluded from or ineligible for participation in Federal programs or activities.

c. Cross-Cutting National Policy Requirements

You must comply with all applicable national policy requirements as a condition of award. Key national policy requirements may be found in the [DoD Research and](#)

[Development General Terms and Conditions, September 2017](#) (DoD T&C); and, [Appendix B to 32 CFR Part 22 – Suggested Award Provisions for National Policy Requirements that Often Apply](#), incorporated here by reference.

d. Acknowledgement of Research Support

You must acknowledge support provided by the Government in all materials based on or developed under our awards. The requirement extends to copyrighted and non-copyrighted materials published or displayed in any medium.

The following language must be used unless the award document provides different instructions:

“This material is based upon work supported by the Air Force Office of Scientific Research under award number FAXXXX-XX-X-XXXX.”

You must require any sub recipients or subcontractors under your award to include this acknowledgement too.

e. Disclaimer Language for Research Materials and Publications

Some materials based on or developed under our awards must include special disclaimer language. You must include this language in all materials except scientific articles or papers published in scientific journals unless your award document provides different instructions:

“Any opinions, findings and conclusions or recommendations expressed in this material are those of the author(s) and do not necessarily reflect the views of the U.S. Department of Defense.”

You must require any sub recipients or subcontractors under your award to include this acknowledgement too.

f. Grants and Cooperative Agreements - Uniform Administrative Requirements, Cost Principles, and Audit Requirements

Our grants are governed by the guidance in [Title 2, Code of Federal Regulations \(CFR\) Part 200](#), “Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards” as modified and supplemented by the Department of Defense’s (DoD) interim implementation in [2 CFR Part 1103](#) [79 FR 76047, December 19, 2014] and [2 CFR Part 1125](#). Provisions of [Chapter 1, Subchapter C of Title 32, CFR](#), “DoD Grant and Agreement Regulations” other than parts 32 and 33 continue to be in effect and apply as stated.

These regulations are incorporated by reference into this announcement.

g. Domestic Grants and Cooperative Agreements - DoD Research and Development General Terms and Conditions

Our domestic grants are subject to the “DoD Research and Development General Terms and Conditions, September 2017” (DoD T&C) found at [DoD Research and Development General Terms and Conditions, September 2017](#)

These terms and conditions are incorporated by reference into this announcement. We can provide a generic model grant or cooperative agreement upon request.

If we publish updated terms and conditions, the updated terms and conditions may apply to any grant made under this announcement.

h. Foreign Grants and Cooperative Agreements – Terms and Conditions

Our foreign grants and cooperative agreements are governed by award-specific terms and conditions that implement and supplement the section [F.3.f. Uniform Administrative Requirements, Cost Principles, and Audit Requirements](#). We can provide a generic model grant or cooperative agreement upon request.

i. Contract Award Terms and Conditions

Our cost reimbursement contracts incorporate [FAR](#), [DFARS](#), and [AFFARS](#) clauses plus descriptive text tailored to the particulars of each procurement that combine as the terms and conditions of the contract. We can provide a generic model contract upon request.

j. Conditions of Award for Recipients Other Than Individuals

You must agree to comply with the requirements at [2 CFR Part 182, Subpart B “Requirements for Recipients Other Than Individuals”](#) as a condition of award.

k. Contract Solicitation Provisions and Clauses Incorporated by Reference

The full text of [FAR](#), [DFARS](#), and [AFFARS](#) provisions and clauses may be accessed using the website at <http://farsite.hill.af.mil/vfd fara.htm>. The full text of Department of Defense (DoD) Class Deviations from the FAR and DFARS may be accessed at http://www.acq.osd.mil/dpap/dars/class_deviations.html. Any contract award will include all clauses required by FAR, DFARS, and AFFARS at the time of award.

We always include the full text of [DFARS 252.227-7017](#) Identification and Assertion of Use, Release, or Disclosure Restrictions in your Section K. We have to understand any data restrictions before negotiations.

You should read the full text of this provision now at

http://farsite.hill.af.mil/reghtml/regs/far2afmcfars/fardfars/dfars/dfars252_227.htm#P1183_92447.

