

# SMALL WARS

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## JOURNAL

## Assessing Physiological Response to Toxic Industrial Chemical Exposure in Megacities

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### Assessing Physiological Response to Toxic Industrial Chemical Exposure in Megacities

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#### Introduction

Megacities—urban areas with populations of ten million or greater—and other dense urban environments are emerging and growing globally, posing new challenges for U.S. military operations. The United States (U.S.) military can be better positioned for potential future operations within megacities as part of a joint, interagency, intergovernmental, and multinational team by increasing its understanding of megacity environments (Harris et al., 2014). Chemical exposures pose risk to the health and readiness of Service Members operating in these environments. The Naval Research Laboratory (NRL) prioritized a list of the top 30 chemicals of concern for global military operations based on physical characteristics and available toxicology data (Sutto, 2015). Occupational exposure to these chemicals increases risk of developing adverse health effects during mission operations or post-deployment. Policy guidelines specifying the use of the appropriate personal protective gear constitute the first line of defense to protect personnel by limiting exposure in the field. After a confirmed or suspected exposure event, far-forward diagnostic tools are needed to quickly and effectively determine and manage the risk of adverse health effects post-exposure in order to make informed command decisions about return-to-duty and treatment options. Identifying and quantifying biomolecular indicators in accessible biofluids such as blood, saliva, or urine is critically important for evaluating chemically-induced disease prognosis (Tawa et al., 2014; Ippolito et al., 2015). Biomarkers of end-organ toxicity can be integrated into a fieldable detection system to rapidly diagnose chemical exposure-induced adverse health effects in theater. Noninvasive or minimally invasive screening methods could enable early intervention, treatment, and informed decision making to optimize force readiness. Mapping biomolecular patterns of adverse health effects represents a promising solution to the complex problem of assessing health effects after exposure to mixtures of different chemicals and /or pollutants and aggregated exposure effects over time (Silins & Högberg, 2011).

This systematic literature review identifies and assesses the level of evidence for candidate far forward diagnostic technologies and biomarkers that may be used to detect emerging health effects from exposure to militarily-relevant chemicals. The review emphasizes a subacute exposure window (i.e., days to weeks) best suited to the short-term exposure scenarios anticipated in military operations and mission scenarios. Biomarker development demands meeting rigorous regulatory and clinical standards before adoption in the field, but military investment in this research and development process has the potential for significant returns in military healthcare during the deployment life cycle. Biomarker-based screening technologies can benefit routine health care screening, return-to-duty decision-making, triage during mass casualty

exposure events, and/or guiding the development of diagnostics to inform treatment options. Biomarker-based far-forward diagnostic strategies and technologies have the potential to transform military healthcare in the changing face of warfare in megacities and dense urban operating environments

## Methods

The peer-reviewed literature was surveyed to evaluate the weight-of-evidence regarding biomarkers for emerging health effects resulting from exposure to militarily-relevant chemicals (Table 1). The target list of militarily relevant chemicals was based on 30 prioritized megacity chemical hazards outlined in the *NRL Industrial Chemical Assessment for Hazard, Probability, and Biomarker Prioritization* (Sutto, 2015). A biomarker was defined as a molecular, cellular, or biophysical event linked to an emerging health effect.

**Table 1. High Priority Megacity Military relevant Toxic Industrial Chemical Hazards. Adapted from NRL Industrial Chemical Assessment for Hazard, Probability and Biomarker Prioritization (Sutto, 2015)**

Global Rank	Toxic Industrial Chemical	Global Rank	Toxic Industrial Chemical
1	Chlorine	16	Phosphoryl trichloride
2	Ammonia	17	Chlorine dioxide
3	Hydrogen chloride	18	Bromine
4	Sulfuric acid	19	Nitrogen dioxide
5	Hydrogen fluoride	20	Phosphorus trichloride
6	Formaldehyde	21	Fluorotrichloromethane
7	Mercury	22	Hydrogen sulfide
8	Nitric acid	23	Molybdophosphoric acid
9	Sulfur dioxide	24	Toluene-2,4-diisocyanate
10	Phosgene	25	Fluorine
11	Hydrogen bromide	26	Malathion
12	Nitric Oxide	27	Parathion
13	Octamethyl pyrophosphoramine	28	Acetylene tetrabromide
14	Boron trifluoride	29	o-Anisidine
15	Methyl bromide	30	Phosphine