[52.203-18](#) Prohibition on Contracting with Entities that Require Certain Internal Confidentiality Agreements or Statements - Representation (Jan 2017)

[52.209-11](#) Representation by Corporations Regarding Delinquent Tax Liability or a Felony Conviction under any Federal Law (Feb 2016)

[252.204-7007](#) Alternate A, Annual Representations and Certifications (Jan 2015)

[252.204-7012](#) Safeguarding Covered Defense Information and Cyber Incident Reporting (Oct 2016)

[252.209-7004](#) Subcontracting with Firms that are Owned or Controlled by the

Government of a Country that is a State Sponsor of Terrorism (Oct 2015)

[252.227-7013](#) Rights in Technical Data--Noncommercial Items (Feb 2014)

[252.227-7017](#) Identification and Assertion of Use, Release, or Disclosure Restrictions (Jan 2011)

[252.235-7010](#) Acknowledgement of Support and Disclaimer (May 1995)

[252.235-7011](#) Final Scientific or Technical Report (Jan 2015)

[252.244-7001](#) Contractor Purchasing System Administration-Basic (May 2014)

l. Foreign Entities and For-Profit Organizations Not Generally Eligible for Equipment Vesting

We cannot vest title to equipment with for-profit organizations, foreign public entities, or foreign organizations unless there is a specific statutory or regulatory authority that allows us to do so.

- If you are applying for a contract award, you should contact us before you propose purchasing equipment.
- If you are applying as a foreign public entity or foreign organization, please contact the Program Officer listed with your topic before you propose equipment.

m. Minimum Record Retention Requirements

You must keep records related to our awards for at least three years after completion and the final Federal Financial Report is submitted. This requirement is described further in [2 CFR 200.333](#), incorporated here by reference. For grant or cooperative agreement awards, the DoD T&C [OAR Article II. Records retention and access](#) describes additional requirements. Contract awards have similar requirements.

Sometimes records must be retained for more than three years.

4. REPORTING

a. Monitoring and Reporting Program Performance

All of our awards require at least annual and final technical performance reports as required in [2 CFR 200.328](#). The DoD T&C [REP Article I. Performance reporting](#) will apply to grant or cooperative agreement awards. Some of our awards require more frequent technical reports.

You must provide your reports on time. Our awards include a schedule specifying the latest date for submission of each required report.

You may use a SF 298 Report Documentation Page for interim progress reports.

You must use a completed SF 298 Report Documentation Page as the first page of the final report. You can download an electronic SF 298 from <http://www.gsa.gov/portal/forms/download/116146>.

b. Technical Performance Report Format

(1) ANSI Standard Z39.18-2005

Use the AFRL Scientific & Technical Reports – Preparation, Presentation and Preservations Format Guidelines (June 2010) for your final report unless your award states different requirements. You can download the AFRL standard guide from the Related Documents tab in Grants.gov for this announcement.

(2) Institutional Formats for Thesis and Dissertations

If your institution has a format for thesis and dissertations, you can use that format unless your award states different requirements.

(3) Pending Federal-wide Research Progress Performance Report (RPPR) Format

We are working on a [Federal-wide Research Progress Performance Report \(RPPR\)](#) for interim, annual, and final research performance reports. You do not have to use the RPPR right now. [DoD plans to use the report in the future.](#)

We may issue an award modification that requires you to use the Government-wide RPPR after a final notice is issued in the Federal Register.

c. Department of Defense (DD) Form 882 Report of Inventions and Subcontracts

(1) Invention Reports

(a) You must provide at least a final invention report on DD Form 882. We may ask for annual reports. Our award documents specify the due date. You can get the form at <http://www.dtic.mil/whs/directives/forms/eforms/dd0882.pdf>.

(b) You must submit invention reports even if you do not have a patent to report.

(2) Sub-Award and Subcontract Reporting

You must use the DD Form 882 to tell us about any subawards or subcontracts. Your award will provide specific instructions. You can get the form at <http://www.dtic.mil/whs/directives/forms/eforms/dd0882.pdf>.

d. Standard Form (SF) 425 Federal Financial Report

Our awards require a final SF 425 Federal Financial Report. You can get the form at http://www.whitehouse.gov/sites/default/files/omb/assets/grants_forms/SF-425.pdf.

(1) If you request any advance payment(s) under your award or have scheduled payments, you must submit quarterly SF 425 reports for the life of the award. Our awards include specific instructions.