Databases searched included PubMed, Web of Knowledge, Google Scholar, ClinicalTrials.gov, publicly available Department of Defense (DoD) technical reports (e.g., Defense Technical Information Center), non-DoD sources, and the Center for Disease Control Agency for Toxic Substance & Disease Registry and from the U.S. Library of Medicine Hazardous Substances Data Bank (“Agency for Toxic Substance & Disease Registry - Medical Management Guidelines Home Page,” n.d., “U.S. National Library of Medicine - Hazardous Substances Data Bank,” n.d.). Search terms were selected to identify studies that examined health effects associated with exposure to the high priority chemicals. The search was limited to articles published in English from January 2005 to May 2015.

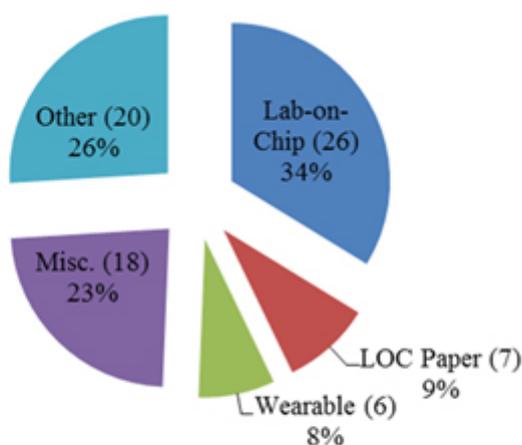
Peer-reviewed articles were excluded if they (1) reported acute responses requiring immediate palliative care, (2) reviewed *in vitro* or computational toxicology sites that did not use publicly available data sets, and (3) discussed mechanistic biomarkers without clear implications for candidate prognostic markers suitable for fieldable detection devices.

An objective two-step grading approach based on the U.S. Preventive Services Task Force Grade Definitions was used to assess the internal validity of individual studies published in peer-reviewed journal articles that met the inclusion criteria. The first step in evidence grading methodology identified and ranked the study design within a hierarchy of evidence, and the second step assessed the study quality (good, fair, poor). Study designs included the following categories: preclinical research (e.g., animal models), clinical research (e.g., clinical trials, diagnostic studies), epidemiological research (e.g., cohort studies, intervention studies), and secondary research (e.g., meta-analysis, systematic reviews). If a publication contained both a preclinical study and a clinical study, a separate grade was assigned for each

study.

## Results and Discussion

A review of 57 current papers in the diagnostic device literature identified five classes of biomarker detection devices (Figure 1). Most of the devices in development are lab-on-a-chip designs and paper-based lab-on-a-chip (LOC paper) prototypes. Frequently portable and disposable, these designs may be amenable to military field settings (Shafiee et al., 2015). Unique technological challenges limit the utility of many prototype diagnostics for use in a far-forward operational setting. Further research, clinical trials, and validation are needed to advance the devices beyond the prototype stage (Hoenigl et al., 2014). Ruggedization and miniaturization of laboratory prototypes are significant challenges in fielding these devices (Greenwood et al., 2007).



**Figure 1. Biomarker detection devices under development identified by environmental literature scan.** Modifications are necessary before many of these detection devices are available in far-forward diagnostic settings. (LOC, lab-on-a-chip)

Table 2 lists the target organs of adverse health effects following exposure to each of the chemicals. Table 3 reports the key acute, subacute, and chronic health effects for each target organ. Most of the data summarized in Tables 2 and 3 are derived from a scan of public toxicology data repositories (e.g., the Hazardous Substances Data Bank and related ToxNet resources). These repositories identify relevant studies outside the time frame of the systematic review (i.e., before 2005-2015).

Table 2. Top 30 prioritized militarily relevant toxic industrial chemicals by target organ adverse health effects.

High Priority Militarily Relevant Industrial Chemical	Target Organs								
	Lung	CNS/PNS*	Heart	Liver	Kidney	Gastrointestinal	Skin	Bone	Other
Chlorine	✓		✓			✓	✓		✓
Ammonia		✓		✓			✓		✓
Hydrogen chloride	✓					✓	✓		✓
Sulfuric acid	✓					✓	✓		✓
Hydrogen fluoride	✓		✓			✓	✓	✓	✓
Formaldehyde	✓					✓	✓		✓
Mercury	✓	✓	✓				✓		✓
Nitric acid	✓					✓	✓		✓
Sulfur dioxide	✓						✓		✓
Phosgene			✓						✓
Hydrogen bromide	✓		✓			✓	✓		✓
Nitric oxide	✓	✓				✓	✓		✓
Octamethyl pyrophosphoramine		✓				✓	✓		✓
Boron trifluoride	✓				✓		✓		✓
Methyl bromide	✓	✓				✓	✓		✓
Phosphoryl trichloride	✓					✓	✓		✓
Chlorine dioxide	✓					✓	✓		✓
Bromine	✓	✓				✓	✓		✓
Nitrogen dioxide	✓		✓						✓
Phosphorus trichloride	✓					✓	✓		✓
Fluorotrichloromethane		✓	✓			✓			✓
Hydrogen sulfide	✓	✓	✓						✓
Molybdophosphoric acid	✓						✓		✓
Toluene-2,4-diisocyanate	✓					✓	✓		✓
Fluorine	✓						✓		✓
Malathion	✓	✓	✓			✓	✓		✓
Parathion	✓	✓	✓			✓			✓
Acetylene tetrabromide	✓	✓		✓	✓	✓			✓
o-Anisidine	✓	✓	✓	✓	✓		✓		✓
Phosphine	✓	✓	✓	✓		✓			✓

\* CNS/PNS = Central Nervous System/Peripheral Nervous System

Table 3. Key acute, subacute, chronic health effects by target organ associated with exposure to the top 30 prioritized megacity chemicals