(2) You do not have to submit quarterly SF 425 reports if you only request payments by reimbursement.

e. Electronic Payment Requests and Electronic Payment

You must submit payment requests electronically using the Invoicing, Receipt, Acceptance, and Property Transfer (iRAPT) application unless your award specifies different instructions. Domestic grant payments must be made using the electronic

funds transfer (EFT). We prefer to make foreign payments by wire transfer.

To submit electronic payment requests you must register to use iRAPT in the Wide Area Workflow (WAWF) e-Business Suite at <https://wawf.eb.mil>. The website includes registration instructions.

If you have WAWF or iRAPT questions or problems, you can get help by telephone at (866) 618-5988 or (801) 605-7095, by electronic mail at disa.ogden.esd.mbx.cscassig@mail.mil, or the website <https://wawf.eb.mil/xhtml/unauth/web/homepage/vendorCustomerSupport.xhtml>.

f. Property Reports

If we furnish any property owned by the Government under an award, you must submit periodic property status reports as described in [2 CFR 200.329](#) and further implemented for grants by the DoD T&C [REP Article III. Reporting on Property](#). Contract awards have similar property reporting requirements.

g. Other Reports

Our Program Officers may ask for informal technical reports as needed. We use these informal reports for program purposes, such as preparation for meetings and other technical purposes. We highly recommend you provide this information in a timely manner by electronic mail directly to the Program Officer.

h. Electronic Submission of Reports

You must plan on submitting reports electronically. **You must submit most reports through the internet application at <http://afosr.reports.sgizmo.com/s3/>.** Some reports must be sent using electronic mail. Our award documents provide specific instructions that you must follow.

G. AGENCY CONTACTS

1. TECHNICAL INQUIRESAND QUESTIONS

You should submit your questions in writing by electronic mail to the Program Officer responsible for your topic(s) of interest from section [A. Program Description](#). You should include the announcement number in the subject line.

The technical contacts for this announcement by program description are as follows:

SECTION	PROGRAM DESCRIPTION	PROGRAM OFFICER
A.3.a.	Aerospace Materials for Extreme Environments	Dr. Ali Sayir
A.3.b.	Atomic and Molecular Physics	Dr. Grace Metcalfe
A.4.a.	Biophysics	Dr. Sofi Bin-Salamon
A.2.j	Complex Networks	Dr. Tristan N. Nguyen (acting)
A.2.a.	Computational Cognition and Machine Intelligence	Dr. James H. Lawton

A.2.b.	Computational Mathematics	Dr. Fariba Fahroo
A.2.i.	Computational Social Sciences	Dr. Benjamin A. Knott
A.2.d.	Dynamic Data Driven Applications Systems (DDDAS)	Dr. Erik Blasch
A.1.a.	Dynamic Materials and Interactions	Dr. Martin Schmidt
A.2.c.	Dynamics and Control	Dr. Frederick Leve
A.3.c.	Electromagnetics	Dr. Arje Nachman
A.1.b.	GHz-THz Electronics and Materials	Dr. Kenneth C. Goretta
A.1.c.	Energy, Combustion, and Non-Equilibrium Thermodynamics	Dr. Chiping Li
A.1.d.	Unsteady Aerodynamics and Turbulent Flows	Dr. Douglas Smith
A.1.e.	High-Speed Aerodynamics	Dr. Ivett A. Leyva
A.4.b.	Human Performance and Biosystems	Dr. Patrick O. Bradshaw
A.2.e.	Information Assurance and Cybersecurity	Dr. Tristan N. Nguyen
A.3.d.	Laser and Optical Physics	Dr. Gernot S. Pomrenke (acting)
A.1.f.	Low Density Materials	Dr. Jaimie Tiley (acting)
A.4.c.	Mechanics of Multifunctional Materials and Microsystems	Dr. Byung-Lip (Les) Lee
A.4.d.	Molecular Dynamics and Theoretical Chemistry	Dr. Michael R. Berman
A.1.g.	Multi-Scale Structural Mechanics and Prognosis	Dr. Jaimie Tiley
A.4.e.	Natural Materials, Systems, and Extremophiles	Dr. Sofi Bin-Salamon (acting)
A.2.f.	Optimization and Discrete Mathematics	Dr. Fariba Fahroo
A.3.e.	Optoelectronics and Photonics	Dr. Gernot S. Pomrenke
A.4.f.	Organic Materials Chemistry	Dr. Kenneth Caster
A.6.	Other Innovative Research Concepts	Dr. Jean-Luc Cambier (acting)
A.3.f.	Plasma and Electro-Energetic Physics	Dr. Jason A. Marshall
A.3.g.	Quantum Electronic Solids	Dr. Harold Weinstock
A.3.h.	Quantum Information Sciences	Dr. Tatjana Curcic
A.3.i.	Remote Sensing	Dr. Stacie E. Williams
A.2.g.	Science of Information, Computation, Learning, and Fusion	Dr. Richard D. (Doug) Riecken
A.1.h.	Space Propulsion and Power	Dr. Mitat A. Birkan
A.3.j.	Space Science	Dr. Julie J. Moses
A.1.i.	Test Science for Test and Evaluation	Dr. Brett Pokines