TARGET ORGAN	HEALTH EFFECTS		
	Acute	Subacute	Chronic
<b>Lung</b>	<ul style="list-style-type: none"> <li>Inflammation/ulceration of respiratory tract, and airway damage (e.g., nasopharyngeal/tracheal burns, epistaxis)</li> <li>Acute pulmonary edema</li> <li>Severe pulmonary injury leading to pneumothorax and pneumomediastinum</li> <li>Respiratory failure</li> </ul>	<ul style="list-style-type: none"> <li>Delayed lung damage including pulmonary edema</li> <li>Respiratory failure</li> <li>Acute lung injury / acute respiratory distress syndrome (onset typically within 48–72 hr)</li> </ul>	<ul style="list-style-type: none"> <li>Inflammation/ulceration of respiratory tract, and airway damage (e.g., nasopharyngeal/tracheal burns, epistaxis)</li> <li>Acute pulmonary edema</li> <li>Severe pulmonary injury leading to pneumothorax and pneumomediastinum</li> <li>Respiratory failure</li> </ul>
<b>CNS/PNS</b>	<ul style="list-style-type: none"> <li>CNS depression</li> <li>Neurobehavioral effects (e.g., drowsiness, agitation, confusion)</li> <li>Seizures</li> <li>Unconsciousness</li> <li>Loss of coordination</li> </ul>	<ul style="list-style-type: none"> <li>Neuropsychiatric sequelae (e.g., ataxia, nystagmus, tremors, myoclonus, seizures, dementia, psychosis)</li> <li>Speech difficulty</li> </ul>	<ul style="list-style-type: none"> <li>Neurodegeneration</li> <li>Neurobehavioral effects (e.g., anxiety, depression, suicide ideation)</li> <li>Visual, speech, and memory disturbances</li> <li>Neuromuscular effects (e.g., weakness, motor disturbances)</li> </ul>
<b>Heart</b>	<ul style="list-style-type: none"> <li>Arrhythmia (e.g., bradycardia, tachycardia)</li> <li>Dysregulation of blood pressure (depression, hypertension)</li> </ul>	<ul style="list-style-type: none"> <li>Myocardial injury</li> </ul>	<ul style="list-style-type: none"> <li>Heart failure</li> </ul>
<b>Liver</b>	<ul style="list-style-type: none"> <li>Hepatosplenomegaly</li> <li>Acute liver failure</li> </ul>	<ul style="list-style-type: none"> <li>Liver damage</li> </ul>	<ul style="list-style-type: none"> <li>Liver damage or failure</li> <li>Cancer</li> </ul>
<b>Kidney</b>	<ul style="list-style-type: none"> <li>Acute kidney injury (potentially with oliguria, anuria)</li> </ul>	<ul style="list-style-type: none"> <li>Renal damage</li> </ul>	<ul style="list-style-type: none"> <li>Renal damage; Chronic renal failure</li> </ul>
<b>Gastrointestinal (GI) Tract</b>	<ul style="list-style-type: none"> <li>Inflammation, ulceration, and burning throughout the GI tract</li> <li>Salivation</li> <li>GI dysfunction (e.g., cramps, stomach pain, vomiting, diarrhea, fecal incontinence, blood in the feces)</li> </ul>	<ul style="list-style-type: none"> <li>Gradual and lingering narrowing of the esophagus</li> </ul>	<ul style="list-style-type: none"> <li>Persistent GI distress, including gastritis</li> <li>Dental discoloration or erosion</li> <li>Cancer</li> </ul>
<b>Other</b>			
Bladder	<ul style="list-style-type: none"> <li>Urinary incontinence</li> </ul>		<ul style="list-style-type: none"> <li>Cancer</li> </ul>
Blood	<ul style="list-style-type: none"> <li>Hypoxic conditions (e.g., methemoglobinemia, increased sulfhemoglobin, cyanosis)</li> </ul>		<ul style="list-style-type: none"> <li>Anemia</li> <li>Reticulocytosis</li> </ul>
Bone			<ul style="list-style-type: none"> <li>Bone Loss</li> </ul>
Lymphatics/Immune	<ul style="list-style-type: none"> <li>Hepatosplenomegaly</li> </ul>		<ul style="list-style-type: none"> <li>Allergies</li> </ul>
Musculature	<ul style="list-style-type: none"> <li>Muscle twitching, weakness (due to neuromuscular damage); tissue damage (e.g., deep burns)</li> </ul>		
Pancreas	<ul style="list-style-type: none"> <li>Beta-cell injury</li> </ul>	<ul style="list-style-type: none"> <li>Beta-cell injury; insulin resistance</li> </ul>	<ul style="list-style-type: none"> <li>Beta-cell injury and insulin resistance; risk of diabetes</li> </ul>
Peripheral Nervous System	<ul style="list-style-type: none"> <li>Diminished reflexes</li> </ul>	<ul style="list-style-type: none"> <li>Peripheral neuropathy</li> </ul>	<ul style="list-style-type: none"> <li>Peripheral neuropathy</li> </ul>
Reproductive			<ul style="list-style-type: none"> <li>Menstrual disorders</li> </ul>

Articles meeting the inclusion criteria for adverse health effects were organized into eight specific target organ categories: lung, central nervous system (CNS), peripheral nervous system (PNS), heart, liver, kidney, gastrointestinal, other, and multiple (Figure 2). A summary of the literature review by target organ for five key target organs (lung, CNS/PNS, heart, liver, and kidney) follows.

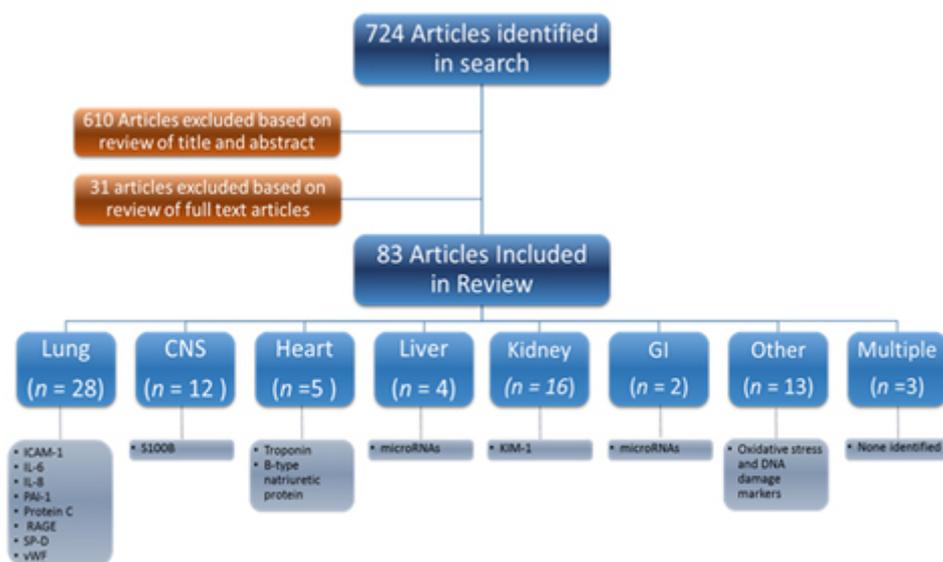


Figure 2. Systematic review scheme for selecting and grading articles identifying biomarkers of adverse health effects caused by exposure to 30 high priority megacity chemicals. Biomarkers associated with each target organ are listed.

**Lung.** Several of the 28 lung-related articles identified biomarkers correlating with acute lung injury/acute respiratory distress syndrome (ALI/ARDS): RAGE, ICAM-1, KL-6, SP-D, vWF, IL-6, IL-8, protein C, PAI-1, TNFR1 and 2, and thrombomodulin (Agrawal et al., 2012; Calfee et al., 2008; Calfee et al., 2009; Collard et al., 2010; McClintock et al., 2008; Parsons et al., 2005; Uchida et al., 2006). Many of these biomarkers were associated with clinical outcomes (e.g., ventilator-free days, organ-failure-free days, and mortality). Mean levels the proteins PBEF or MIF were significantly greater in serum of ALI patients than healthy controls although the specificity for ALI remains uncertain (Gao et al., 2007; Ye et al., 2005). Combining predictive markers improved predictive power. Low levels of protein C and high levels of PAI-1 were independent predictors of mortality, and the two markers had a synergistic interaction for the risk of death (Ware et al., 2007). Levels of RAGE, PCP III, BNP, ANG-2, IL-8, TNF-?, and IL-10 show potential as a diagnostic biomarker panel (Fremont et al., 2010). In two studies, combinations of clinical predictors and multiple plasma biomarkers measured in individuals with ALI/ARDS improved predictive power for mortality over either approach alone, with some evidence that trauma differentially affects odds of mortality (Calfee et al., 2011; Ware et al., 2010). Exposure to isocyanates (e.g., TDI and MDI) was linked to occupational asthma. Biomarkers associated with this clinical outcome included VDBP, MMP-9, VEGF, LSP-1, COR1A, HPX, and autoantibodies to tTG, CK18, and CK19 (Kim et al., 2011; Hur et al., 2008; Ye et al., 2006; Haenen et al., 2012). F2-IsoP in plasma and lung tissue and SOD1 and COX2 in lung tissue may be associated with respiratory exposure to cadmium or silica nanoparticles (Coccini et al., 2012). Pulmonary fluid proteins and/or bronchial lavage proteins are biomarker candidates for lung injury, including ferritin, transferrin, CC16, ICAM-1, and PBEF (Hur et al, 2008; Kropski et al., 2009; Ye et al., 2005). Biomarkers in exhaled breath present an attractive alternative for accessibility in field settings (eNO and eCO<sub>2</sub> after exposure to chlorine and phosgene) or cytokines such as IL-4 after exposure to NO<sub>2</sub>; Luo et al., 2014; Nath and Januszkiewicz, 2008).