A.2.h.	Trust and Influence	Dr. Benjamin A. Knott
A.3.k.	Ultrashort Pulse Laser-Matter Interactions	Dr. Riq Parra

If you submit a question by telephone call, fax message, or other means you may not receive a response.

2. GENERAL INQUIRIES AND QUESTIONS

You must send all general questions about this announcement to us by email. Your questions will generally be consolidated with other questions and posted on Grants.gov so everyone gets the same information. We may provide an individual response by email if your question does not apply to anyone else.

MELISSA A. CAMPBELL, AFOSR/PKC

Procurement Analyst

Email: afosr.baa@us.af.mil

DANIEL P. SMITH, AFOSR/PKC

Contract Specialist

Email: afosr.baa@us.af.mil

H. OTHER INFORMATION

1. OMBUDSMAN

- (a) An ombudsman has been appointed to hear and facilitate the resolution of concerns from offerors, potential offerors, and others for this acquisition. When requested, the ombudsman will maintain strict confidentiality as to the source of the concern. The existence of the ombudsman does not affect the authority of the program officer, grants officer, contracting officer, or source selection official. Further, the ombudsman does not participate in the evaluation of proposals, the source selection process, or the adjudication of protests or formal grant or contract disputes. The ombudsman may refer the party to another official who can resolve the concern.
- (b) Before consulting with an ombudsman, interested parties must first address their concerns, issues, disagreements, and/or recommendations to the grants or contracting officer for resolution. Consulting an ombudsman does not alter or postpone the timelines for any other processes (e.g., agency level bid protests, GAO bid protests, requests for debriefings, employee-employer actions, contests of OMB Circular A-76 competition performance decisions).
- (c) If resolution cannot be made by the Grants Officer, concerned parties may contact ombudsman, Ms. Lisette K. LeDuc, 1864 Fourth St. Wright-Paterson AFB OH 45433-7130 Telephone: (937) 904-4407 Email: Lisette.leduc@us.af.mil or Alternate Ombudsman Ms. Kimberly L. Yoder, HQ AFRL/PK, Wright-Paterson AFB OH. Telephone: (937) 255-4967. Email: Kimberly.yoder@us.af.mil. Concerns, issues, disagreements, and recommendations that cannot be resolved at the MAJCOM/DRU

or AFISRA level, may be brought by the concerned party for further consideration to the U.S. Air Force ombudsman, Associate Deputy Assistant Secretary (ADAS) (Contracting), SAF/AQC, 1060 Air Force Pentagon, Washington DC 20330-1060, phone number (571) 256-2395, facsimile number (571) 256-2431.

- (d) The ombudsman has no authority to render a decision that binds the agency.
- (e) Do not contact the ombudsman to request copies of the solicitation, verify offer due date, or clarify technical requirements. Such inquiries shall be directed to the grants or contracting officer.

2. GRANTS AND CONTRACTING OFFICERS AUTHORITY

Grants and Contracting Officers acting within their warranted capacity are the only individuals legally authorized to make commitments or bind the Government. No other individuals are authorized to make commitments or otherwise bind us.

3. ADDITIONAL FUNDING OPPORTUNITIES

We post new funding opportunities throughout the year looking for today's breakthrough science for tomorrow's Air Force. You can find more information about Air Force Office of Scientific Research interests and funding opportunities on our website at <http://www.wpafb.af.mil/afrl/afosr>.