**Central and peripheral nervous system (CNS/PNS).** The 18 articles associated with CNS/PNS injury included serum biomarkers associated with neurological sequelae, mostly associated with organophosphate pesticide (OP) exposure. These biomarkers of OP exposure and effect included a panel of autoantibodies (e.g., antibodies to NFP, TAU, MAP-2, MBP, GFAP, S100B), blood ChE/AChE, creatinine kinase, AST, LysoPC hydrolase in erythrocytes, SOD activity and/or LPO concentration after exposure to organophosphate pesticides (Abou-Donia et al., 2013; Rohlman et al., 2011; Bayrami et al.,

2012; Vose et al., 2007; Colak et al., 2014; Aygun et al., 2007). Biomarkers to non-OP-related chemical exposures included GRIA 1 and glial S100B after exposure to mercury (Park et al., 2012) and serum S100B after acute CO poisoning (Abou-Donia, 2013).

**Heart.** A combination of biophysical and serum biomarkers were identified for the heart. Isoforms of troponin and cardiac natriuretic proteins released into the serum were associated with myocardial injury. Hydrogen sulfide poisoning resulted in cardiac proteins TNI and CPK-MB increased over time in conjunction with ECG data (Hirakawa et al., 2013). OP poisonings were associated with elevated TNI detecting acute early phase of cardiac injury (e.g., within the first 48 hours) (Cha, Cha et al., 2014). There is strong evidence for the emerging utility of cardiac troponins in stratifying toxicity risk (Dolci et al., 2008). Biophysical biomarkers included ECG measurements to determine risk of myocardial injury and arrhythmias (e.g., transmucosal repolarization parameters to myocardial injury, left ventricle ejection fraction), especially after CO poisoning and OP exposure (Akilli et al., 2013). An emerging literature identifies micro-ribonucleic acids (miRNAs) in the prediction, detection, assessment, or treatment of myocardial damage and cardiac dysfunction (Sandhu & Maddock, 2014).

**Liver.** Four articles identified liver biomarkers in serum, including miRNAs (serum miR-125a-5p, miR-192 and miRNA-122; Zheng et al., 2015; Zhang et al., 2010). Changes in miRNA levels assessed in liver tissue implicate miRNAs such as miR-34a as promising new candidates in serum or urine (Koufaris et al., 2012). Liver injury diagnosis is routinely made in conjunction with clinical chemistry data, including alanine amino transferase (ALT). A panel of plasma or serum biomarkers diagnosed liver fibrosis in animal models (fibrinogen precursor, ceruloplasmin isoform 1, insulin like growth factor binding protein, alpha-2-macroglobulin, and vitronectin) (Ippolito et al., 2015).

**Kidney.** The 17 kidney-related articles identified biomarkers in serum and urine. Acute kidney injury markers in serum included LG3, cathepsin L, NGAL, IL-6, soluble TNFR1 and 2, and PAI-1 as potential candidates (Haase et al, 2014; Liu et al., 2007). Clinical studies have identified promising urinary biomarkers of acute kidney injury and/or nephrotoxicity, including KIM-1 protein after TCE exposure (Vermeulen et al., 2012). Urinary panels include Alb, NAG and ?1-MG as indicators of fluoride and arsenic-induced glomerular and tubular injury (Zeng et al., 2014). KIM-1 predicted kidney injury earlier than the traditional biomarkers, such as creatinine, BUN, and/or NGAL (Rached et al., 2008; Vaidya et al., 2010; Wunnapuk, Gobe, et al., 2014; Zhou et al., 2008). Many of the preclinical studies examined urinary biomarkers of kidney injury other than KIM-1 (e.g., Cal, Clu, KIM?1, Lcn2, the three-branched chain amino acids [leucine, isoleucine, and valine], OPN, TTF3) and provide important foundational information (Boudonck et al., 2009; Fuchs et al., 2014; Hoffmann et al., 2010; Wunnapuk, Liu, et al., 2014; Yu et al., 2010).

**Other health effects.** Other health effects with biomarker candidates included gastrointestinal, reproductive, cancer progression, blood, bone, and lymphatics (see Tables 2 and 3 and Figure 2).

## Conclusions

As megacities and dense urban environments continue to grow in number and population size, there is an unmet need to understand the health threats of service members exposed to toxic chemicals and environmental hazards unique to the megacity operational environment. Properly validated biomarkers of exposure and effect can integrate with current biomonitoring systems throughout the soldier deployment life cycle to inform medical decisions during and after exposure events, especially in polluted megacity operational environments with increased risk of non-agent chemical exposure. Further research is needed to ensure the fieldability of ruggedized detection systems in the megacity environment.

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*The views, opinions, and/or findings contained in this report are those of the author and should not be construed as official Department of the Army position, policy, or decision, unless so designated by other official documentation.*

### **Acronyms and Abbreviations**

AChE	Acetylcholinesterase
Alb	Albumin
ALI	Acute Lung Injury
ALP	Alkaline Phosphatase
ALT	Alanine Aminotransferase
ANG-2	Angiopoietin-2
ARDS	Acute Respiratory Distress Syndrome
AST	Aspartate Aminotransferase
BNP	B-type Natriuretic Protein
BUN	Blood Urea Concentration
Cal	Calbindin-D28
CC16	Clara Cell 16
ChAT	Choline Acetyltransferase
ChE	Cholinesterase
CI	Confidence Interval
CK	Cytokeratin
Clu	Clusterin
CNS	Central Nervous System
CO	Carbon Monoxide
COR1A	Coronin 1A
COX2	Cyclooxygenase Type 2
CPK	Creatine Kinase
DNA	Deoxyribonucleic acid
ECG	Electrocardiogram
eCO <sub>2</sub>	Exhaled Carbon Dioxide
eNO	Exhaled Nitric Oxide
F2-IsoP	F2-Isoprostanes

GFAP Glial Fibrillary Acidic Protein  
GRIA 1 Glutamate Receptor 1  
HPX Hemopexin  
HSA Human Serum Albumin  
ICAM-1 Intercellular Adhesion Molecule-1  
IL Interleukin  
KIM-1 Kidney Injury Molecule-1  
Lcn2 Lipocalin 2  
LG3 Prelecan C-terminal Fragment LG3  
LPO Lipid Peroxidation  
LSP-1 Lymphocyte Specific Protein-1  
LysoPC Lysophosphatidylcholine  
MBP Myelin Basic Protein  
MDI Methylene Diphenyl Diisocyanate  
MIF Migration Inhibitory Factor  
miRNA micro-Ribonucleic Acid  
MMP-9 Matrix Metalloproteinase-9  
MPO Myeloperoxidase  
NAG N-Acetyl- $\beta$ -D-Glucosaminidase  
NFP Neurofilament Triplet Proteins  
NGAL Neutrophil Gelatinase-associated Lipocalin  
NMP22 Nuclear Matrix Protein-22  
NO<sub>2</sub> Nitrogen Dioxide  
OP Organophosphate  
PAI-1 Plasminogen Activator Inhibitor-1  
PBEF Pre-B-Cell Colony-Enhancing Factor  
PCP III Procollagen Peptide III  
PNS Peripheral Nervous System  
RAGE Receptor for Advanced Glycation Endproducts  
S100B S100 Calcium-Binding Protein B  
SOD Superoxide Dismutase

SP Surfactant Protein  
TCE Trichloroethylene  
TDI Toulene-2,4-Diisocynate  
TFF3 Trefoil Factor 3  
TIC Toxic industrial chemical  
TIM Toxic industrial material  
TNFR Tumor Necrosis Factor Receptor  
TNI Troponin I  
Tp-e Tpeak-Tend  
U.S. United States  
USACEHR United States Army Center for Environmental Health Research  
VDBP Vitamin-D-Binding Protein  
VEGF Vascular Endothelial Growth Factor  
vWF von Willebrand Factor  
?1-MG ?-1-microglobulin

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